<u>506104181</u> 06/12/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6150903

		NEW ASSIGNMENT			
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT		
CONVEYING PARTY D	ΑΤΑ				
		Name		Execution Date	
BELEW MEKONNEN				12/14/2017	
JOHN A. BUTERA				12/14/2017	
JIANXING HUANG				12/14/2017	
HEMANTBHAI PATEL				02/19/2020	
RECEIVING PARTY DA	ТА				
Name:	HAGER BIOSCIENCES				
Street Address:	116 RESEARCH DR., SUITE 265				
City:	BETHLEHEM				
State/Country:	PENNSYLVANIA				
Postal Code:	18015				
PROPERTY NUMBERS	Total: 2				
	Total: 2				
Property Type		Number			
Property Type Application Number:	10	6471237			
Property Type Application Number:	10				
Property Type Application Number: Application Number: CORRESPONDENCE D Fax Number: Correspondence will b	PATA e sent to t provided; M	6471237			
Property Type Application Number: Application Number: CORRESPONDENCE D Fax Number: Correspondence will bu using a fax number, if p Email: Correspondent Name: Address Line 1:	ATA e sent to t provided; H	6471237 2436272 <i>The e-mail address first; if that is</i> <i>if that is unsuccessful, it will be</i> IARIA.MARLEY@HGLAW.COM ALEY GUILIANO LLP			
Property Type Application Number: Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: Address Line 1: Address Line 4:	2ATA e sent to t provided; M H 75 N	6471237 2436272 <i>The e-mail address first; if that is if that is if that is unsuccessful, it will be</i> IARIA.MARLEY@HGLAW.COM ALEY GUILIANO LLP 5 BROAD STREET, SUITE 1000			
Property Type Application Number: Application Number: CORRESPONDENCE D Fax Number: Correspondence will b using a fax number, if p Email: Correspondent Name: Address Line 1:	2ATA e sent to t provided; M H 75 N	6471237 2436272 <i>The e-mail address first; if that is</i> <i>if that is unsuccessful, it will be</i> IARIA.MARLEY@HGLAW.COM ALEY GUILIANO LLP 5 BROAD STREET, SUITE 1000 EW YORK, NEW YORK 10004			
Property Type Application Number: Application Number: CORRESPONDENCE D Fax Number: Correspondence will b using a fax number, if p Email: Correspondent Name: Address Line 1: Address Line 4:	2ATA e sent to t provided; M H 75 N	6471237 2436272 The e-mail address first; if that is if that is unsuccessful, it will be IARIA.MARLEY@HGLAW.COM ALEY GUILIANO LLP 5 BROAD STREET, SUITE 1000 EW YORK, NEW YORK 10004 107042-0025-301, 001			

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ASSIGNMENT

We, <u>Belew Mekonnen, John A. Butera and Jianxing Huang</u>, residing at <u>452</u> Windy Hill Rd., Gilbertsville, PA 19525, USA; 6 Lawrence Spring Rd., Clarksburg, NJ 08510, USA; and 532 2nd Ave, 2RR, Bethlehem, PA 18018, USA,

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to <u>HAGER BIOSCIENCES</u>, a corporation organized and existing under the laws of <u>the State of Pennsylvania</u> and having an office and a place of business at <u>116 Research Dr.</u>, <u>Suite 265</u>, <u>Bethlehem</u>, <u>PA 18015</u>, <u>USA</u>, its successors and assigns (1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all our inventions and discoveries disclosed in the following applications:

- United States Provisional Application No. <u>62/436,272</u>, filed <u>December 19, 2016</u>, and entitled "<u>BENZODIAZEPINE DERIVATIVES, COMPOSITIONS, AND METHODS</u> <u>FOR TREATING COGNITIVE IMPAIRMENT</u>";
- (ii) An International Patent Application that claims priority to application (i) above, filed/to be filed under the Patent Cooperation Treaty in the United States Receiving Office
 (RO/US) on ______ December 20, 2017 * and assigned serial number PCT/IB2017/001762 * entitled "BENZODIAZEPINE DERIVATIVES, COMPOSITIONS, AND METHODS FOR TREATING COGNITIVE IMPAIRMENT", designating all PCT member states, including Albania (AL), Algeria (DZ), Angola (AO), Antigua & Barbuda (AG), Armenia (AM), Australia (AU), Austria (AT), Azerbaijan (AZ), Bahrain (BH), Barbados (BB), Belarus (BY), Belgium (BE), Belize (BZ), Benin (BJ), Bosnia and Herzegovina (BA), Botswana (BW), Brazil (BR), Bulgaria (BG), Brunei Darussalam (BN), Burkina Faso (BF), Cambodia (KH), Cameroon (CM), Canada (CA), Central African Republic (CF), Chad (TD), Chile (CL), China (CN), Colombia (CO), Comoros (KM), Congo (CG),

