

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6156791

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CONFIRMATION OF ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	NICTA IPR PTY LTD	01/17/2013
RECEIVING PARTY DATA		
Name:	SALUDA MEDICAL PTY LTD	
Street Address:	LEVEL 1, 407 PACIFIC HIGHWAY	
City:	ARTARMON	
State/Country:	AUSTRALIA	
Postal Code:	2064	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16224641
CORRESPONDENCE DATA		
Fax Number:	(949)852-0004	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	9498520000	
Email:	pair@kppb.com	
Correspondent Name:	KPPB LLP	
Address Line 1:	2190 S. TOWNE CENTRE PLACE	
Address Line 2:	SUITE 300	
Address Line 4:	ANAHEIM, CALIFORNIA 92806	
ATTORNEY DOCKET NUMBER:	S32-03888.CON	
NAME OF SUBMITTER:	KATRINA LEE	
SIGNATURE:	/Katrina Lee/	
DATE SIGNED:	06/16/2020	
Total Attachments: 13		
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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

NICTA IPR Pty Ltd

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 17, 2013

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Confirmation of Assignment

2. Name and address of receiving party(ies)

Name: Saluda Medical Pty Ltd

Internal Address: _____

Street Address: Level 1, 407 Pacific Highway

City: Artarmon

State: NSW

Country: AU Zip: 2064

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

16/224,641

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KPPB LLP

Internal Address: Suite 300

Street Address: 2190 S. Towne Centre Place

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: S32-03888.CON

Email Address: pair@kppb.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized UserName _____

9. Signature: /Isaac M. Fine/

June 16, 2020

Signature

Date

Isaac M. Fine

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

13

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

DEED OF ASSIGNMENT

This Deed of Assignment (the "**Assignment Deed**") dated 17 January 2013, by and between:

NICTA IPR PTY LIMITED (ACN 118 121 387) of Level 5, 13 Garden Street, Eveleigh NSW 2015, Australia ("**NIPR**")

and

Saluda Medical Pty Limited (ACN 145 902 272) of Level 5, 13 Garden Street, Eveleigh NSW 2015, Australia, ("**SALUDA**").

RECITALS

A. NIPR and SALUDA previously entered into a Licence Agreement dated 17 January 2013 (the "**Licence Agreement**"), in which the parties agreed, *inter alia*, that certain of the Licensed Intellectual Property (as defined in the Licence Agreement) (called the **Option IP** herein) could be assigned to SALUDA from NIPR upon the valid exercise of an option in accordance with the terms of clause 12 of the Licence Agreement; and

B. The conditions for the exercise of the Option have occurred or have been waived and NIPR has assigned the Option IP to SALUDA in accordance with the terms of the Licence Agreement.

C. NIPR wish to confirm and to the extent not already assigned, assign their entire right, title and interest to and in the Option IP to SALUDA.

OPERATIVE PROVISIONS

The parties agree as follows:

- Definitions:** In this Assignment Deed including the Recitals, the definitions used in the Licence Agreement shall apply, except where the context otherwise requires.
- Assignment:** In consideration of the payment by SALUDA to NIPR of the sum of one dollar (AU)\$1 and other good and valuable consideration (receipt and sufficiency of which is acknowledged by NIPR), NIPR hereby irrevocably and absolutely confirms the assignment to SALUDA of their respective entire rights, title and interests to and in the Option IP free from Encumbrances (other than the license granted to NIPR under the Licence Agreement), so that all such right, title and interest throughout the world vests absolutely in Saluda. **Schedule 1** to this Assignment Deed details the Option IP.
- Licensing Agreement remains in Effect:** With the exception of the explicit effect of the terms of this Assignment Deed, the Licence Agreement remains in effect and otherwise unchanged and is hereby affirmed by the parties.
- Governing law:** This deed is governed by the law in force in New South Wales, Australia. Each party submits to the non-exclusive jurisdiction of the courts exercising jurisdiction in New South Wales, and any court that may hear appeals from any of those courts, for any proceedings in connection with this deed, and waives any right it might have to claim that those courts are an inconvenient forum.

I certify, this is a true and correct copy of the original document which has been signed by me on this day,
6 MARCH 2013 (date) - 12 pages
Peter North (name)
Special Counsel Supreme Court NSW (title)
(signature)

5. **Counterparts:** This deed may be executed in any number of counterparts. A counterpart may be a facsimile or a scanned and emailed document. Together all counterparts make up one deed.

