

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT6164265

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAVID KISAILUS	06/23/2019
TAIFENG WANG	07/08/2019
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
<b>Street Address:</b>	1111 FRANKLIN STREET
<b>Internal Address:</b>	12TH FLOOR
<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16855824
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	request@slwip.com
<b>Correspondent Name:</b>	SCHWEGMAN LUNDBERG & WOESSNER, P.A.
<b>Address Line 1:</b>	P.O. BOX 2938
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402
<b>ATTORNEY DOCKET NUMBER:</b>	3868.075US1
<b>NAME OF SUBMITTER:</b>	CATINA JENSEN
<b>SIGNATURE:</b>	/CaTina Jensen/
<b>DATE SIGNED:</b>	06/22/2020
<b>Total Attachments: 3</b>	
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source=3868075US1_ASSN#page3.tif	

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Atty Ref/Docket No.: 3868.075US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David Kisailus, Taifeng Wang

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: June 23, 2019, July 8, 2019

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Street Address: 1111 Franklin Street  
12th Floor

City: Oakland State: CA Zip: 94607-5200  
Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes  
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 16/855,824

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David C. Peterson

Address:

Schwegman Lundberg & Woessner, P.A.  
P.O. Box 2938  
Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account  
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David C. Peterson/Reg. No. 47,857

Name of Person Signing

/David Peterson/

Signature

6/16/2020

Date

Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Mail Stop Assignment Recordation Services  
P.O. Box 1450  
Alexandria, VA 22313-1450

PATENT  
REEL: 052999 FRAME: 0020

## ASSIGNMENT

U.C. Case No. 2018-555-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

David Kisailus  
Taifeng Wang

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **TEMPLATE-FREE METHOD OF PRODUCING A TUNED-LIGHT-DRIVEN PHOTOCATALYST**, and which is found in:

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c)   X   U.S. application serial no. 62/839,426 filed on April 26, 2019.
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

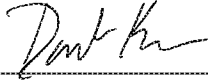
ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

23 day of June, 20 19


Signature of Inventor(s)

  
David Kisailus


executed this

08 day of July, 20 19

Signature of Inventor(s)

  
Taifeng Wang

Assignee hereby accepts this Assignment:

  
Brian Suh, Director, Technology Commercialization  
on behalf of The Regents of the University of  
California as represented by its Riverside campus

Date: July 8, 2019