^{*} We hereby authorize <u>HAGER BIOSCIENCES</u> to insert in this instrument the deposit date of the respective application when the date is known and the serial number of said application when <u>HAGER BIOSCIENCES</u> is officially notified thereof.

Costa Rica (CR), Côte d'Ivoire (CI), Croatia (HR), Cuba (CU), Cyprus (CY), Czechia (CZ), Democratic People's Republic of Korea (KP), Denmark (DK), Djibouti (DJ), Dominica (DM), Dominican Republic (DO), Ecuador (EC), Egypt (EG), El Salvador (SV), Equatorial Guinea (GQ), Estonia (EE), Finland (FI), France (FR), Gabon (GA), Gambia (GM), Georgia (GE), Germany (DE), Ghana (GH), Greece (GR), Grenada (GD), Guatemala (GT), Guinea (GN), Guinea Bissau (GW), Honduras (HN), Hungary (HU), Iceland (IS), India (IN), Indonesia (ID), Ireland (IE), Islamic Republic of Iran (IR), Israel (IL), Italy (IT), Japan (JP), Jordan (JO), Kazakhstan (KZ), Kenya (KE), Kuwait (KW), Kyrgyzstan (KG), Lao People's Democratic Republic (LA), Latvia (LV), Lesotho (LS), Liberia (LR), Libya (LY), Liechtenstein (LI), Lithuania (LT), Luxembourg (LU), Madagascar (MG), the former Yugoslav Republic of Macedonia (MK), Malawi (MW), Malaysia (MY), Mali (ML), Malta (MT) Mauritania (MR), Mexico (MX), Monaco (MC), Mongolia (MN), Montenegro (ME), Morocco (MA), Mozambique (MZ), Namibia (NA), Netherlands (NL), New Zealand (NZ), Nicaragua (NI), Niger (NE), Nigeria (NG), Norway (NO), Oman (OM), Panama (PA), Papua New Guinefcha (PG), Peru (PE), Philippines (PH), Poland (PL), Portugal (PT), Qatar (QA), Republic of Korea (KR), Republic of Moldova (MD), Romania (RO), Russian Federation (RU), Rwanda (RW), Saint Lucia (LC), Saint Kitts and Nevis (KN), Saint Vincent and the Grenadines (VC), San Marino (SM), São Tomé and Príncipe (ST), Saudi Arabia (SA), Senegal (SN), Serbia (RS), Seychelles (SC), Sierra Leone (SL), Singapore (SG), Slovakia (SK), Slovenia (SI), South Africa (ZA), Spain (ES), Sri Lanka (LK), Sudan (SD), Swaziland (SZ), Sweden (SE), Switzerland (CH), Syrian Arab Republic (SY), Tajikistan (TJ), United Republic of Tanzania (TZ), Togo (TG), Thailand (TH), Trinidad and Tobago (TT), Tunisia (TN), Turkey (TR), Turkmenistan (TM), Uganda (UG), Ukraine (UA), United Arab Emirates (AE), United Kingdom (GB), United States of America (US), Uzbekistan (UZ), Viet Nam (VN), Zambia (ZM) and Zimbabwe (ZW);

including any applications and patents claiming priority therefrom, and any renewals, revivals, reissues, reexaminations, extensions, supplementary protection certificates, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of <u>HAGER BIOSCIENCES</u>, its designee, or in our names at <u>HAGER BIOSCIENCES</u> or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent that claims priority therefrom in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations, supplementary protection

certificates, and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <u>HAGER</u> <u>BIOSCIENCES</u> as the assignce of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of <u>HAGER BIOSCIENCES</u> to execute and to deliver to <u>HAGER BIOSCIENCES</u> any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of <u>HAGER</u> <u>BIOSCIENCES</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>HAGER</u> <u>BIOSCIENCES</u> under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable. ASSIGNOR:

Belew Mekonnen (1)

