

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT6148512

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ALFRED ZIPPELIUS	11/06/2015
DANIELA THOMMEN	11/06/2015
JENS SCHREINER	11/06/2015
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Postal Code:	4031
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15600011
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NAME OF SUBMITTER:	SHEENA HICKS
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DATE SIGNED:	06/11/2020
Total Attachments: 6	
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ASSIGNMENT AGREEMENT

I/We, the undersigned

Alfred Zippelius, a German and Swiss citizen, employed by Universitätsspital Basel
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland

Daniela Thommen, a Swiss citizen, employed by Universitätsspital Basel
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland

Jens Schreiner, a German citizen, employed by Universitätsspital Basel
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland

hereinafter ASSIGNOR(S), herewith declare that we are joint inventors together with

Christian Klein, a German citizen, employed by Roche Glycart AG
Chruezacherweg 41; 8906 Bonstetten; Switzerland

Vaios Karanikas, a Greek citizen, employed by Roche Glycart AG
Zuerichstrasse 71A; 8134 Adliswil; Switzerland

Pablo Umaña, a Costa Rican citizen, employed by Roche Glycart AG
Felsenrainstrasse 28; 8832 Wollerau; Switzerland

hereinafter CO-INVENTOR(S)

of the invention entitled:

**COMBINATION THERAPY OF T CELL ACTIVATING BISPECIFIC ANTIGEN BINDING
MOLECULES AND PD-1 AXIS BINDING ANTAGONISTS**

for which (a) priority application(s) was (were) filed with the

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P32401-EP	European Patent Office	20 November 2014	14194136.9
P32401-EP-1	European Patent Office	22 January 2015	15152141.6
P32401-EP-2	European Patent Office	11 May 2015	15167173.2

ASSIGNOR(S) acknowledge(s) the previous transfer to

Universitätsspital Basel
Hebelstrasse 32
4031 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S), Date: 6.11.2015

[Signature]
Alfred Zippelius

[Signature]
Daniela Thommen

Jens Schreiner

ASSIGNEE

Universitätsspital Basel



06.11.2015
Basel, Date

[Signature]

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signatures affixed before him of

Mister **Alfred Zippelius**, citizen of Teufen AR (Switzerland), residing in Basel (Switzerland), and

Miss **Daniela Thommen**, citizen of Bubendorf BL (Switzerland), residing in Bottmingen (Switzerland).

He certifies that the named persons are in the employ of Universitätsspital Basel, a public-law institution with legal domicile in Basel (Switzerland).

He also legalizes the overleaf signature affixed before him of

Universitätsspital Basel, a public-law institution with legal domicile in Basel (Switzerland), rendered by

Mister Dr. Peter Eckard, German citizen, residing in Aarau (Switzerland),

empowered to sign on behalf of the said institution based on the Power of Attorneys presented to the undersigned Notary Public.

All signers and signatures personally known.

B a s e l (Switzerland), this 9th (ninth) day of November 2015 (two thousand and fifteen)



BR 1642/2015

ASSIGNMENT AGREEMENT

I/We, the undersigned

**Alfred Zippelius, a German and Swiss citizen, employed by Universitätsspital Basel
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland**

**Daniela Thommen, a Swiss citizen, employed by Universitätsspital Basel
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland**

**Jens Schreiner, a German citizen, employed by Universitätsspital Basel
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland**

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Chruezacherweg 41; 8906 Bonstetten; Switzerland**

**Vaios Karanikas, a Greek citizen, employed by Roche Glycart AG
Zuerichstrasse 71A; 8134 Adliswil; Switzerland**

**Pablo Umaña, a Costa Rican citizen, employed by Roche Glycart AG
Felsenrainstrasse 28; 8632 Wollerau; Switzerland**

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4031 Basel
Switzerland**

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The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S), Date: 6.11.15

.....
Alfred Zippelius

.....
Daniela Thommen
Jens Schreiner
.....
Jens Schreiner

ASSIGNEE

Universitätsspital Basel

November 11, 2015
.....
Basel, Date

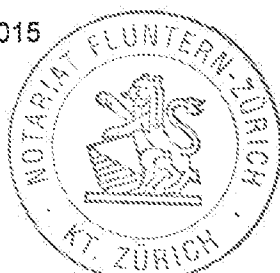
[Signature]
.....

Amtliche Beglaubigung

Die Echtheit der vorstehenden, vor uns gezeichneten Unterschrift von

Herrn Jens SCHREINER, geb. 7. April 1983, Staatsangehörigkeit: Deutschland, wohnhaft
Zürcherstrasse 78, 5432 Neuenhof,
ausgewiesen durch Ausländerausweis B,
wird amtlich beglaubigt.

Zürich, 6. November 2015
BK Nr. 2037
Gebühr CHF 20.00



NOTARIAT FLUNTERN-ZÜRICH
[Signature]
P. Wohlgemuth, Notar-Stellvertreter

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature affixed before him of

Universitätsspital Basel, a public-law institution with legal domicile in Basel (Switzerland), rendered by

Mister Dr. Peter Eckard, German citizen, residing in Aarau (Switzerland),

empowered to sign on behalf of the said institution based on the Power of Attorneys presented to the undersigned Notary Public.

Signer and signature personally known.

Basel (Switzerland), this 11th (eleventh) day of November 2015 (two thousand and fifteen)



BR 1682/20