I certify, this is a true and correct copy of the original document which has been sighted by me on this day,

6 MARCH 2013 (date) - 12 pages

PETER LUTHERSON (name)
Solicitor, Supreme Court NSW

 (signature)

IN WITNESS WHEREOF, the parties have caused this Assignment Deed to be duly executed by their authorized representatives as of the date first set forth above.

NICTA IPR PTY LIMITED.

By: [Signature]

Title: Director

Date: 12/1/13

Accepted by

Saluda Medical Pty Limited.

By: [Signature]

Title: CTO IS

Date: 17/1/2013

I certify, this is a true and correct copy of the original document which has been sighted by me on this day.

6 MARCH 2013 (date)

PETER LIGHTBODY (name)

Solicitor, Supreme Court of NSW

[Signature] (signature)

- 12 pages

SCHEDULE 1 to the ASSIGNMENT AGREEMENT between NIPR (assignor) and SALUDA (assignee) dated 17 January 2013

OPTION IP

- The Intellectual Property owned or licensed by NIPR relating to the following inventions, projects and/or technology, as described in the tables below;
- All existing Intellectual Property, know-how, trade secrets arising from the Implant Systems project conducted at NICTA since 1 July 2008; and
- All yet to be developed Intellectual Property, know-how, trade secrets arising from the Research Services Agreement between NIPR and SALUDA for Implant Systems technology which is executed concurrently with this Licence Agreement

A. Licensed Patent Rights

I certify, this is a true and correct copy of the original document which has been sighted by me on this day,

6 MARCH 2013 (date)
PEER LIGHTBODY (name)
 Solicitor, Supreme Court of NSW

+ pages 4-12
 (following)

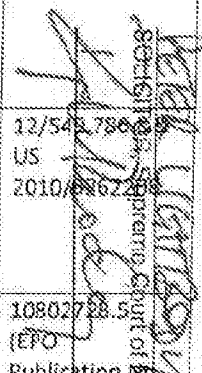
NICTA Ref	Suffix Code	Type	Country	Title	Inventors (signature)	Application No.
N08 023	AU	Patent - National	AU	BONDED HERMETIC FEED THROUGH FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE	John Parker ;	2009344197
N08 025	EP	Patent - National	EPO	Knitted Electrode Assembly for an Active Implantable medical Device, formerly "A Knitted or Woven Medical Device"	John Parker ; David Robinson ;	97920E80
N08 025	AU	Patent - National	AU	Knitted Electrode Assembly for an Active Implantable medical Device, formerly "A Knitted or Woven Medical Device"	John Parker ; David Robinson ;	2009293507
N08 025	US	Patent - National	USA	Knitted Electrode Assembly for an Active Implantable medical Device, formerly "A Knitted or Woven Medical Device"	John Parker ; David Robinson ;	12/549,899 && US2010/007000 8
N08 032	JP	Patent - National	JP	STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "A Sewn or Embroidered Medical Device"	David Robinson ;	2012-504664

NICTA Ref	Suffix Code	Type	Country	Title	Inventors	Application No.
N08 032	EP	Patent - National	EU	STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "A Sewn or Embroidered Medical Device"	David Robinson ;	09792071.4 && PCT/US2009/05 5402
N08 032	AU	Patent - National	AU	STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "A Sewn or Embroidered Medical Device"	David Robinson ;	2009344195
N08 032	US	Patent - National	US	STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "A Sewn or Embroidered Medical Device"	David Robinson ;	12/549,831
N08 033	EP	Patent - National	EPO	KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "An Implantable Connector"	John PARKER ; David ROBINSON ;	9792067
N08 033	AU	Patent - National	AU	KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "An Implantable Connector"	John PARKER ; David ROBINSON ;	2009293506 (signature)
N08 033	US	Patent - National	US	KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "An Implantable Connector"	John PARKER ; David ROBINSON ;	12/549,457 && US2010/007000 7
N09 003	EP	Patent - National	EPO	"Knitted Catheter" formerly "Multi-Channel Catheter or Implantable Electrode and Lead"	John Parker ; David Robinson ;	97920706

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6 March 2013
 Peter Robinson
 Secretary of the NICTA
 (signature) (date)