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Julie Ann Riedy, Notary Public City of Bethlehem, Northampton County My Commission Expires Dec. 18, 2020 Notary Public MEMBER, PENNSYLVANIA ASSOCIATION OF NOTABLES ASSIGNOR: John A/Butera (2

On this $/4^{\circ}$ day of <u>December, 2017</u>, John A. Butera (2) personally appeared before me, a Notary Public in and for the state of $\sqrt{2 n n s / 2 n} a_{-}$, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Julie Ann Riedy. Notary Public City of Bethlehem, Northampton County My Commission Expires Dec. 18, 2020 MEMBER, PENNSYLVANIAASSOCIATION OF NOTARIES

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Notary Public

PATENT REEL: 052923 FRAME: 0305

Jianxing Huang (3

On this 14th day of December, 2017, Jianxing Huang (3) personally appeared before me, a Notary Public in and for the state of $\frac{\sqrt{2005}}{\sqrt{2005}}$, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Julie Ann Riedy, Notary Public City of Bethlahem, Northampton County My Commission Expires Dec. 18, 2020 MEMBER, PENNEYLVAN AASSOCIATION OF NOTARIES

Notary Public

PATENT REEL: 052923 FRAME: 0306

ACKNOWLEDGEMENT OF ASSIGNEE:

I hereby acknowledge the foregoing executed Assignment on behalf of HAGER BIOSCIENCES

By: Name:' Belew Mekonnen

Title: President & CEO

On this $\underline{\mu}$ day of <u>December</u>, 2017, <u>Belew Mekonnen</u> personally appeared before me, a Notary Public in and for the state of $\underline{\mu}$ and $\underline{\mu}$, and duly acknowledged the executed Assignment on behalf of the Assignee.

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Julie Ann Riedy, Notary Public City of Bethlehem, Northampton County My Commission Expires Dec. 18, 2020 MEMBER, PENNSYLVANIAASSOCIATION OF NOTARIES

Notary Public



PATENT REEL: 052923 FRAME: 0307

ASSIGNMENT

I, <u>Hemantbhai Patel</u>, residing at <u>348 Perrine Ave. Piscataway, NJ 08854, USA</u>, for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to <u>HAGER BIOSCIENCES</u>, a corporation organized and existing under the laws of <u>the State of Delaware</u> and having an office and a place of business at <u>116 Research Dr., Suite 265</u>, Bethlehem, PA 18015, USA, its successors and assigns (1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all our inventions and discoveries disclosed in the following applications:

- United States Patent Application No. <u>16/471,237</u>, filed June <u>19, 2019</u>, and entitled
 "BENZODIAZEPINE DERIVATIVES, COMPOSITIONS, AND METHODS FOR TREATING COGNITIVE IMPAIRMENT";
- United States Provisional Application No. <u>62/436,272</u>, filed <u>December 19, 2016</u>, and entitled "<u>BENZODIAZEPINE DERIVATIVES, COMPOSITIONS, AND METHODS</u> <u>FOR TREATING COGNITIVE IMPAIRMENT</u>";
- (iii) An International Patent Application that claims priority to application (i) above, filed/to be filed under the Patent Cooperation Treaty in the United States Receiving Office (RO/US) on <u>December 20, 2017</u> and assigned serial number <u>PCT/IB2017/001762</u>, entitled "<u>BENZODIAZEPINE DERIVATIVES, COMPOSITIONS, AND METHODS FOR TREATING COGNITIVE IMPAIRMENT</u>", designating all PCT member states, including <u>Albania (AL), Algeria (DZ), Angola (AO), Antigua & Barbuda (AG), Armenia (AM), Australia (AU), Austria (AT), Azerbaijan (AZ), Bahrain (BH), Barbados (BB), Belarus (BY), Belgium (BE), Belíze (BZ), Benin (BJ), Bosnia and Herzegovina (BA), Botswana (BW), Brazil (BR), Bulgaria (BG), Brunei Darussalam (BN), Burkina Faso (BF), Cambodia (KH), Cameroon (CM), Canada (CA), Central African Republic (CF), Chad (TD), Chile (CL), China (CN), Colombia (CO), Comoros (KM), Congo (CG), Costa Rica (CR), Côte d'Ivoire (CI), Croatia (HR), Cuba (CU), Cyprus (CY), Czechia (CZ), Democratic People's Republic of Korea (KP), Denmark (DK), Djibouti (DJ), Dominica (DM), Dominican Republic (DO), Ecuador (EC), Egypt (EG), El Salvador
 </u>

