

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6151079

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CHRISTOPHER U. PHAN	06/10/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	PROVIDENCE MEDICAL TECHNOLOGY, INC.
<b>Street Address:</b>	3875 HOPYARD ROAD, SUITE 300
<b>City:</b>	PLEASANTON
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94588
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	29725912
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(612)340-8827
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	612-385-0565
<b>Email:</b>	docketing-dv@dorsey.com
<b>Correspondent Name:</b>	DORSEY & WHITNEY LLP
<b>Address Line 1:</b>	50 SOUTH SIXTH STREET, SUITE 1500
<b>Address Line 2:</b>	INTELLECTUAL PROPERTY PRACTICE GROUP
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402-1498
<b>ATTORNEY DOCKET NUMBER:</b>	P285729.US.01
<b>NAME OF SUBMITTER:</b>	EMMA HUTTON
<b>SIGNATURE:</b>	/Emma Hutton/
<b>DATE SIGNED:</b>	06/12/2020
<b>Total Attachments: 3</b>	
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**INDUSTRIAL DESIGN ASSIGNMENT**

**PARTIES TO THE ASSIGNMENT:**

Assignor(s):

Christopher U. Phan  
9707 Bear Canyon Court  
Dublin, CA 94568  
United States

Assignee:

PROVIDENCE MEDICAL TECHNOLOGY, INC.  
3875 Hopyard Road, Suite 300, Pleasanton, California 94588  
United States  
State of Incorporation: California

**DESIGN APPLICATION SUBJECT TO THE ASSIGNMENT:**

Serial Number.....29/725,912  
Filing Date..... February 27, 2020  
Attorney Docket No. .... P285729.US.01  
Title: SPINAL CAGE

WHEREAS, the Assignor(s) identified above (hereinafter, individually and collectively the "Assignor"), has invented and created certain new, original, ornamental/industrial design(s) for an article of manufacture (the "Design"), disclosed and described in an application for a design patent in the United States ("U.S.") filed on the filing date indicated above in the United States Patent and Trademark Office ("USPTO") and identified by the title, application number, and/or attorney docket number indicated above (the "Design Application"); and

WHEREAS, Assignee, a corporation organized and existing under the laws of the State indicated above, and having its principal place of business at the address indicated above, desires to acquire the entire right, title, and interest of Assignor in and to the Design, the Design Application and in, to, and under any and all design patents and industrial design registrations to be obtained therefor;

NOW, THEREFORE, for \$1 and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor has sold, assigned, and transferred and does hereby sell, assign, and transfer to the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the Design, the Design Application, and all divisional, continuation, continuation-in-part, and continuing prosecution applications that claim priority thereto, and any reexamination and reissue applications thereof; all international, regional, and foreign applications that claim priority to, are counterparts of, or otherwise correspond thereto; the design patent(s) in the United States and corresponding industrial design registrations in countries or regions foreign thereto, that may or shall issue or have issued therefrom; any extensions, substitutes, or renewals thereof; the right to claim priority to the filing date of the Design Application; any right, title, or interest in and to the Design and the Design Application under any international conventions; and any and all rights to collect damages for past, present, or future infringement of any U.S. or foreign patent or industrial design registration rights in the Design which may be, shall be, or have been granted (collectively "the Design Rights"); and

In the event that the Design Application claims priority to a design application previously filed and the design application was previously subject to an assignment from the Assignor, or one or more thereof, to the Assignee, this Assignment shall be considered a confirmatory assignment thereof; and

Assignor further authorizes said Assignee, its successors, and assigns, or anyone it may properly designate, to apply for a design patent in the U.S. and corresponding design registrations in any and all foreign countries and regions, in its own name if desired, and otherwise take advantage of the provisions of any international conventions; and

Assignor hereby authorizes and requests transfer of the Design Application and any further applications, which may be or shall have been filed under the Design Rights, to the Assignee in accordance herewith and further authorizes and requests any official of any State whose duty consists of issuing design registrations or other evidence or forms of any industrial property protection issuing from the Design Application and all related applications, to issue same to the Assignee, its successors, and assigns in accordance herewith; and

Assignor hereby authorizes Assignee, its successors and assigns, or anyone it may properly designate, to insert in this instrument the application number and filing date of the Design Application once known.

Assignor represents and warrants that Assignor has the right, title, and authority to execute this Assignment and to convey all right, title, and interest in the Design Rights, and that Assignor has not conveyed nor will convey hereafter all or part of the Design Rights to a third party, and Assignor hereby covenants and agrees with the Assignee, its successors and assigns, that Assignor will not execute in writing or do any act whatsoever conflicting with these representations; and

Assignor agrees to disclose to the Assignee any information, including, but not limited to, prior art references, that Assignor is presently aware of or becomes aware of in the future that would materially affect the patentability or registration of the Design or the Design Application or the present or future validity of the Design Rights; and

Assignor, Assignor's successors, legal representatives, or administrators will at any time upon request, without further or additional consideration, but at the expense of the Assignee, its successors, and assigns, execute such additional writings and do such additional acts as said Assignee, its successors, and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for, obtaining, and enforcing any of the Design Rights, including giving testimony in any proceedings or transactions involving such Design Rights; and

This document may be executed in two or more counterparts and by facsimile, all of which shall be deemed an original, and all of which together shall constitute one and the same instrument; and

Assignor further covenants and agrees that this Assignment is effective as of February 27, 2020.

IN WITNESS WHEREOF, the said Assignor has executed the Assignment on the date indicated below.



\_\_\_\_\_  
Christopher U. Phan

\_\_\_\_\_  
June 10, 2020  
Date

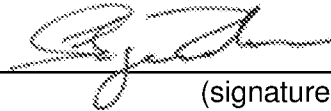
ACCEPTANCE OF ASSIGNMENT

The Assignee hereby acknowledges and accepts the foregoing assignment of rights by Assignor.

IN TESTIMONY WHEREOF, the Assignee, by its undersigned officer, confirms its acceptance on the date set forth below.

ASSIGNEE: PROVIDENCE MEDICAL  
TECHNOLOGY, INC.

Dated: June 11, 2020



\_\_\_\_\_  
(signature)

By: Shigeru Tanaka  
(printed name of authorized agent of assignee)

Its: VP, R&D  
(title)