# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6166017

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	GOVERNMENT INTEREST AGREEMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date		
CHILDREN'S HOSPITAL MEDICAL CENTER	05/20/2011		

#### **RECEIVING PARTY DATA**

Name:	NIH
Street Address:	9000 ROCKVILLE PIKE
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20892

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number			
Patent Number:	7662578			

#### **CORRESPONDENCE DATA**

#### Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: Melody.Biddulph@cchmc.org

Correspondent Name: MELODY BIDDULPH
Address Line 1: 3333 BURNET AVENUE
CINCINNATI, OHIO 45229

ATTORNEY DOCKET NUMBER:	0010872.0610414
NAME OF SUBMITTER:	MELODY BIDDULPH
SIGNATURE:	/Melody Biddulph/
DATE SIGNED:	06/23/2020

#### **Total Attachments: 12**

source=Patent 7662578 Certificate of correction request#page1.tif source=Patent 7662578 Certificate of correction request#page2.tif source=Patent 7662578 Certificate of correction request#page3.tif source=Patent 7662578 Certificate of correction request#page4.tif source=Patent 7662578 Certificate of correction request#page5.tif source=Patent 7662578 Certificate of correction request#page6.tif source=Patent 7662578 Certificate of correction request#page7.tif

PATENT REEL: 053008 FRAME: 0112

506119292

source=Patent 7662578 Certificate of correction request#page8.tif source=Patent 7662578 Certificate of correction request#page9.tif source=Patent 7662578 Certificate of correction request#page10.tif source=Patent 7662578 Certificate of correction request#page11.tif source=Patent 7662578 Certificate of correction request#page12.tif

#### **PATENTS**

#### UNITED STATES PATENT AND TRADEMARK OFFICE

Application: 11/737,326 | Examiner: Lisa V. Cook

Filed: April 19, 2007 Art Unit: 1641

Inventor: Prasad Devarajan Atty. Ref.: CHM-033M

Patent No.: 7,662,578 | Issue Date: February 16, 2010

Title: METHOD AND KIT FOR THE EARLY DETECTION OF IMPAIRED

**RENAL STATUS** 

Confirmation No. 5426

# REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR APPLICANT MISTAKE (37 CFR §1.323)

Dear Madam:

Patentee hereby respectfully requests that a Certificate of Correction issue to correct the Certificate of Correction issued April 10, 2012 with respect to the Government Support clause.

In particular, please insert at column 1 after line 9, the following paragraph:

#### "GOVERNMENT SUPPORT

This invention was made with government support under DK052612, DK053289, and DK070163 awarded by the National Institutes of Health. The government has certain rights in the invention."

The correction is intended to correct an inadvertent error caused by Applicant's Request for Certificate of Correction filed May 12, 2011. Applicant submits that the mistake for which correction is sought is of a typographical nature and was made in good faith, that the requested correction does not constitute new matter, and that the requested correction would not require reexamination. Accordingly, applicant respectfully submits that correction under 37 CFR §1.323 and 35 USC §255 would be appropriate.

A Form PTO/SB/44 is attached hereto for printing. The fee set forth in 37 CFR §1.20(a) in the amount of \$100 was previously paid on Mar.6<sup>th</sup>, 2017, and this constitutes a duplicate submission to accompany the power of attorney submitted concurrently herewith.

Please send the Certificate to:

Nicole M. Tepe, Ph.D., Esq. Frost Brown Todd LLC 3300 Great American Tower 301 East Fourth Street Cincinnati, Ohio 45202

The Commissioner for Patents is hereby authorized to charge any deficiency, including any fees required for an extension of time not already paid for or any other required fees not already paid for, or to credit any overpayment of fees, to Frost Brown Todd LLC Deposit Account No. 06-2226.

Respectfully submitted,

/Nicole M. Tepe/

Nicole M. Tepe Reg. No. 61,521 Attorney for Applicant Frost Brown Todd LLC 3300 Great American Tower 301 East Fourth Street Cincinnati, Ohio 45202 (513) 651-6427

Dated: March 10, 2017

 $0010872.0610414 \quad 4819\textbf{-}3996\textbf{-}1157v1$ 

Approved for use through 01/31/2020. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

7,000,570	Page <u>1</u> of <u>1</u>
PATENT NO. : 7,662,578	
APPLICATION NO.: 11/737,326	
ISSUE DATE : February 16, 2010	
INVENTOR(S) : Prasad Devarajan	
It is certified that an error appears or errors appear in the above-identified patent and is hereby corrected as shown below:	that said Letters Patent
Please insert at column 1 after line 9, the following paragraph:	
GOVERNMENT SUPPORT	
This invention was made with government support under DK052612, DK053289, and DK0 the National Institutes of Health. The government has certain rights in the invention	70163 awarded by

MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

Nicole M. Tepe, Ph.D., Esq. Frost Brown Todd LLC

301 East Fourth Street, 3300 Great American Tower, Cincinnati, OH 45202

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### **PATENTS**

#### UNITED STATES PATENT AND TRADEMARK OFFICE

Application: 11/737,326 | Examiner: Lisa V. Cook

Filed: April 19, 2007 Art Unit: 1641

Inventor: Prasad Devarajan Atty. Ref.: CHM-033M

Patent No.: 7,662,578 | Issue Date: February 16, 2010

Title: METHOD AND KIT FOR THE EARLY DETECTION OF IMPAIRED

RENAL STATUS

Confirmation No. 5426

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In particular, please insert at column 1 after line 9, the following paragraph:

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The correction is intended to correct an inadvertent error caused by Applicant's Request for Certificate of Correction filed May 12, 2011. Applicant submits that the mistake for which correction is sought is of a typographical nature and was made in good faith, that the requested correction does not constitute new matter, and that the requested correction would not require reexamination. Accordingly, applicant respectfully submits that correction under 37 CFR §1.323 and 35 USC §255 would be appropriate.

A Form PTO/SB/44 is attached hereto for printing. The fee set forth in 37 CFR §1.20(a) in the amount of \$100 is also being submitted herewith.

Please send the Certificate to:

Nicole M. Tepe, Ph.D., Esq. Frost Brown Todd LLC 3300 Great American Tower 301 East Fourth Street Cincinnati, Ohio 45202

The Commissioner for Patents is hereby authorized to charge any deficiency, including any fees required for an extension of time not already paid for or any other required fees not already paid for, or to credit any overpayment of fees, to Frost Brown Todd LLC Deposit Account No. 06-2226.

Respectfully submitted,

/Nicole M. Tepe/

Nicole M. Tepe Reg. No. 61,521 Attorney for Applicant Frost Brown Todd LLC 3300 Great American Tower 301 East Fourth Street Cincinnati, Ohio 45202 (513) 651-6427

Dated: March 6, 2017

0010872.0610414 4851-5932-1668v1

Approved for use through 01/31/2020. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

	Page <u>1</u> of <u>1</u>
PATENT NO. : 7,662,578	
APPLICATION NO.: 11/737,326	
ISSUE DATE : February 16, 2010	
INVENTOR(S) : Prasad Devarajan	
It is certified that an error appears or errors appear in the above-identified patent and is hereby corrected as shown below:	that said Letters Patent
Please insert at column 1 after line 9, the following paragraph:	
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MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

Nicole M. Tepe, Ph.D., Esq. Frost Brown Todd LLC

301 East Fourth Street, 3300 Great American Tower, Cincinnati, OH 45202

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt			
EFS ID:	28538537		
Application Number:	11737326		
International Application Number:			
Confirmation Number:	5426		
Title of Invention:	METHOD AND KIT FOR THE EARLY DETECTION OF IMPAIRED RENAL STATUS		
First Named Inventor/Applicant Name:	Prasad Devarajan		
Customer Number:	38155		
Filer:	Nicole Marie Tepe/Lis O'Brien		
Filer Authorized By:	Nicole Marie Tepe		
Attorney Docket Number:	CHM-033M		
Receipt Date:	06-MAR-2017		
Filing Date:	19-APR-2007		
Time Stamp:	11:23:10		
Application Type:	Utility under 35 USC 111(a)		

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$100
RAM confirmation Number	030617INTEFSW11240500
Deposit Account	062226
Authorized User	Elisabeth O'Brien

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

PATENT

**REEL: 053008 FRAME: 0122** 

37 CFR 1.19 (Document supply fees)37 CFR 1.20 (Post Issuance fees)37 CFR 1.21 (Miscellaneous fees and charges)

### **File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			125813		
1	Request for Certificate of Correction	11737326_RequestForCOC.pdf	affec4a50650a3247d1f7d968c5b6d9f63ed 0e36	no	2
Warnings:					
Information:					
			153758		
2 Request for Certificate of Correction	11737326_CertificateOfCorrecti on.pdf	ab3e81e332b68f4a6ee5415ef70872ca1c2c df40	no	2	
Warnings:				l	
Information:					
			30279		
3	Fee Worksheet (SB06)	fee-info.pdf	1d59aeb0a3ef3d751c08e4c01ba81913573 dcd3f	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	30	09850	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Patent Application Fee Transmittal					
Application Number:	117	737326			
Filing Date:	19-	19-Apr-2007			
Title of Invention:	ME	THOD AND KIT FOR	R THE EARLY DI	ETECTION OF IMPAI	RED RENAL STATUS
First Named Inventor/Applicant Name:	Prasad Devarajan				
Filer:	Nic	ole Marie Tepe/Lis	O'Brien		
Attorney Docket Number:	CH	M-033M			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Certificate of correction		1811	1	100	100

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	100

PATENT REEL: 053008 FRAME: 0125

**RECORDED: 06/23/2020**