(SV), Equatorial Guinea (GQ), Estonia (EE), Finland (FI), France (FR), Gabon (GA), Gambia (GM), Georgia (GE), Germany (DE), Ghana (GH), Greece (GR), Grenada (GD), Guatemala (GT), Guinea (GN), Guinea Bissau (GW), Honduras (HN), Hungary (HU), Iceland (IS), India (IN), Indonesia (ID), Ireland (IE), Islamic Republic of Iran (IR), Israel (IL), Italy (IT), Japan (JP), Jordan (JO), Kazakhstan (KZ), Kenya (KE), Kuwait (KW), Kyrgyzstan (KG), Lao People's Democratic Republic (LA), Latvia (LV), Lesotho (LS), Liberia (LR), Libya (LY), Liechtenstein (LI), Lithuania (LT), Luxembourg (LU), Madagascar (MG), the former Yugoslav Republic of Macedonia (MK), Malawi (MW), Malavsia (MY), Mali (ML), Malta (MT) Mauritania (MR), Mexico (MX), Monaco (MC), Mongolia (MN), Montenegro (ME), Morocco (MA), Mozambique (MZ), Namibia (NA), Netherlands (NL), New Zealand (NZ), Nicaragua (NI), Niger (NE), Nigeria (NG), Norway (NO), Oman (OM), Panama (PA), Papua New Guinefcha (PG), Peru (PE), Philippines (PH), Poland (PL), Portugal (PT), Qatar (QA), Republic of Korea (KR), Republic of Moldova (MD), Romania (RO), Russian Federation (RU), Rwanda (RW), Saint Lucia (LC), Saint Kitts and Nevis (KN), Saint Vincent and the Grenadines (VC), San Marino (SM), São Tomé and Príncipe (ST), Saudi Arabia (SA), Senegal (SN), Serbia (RS), Seychelles (SC), Sierra Leone (SL), Singapore (SG), Slovakia (SK), Slovenia (SI), South Africa (ZA), Spain (ES), Sri Lanka (LK), Sudan (SD), Swaziland (SZ), Sweden (SE), Switzerland (CH), Syrian Arab Republic (SY), Tajikistan (TJ), United Republic of Tanzania (TZ), Togo (TG), Thailand (TH), Trinidad and Tobago (TT), Tunisia (TN), Turkey (TR), Turkmenistan (TM), Uganda (UG), Ukraine (UA), United Arab Emirates (AE), United Kingdom (GB), United States of America (US), Uzbekistan (UZ), Viet Nam (VN), Zambia (ZM) and Zimbabwe (ZW);

including any applications and patents claiming priority therefrom, and any renewals, revivals, reissues, reexaminations, extensions, supplementary protection certificates, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of <u>HAGER BIOSCIENCES</u>, its designee, or in our names at <u>HAGER BIOSCIENCES</u> or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent that claims priority therefrom in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations, supplementary protection certificates, and extensions of the same; and (4) the entire right, title and interest in all

Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <u>HAGER</u> <u>BIOSCIENCES</u> as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of <u>HAGER BIOSCIENCES</u> to execute and to deliver to <u>HAGER BIOSCIENCES</u> any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of <u>HAGER</u> <u>BIOSCIENCES</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>HAGER</u> <u>BIOSCIENCES</u> under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable. ASSIGNOR:

TH Hemantbhai Patel

On this 19^{th} day of 1000, 100

Julie Um Notary

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Julie Ann Riedy, Notary Public City of Bethlehem, Northampton County My Commission Expires Dec. 18, 2023 MUREN, PENNSYLVANIAASSOCIATION OF NOTAR

ACKNOWLEDGEMENT OF ASSIGNEE:

I hereby acknowledge the foregoing executed Assignment on behalf of

HAGER BIOSCIENCES

By:

Name: Belew Mekonnen Title: President & CEO

On this 10^{th} day of $\overline{\text{February}}$, 2020. Belew Mekonnen personally appeared before me, a Notary Public in and for the state of $\frac{2000}{1000}$, and duly acknowledged the executed Assignment on behalf of the Assignee.

Notary Public

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Julie Ann Riedy, Notary Public City of Bethiehem, Northampton County My Commission Expires Dec. 18, 2020 WENSER, PENNSYLVANIAASSOCIATION OF SOTARES