NICTA Ref	Suffix Code	Type	Country	Title	Inventors	Application No.
N09 003	AU	Patent - National	AU	"Knitted Catheter" formerly "Multi-Channel Catheter or Implantable Electrode and Lead"	John Parker ; David Robinson ;	2009293508
N09 003	US	Patent - National	USA	"Knitted Catheter", formerly "Multi-Channel Catheter or Implantable Electrode and Lead"	John Parker ; David Robinson ;	12/549,801 && 20100069835
N09 006	EP	Patent - National	EP	ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"	John Parker ;	09792072.2 && PCT/US2009/055405 && WO 2010/117382
N09 006	AU	Patent - National	AU	ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"	John Parker ;	2009344196
N09 006	US	Patent - National	US	ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"	John Parker ;	12/549,786 && US 2010/0062280
N09 011	EP	Patent - National	EP	Neuro-Stimulation; formerly "Active Electrode Array" formerly "Electrode LAN Version IV" formerly "Two Wire Neuro-stimulator System Version III"	Peter SINGLE ; David ROBINSON ; John PARKER ; Peter AYRE ; Dean KARANTONIS ;	10802788.5 (EPO Publication EP2456515)
N09 011	US	Patent - National	USA	Neuro-Stimulation; formerly "Active Electrode Array" formerly "Electrode LAN Version IV" formerly "Two Wire Neuro-stimulator System Version III"	Peter SINGLE ; David ROBINSON ; John PARKER ; Peter AYRE ; Dean KARANTONIS ;	13/383,800 (Publication No: US2012/016194 5)
N09 011	AU	Patent - National	AU	Neuro-Stimulation; formerly "Active Electrode Array" formerly "Electrode LAN Version IV" formerly "Two Wire Neuro-stimulator System Version III"	Peter SINGLE ; David ROBINSON ; John PARKER ; Peter AYRE ; Dean KARANTONIS ;	2010276380


 Peter SINGLE (name)
 Solicitor General Court of NSW
 (signature)

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 6 March 2013 (date)

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6 MARCH 2013 (date)

NICTA Ref	Suffix Code	Type	Country	Title	Inventors	Application No.
				<i>Supervisor, Supreme Court of NSW</i> <i>[Signature]</i> (signature)		
N09 030A	US	Patent - National	US	METHODS FOR FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING	David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ;	13/512,115
N09 030B	JP	Patent - National	JP	FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING	David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ;	2012-541213
N09 030B	EP	Patent - National	Europe	FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING	David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ;	10833993.8 (Publication No EP2504500)
N09 030B	US	Patent - National	US	FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING	David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ;	13/512,172
N10 014A	PCT	Patent - PCT	Australia	Distributed Implant Systems (Formerly: "Neuro-Modulation Systems")	John PARKER ; David ROBINSON ; Peter SINGLE ; Peter AYRE ;	PCT/AU2011/01127
N10 014C	US	Patent - National	US	Implant Recharging (Formerly: "Neuro-Modulation Systems")	John PARKER ; David ROBINSON ; Peter SINGLE ; Peter AYRE ;	13/222833
N11 003	PCT	Patent - PCT	AU	Method and Apparatus for Measurement of Neural Response - (Formerly: "Evoked Response Telemetry Method")	John Parker ; Peter Single ; Dean Karantonis ;	PCT/AU2012/000511
N11 005	PCT	Patent - PCT		Method and Apparatus for Measurement of Neural Response - C (Formerly: "Determining Fibre Conduction Velocity")	John Parker ; Dean Karantonis ;	PCT/AU2012/000512
N11 006	PCT	Patent - PCT	AU	Method and Apparatus for Measurement of Neural Response - D (Formerly: "Selecting a Neural Therapy Location by Contemporaneously Measuring Local Sensitivity")	John Parker ; Dean Karantonis ;	PCT/AU2012/000513

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6 MARCH 2013 (date)

