

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CHRISTIAN KLEIN	08/31/2015
VAIOS KARANIKAS	08/31/2015
PABLO UMANA	08/31/2015
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<b>State/Country:</b>	SWITZERLAND
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<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15600011
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<b>NAME OF SUBMITTER:</b>	SHEENA HICKS
<b>SIGNATURE:</b>	/Sheena Hicks/
<b>DATE SIGNED:</b>	06/11/2020
<b>Total Attachments: 3</b>	
source=P32401-US Glycart Inventor to Glycart Assignment#page1.tif	
source=P32401-US Glycart Inventor to Glycart Assignment#page2.tif	
source=P32401-US Glycart Inventor to Glycart Assignment#page3.tif	

# ASSIGNMENT AGREEMENT *I*

I/We, the undersigned

Christian Klein, a German citizen, employed by Roche Glycart AG  
Chruetzacherweg 41; 8906 Bonstetten; Switzerland

Vaios Karanikas, a Greek citizen, employed by Roche Glycart AG  
Zuerichstrasse 71A; 8134 Adliswil; Switzerland

Pablo Umaña, a Costa Rican citizen, employed by Roche Glycart AG  
Felsenrainstrasse 28; 8832 Wollerau; Switzerland

hereinafter ASSIGNOR(S), herewith declare that we are joint inventors together with

Alfred Zippelius, a German and Swiss citizen, employed by Universitätsspital Basel  
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland

Daniela Thommen, a Swiss citizen, employed by Universitätsspital Basel  
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland

Jens Schreiner, a German citizen, employed by Universitätsspital Basel  
c/o Universitätsspital Basel, Hebelstrasse 32; 4031 Basel; Switzerland

hereinafter CO-INVENTOR(S)

of the invention entitled:

## COMBINATION THERAPY OF T CELL ACTIVATING BISPECIFIC ANTIGEN BINDING MOLECULES AND PD-1 AXIS BINDING ANTAGONISTS

for which (a) priority application(s) was (were) filed with the

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P32401-EP	European Patent Office	20 November 2014	14194136.9
P32401-EP-1	European Patent Office	22 January 2015	15152141.6
P32401-EP-2	European Patent Office	11 May 2015	15167173.2

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche Glycart AG  
Wagistrasse 18  
8952 Schlieren  
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S), Date: August 31, 2015

[Signature]  
Dr. Christian Klein

[Signature]  
Vaios Karanikas

[Signature]  
Dr. Pablo Umaña

**ASSIGNEE**

Roche Glycart AG

September 09, 2015  
Basel, Date

[Signature] [Signature]

Beatrice Sievi Antonio Natoli  
both duly authorized signatories

**Official Certification**

Seen for authentication of the foregoing signature, affixed in our presence by

Mr. Vaios KARANIKAS, born 14th May 1968, Nationality: Greece, according to his information residing at Zürichstrasse 71A, 8134 Adliswil, identified by residence permit B.

Schlieren, 31st August 2015  
BK no. 1498  
Fee CHF 20.00



NOTARIAT SCHLIEREN

[Signature]  
Alfred Fülleemann, Deputy Notary Public

**Legalization**

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signatures of

Mister Christian Klein, German citizen, residing in Bonstetten (Switzerland), and

Mister Pablo Umaña, Costa Rican citizen, residing in Wollerau (Switzerland).

He certifies that the named persons are in the employ of Roche Glycart AG, a stock company with legal domicile in Schlieren (Switzerland),

Legalization based on comparison with authentic signatures.

He also legalizes the overleaf signature of

Roche Glycart AG, a stock company with legal domicile in Schlieren (Switzerland), rendered by

Miss Beatrice Sievi, citizen of Bonaduz (Switzerland), residing in Allschwil (Switzerland), and

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 14<sup>th</sup> (fourteenth) day of September 2015 (two thousand and fifteen).



A handwritten signature in black ink, appearing to be "Dr. Nertz", written over a faint, illegible background.

BR 1313/2015