NICTA Ref	Suffix Code	Type	Country	Title	Inventors	Application No.
				<i>PETER SINGLE</i> Solicitor General, Supreme Court of NSW <i>P. Single</i>	(<i>Perth</i>)	
N11 007	PCT	Patent - PCT	AU	Method and Apparatus for Controlling a Neural Stimulus - E (Formerly: "Automated Feedback Control of >1 Stimulus Parameters")	John Parker ; Dean Karantonis ; James Laird ;	PCT/AU2012/00 0516
N11 008	PCT	Patent - PCT		Method and Apparatus for Estimating Neural Recruitment - F (Formerly: "Un-Masked Probing of Un-Recruited Fibre Population")	John Parker ; Dean Karantonis ; James Laird ;	PCT/AU2012/00 0517
N11 009	PCT	Patent - PCT	AU	Method and Apparatus for Measurement of Neural Response - G (Formerly: "Programs")	Peter Single ; James Laird ;	PCT/AU2012/00 0518
N11 010	PCT	Patent - PCT	AU	Method and Apparatus for Controlling a Neural Stimulus - H (Formerly: "Anodic Modification of Membrane Threshold")	John Parker ; Dean Karantonis ; James Laird ;	PCT/AU2012/00 0514
N11 011	PCT	Patent - PCT	AU	Method and Apparatus for Application of a Neural Stimulus - I (Formerly: "Stimuli Configured to Produce Decorrelated Fibre Responses")	John Parker ; James Laird ;	PCT/AU2012/00 0515
N11 027	PCT	Patent - PCT	AU	A Fabric Paddle Electrode Assembly For An Active Implantable Medical Device	David ROBINSON ; Mark FRETZ ; David THOMAS ; John PARKER ;	PCT/AU2012/00 1441
N11 028	PCT	Patent - PCT	AU	Coatings for Textile Based Active Implantable Medical Devices	David ROBINSON ; Mark FRETZ ; David THOMAS ; John PARKER ;	TBA (priority claimed: 2011904904)
N12 006	PROVA U	Patent - Provisional	Australia	Method and System for Controlling Electrical Conditions of Tissue (Formerly: "The Virtual Ground Circuit Unbugged")	Peter SINGLE ; James Laird ;	2012904836
N12 010	INV	Invention Disclosure		Virtual Ground Stimulation Method for Evoked Response Measurements	Peter Single ; James Laird ;	

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NICTA Ref	Suffix Code	Type	Country	Title	Inventors (signature)	Application No.
N12 011	INV	Invention Disclosure		Use of SCS System Components to Detect and/or Respond to Cardiac Events	John Parker ;	
N12 012	INV	Invention Disclosure		Matched Filters for Evoked Response Detection	Peter Single ; Dean Karantonis ;	
N12 017	PROVA U	Patent - Provisional	AU	Method and System for Controlling Electrical Conditions of Tissue II (Formerly: "Push-Pull with Passive Third Electrode")	Peter Single ;	2012904838

B(i). Copyright forming part of the Project IP

a.

Title / Description	Date	Author(s)	Countries Registered (if applicable)
1. Copyright in the documents software and materials listed in part C, below.			

B(ii). Project IP other than Patent Rights and copyright – other rights

a. NIL

C. Licensed Know How

- a. Licensed Know How covers all information owned by NIPR which describes technology and results which was created by or for NICTA's Implant Systems project team and which is stored on the Implant Systems 1 Server and replicated on the back-up media associated with this agreement and included in the identified laboratory note books.

Acronyms used in this section are defined in the table below.

Acronym	Description
MCS I	"Multi Channel System 1" [REDACTED]

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6 MAR 2013

(date)

MCS II	Multi Channel System II [REDACTED]
BWS	"Body Worn System" [REDACTED]
BWS V3	"Body Worn System V3" [REDACTED]
NTDS	NTDS is simple a unique identifier with no meaning.
MCS Lab	"Multi Channel System Laboratory" [REDACTED]
MCS Analysis GUI	"Multi Channel System Analysis Graphic User Interface" [REDACTED]
NDBSH	NDBSH is simple a unique identifier with no meaning.

b. This information includes, but is not limited to:

	Description	Date
1.	[REDACTED]	
2.	[REDACTED]	
3.	[REDACTED]	
4.	[REDACTED]	
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12.	[REDACTED]	
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6 MARCH 2013 (date)

PETER UETTERBODY (name) Date
Solicitor, Supreme Court of NSW

[Signature] (signature)

Description	
20	[REDACTED]
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Laboratory Books:

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[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

6 MARCH 2013 (date)

Date: 10/10/01 Type: Location (name)
 of: FOR SUNDAY C NSW
 (signature)