

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6173314

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	MERGER AND CHANGE OF NAME	
<b>EFFECTIVE DATE:</b>	07/04/2018	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	BASF AND PRONOVA BIOPHARMA NORGE AS	07/04/2018
<b>NEWLY MERGED ENTITY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	BASF AS	07/04/2018
<b>MERGED ENTITY'S NEW NAME (RECEIVING PARTY)</b>		
<b>Name:</b>	BASF AS	
<b>Street Address:</b>	LILLEAKERVEIEN 2B	
<b>City:</b>	OSLO	
<b>State/Country:</b>	NORWAY	
<b>Postal Code:</b>	0283	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	14770862
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(202)408-4000	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	202-408-4000	
<b>Email:</b>	pam.gebhardtsbauer@finnegan.com	
<b>Correspondent Name:</b>	FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP	
<b>Address Line 1:</b>	901 NEW YORK AVE., NW	
<b>Address Line 4:</b>	WASHINGTON, D.C. 20001	
<b>ATTORNEY DOCKET NUMBER:</b>	14622.0084-00000	
<b>NAME OF SUBMITTER:</b>	PAMELA A. GEBHARDTSBAUER	
<b>SIGNATURE:</b>	/Pamela A. Gebhardtsbauer/	
<b>DATE SIGNED:</b>	06/26/2020	
<b>Total Attachments: 4</b>		

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**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

BASF AS and Pronova Biopharma Norge AS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) July 3, 2018; July 4, 2018

- ☐ Assignment ☒ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: BASF AS

Internal Address: \_\_\_\_\_

Street Address: Lilleakerveien 2B

City: Oslo

State: \_\_\_\_\_

Country: Norway Zip: 0283

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

14/770,862

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: FINNEGAN ET AL.

Internal Address: \_\_\_\_\_

Street Address: 901 New York Ave., NW

City: Washington

State: D.C. Zip: 20001

Phone Number: (202) 408-4000

Docket Number: 14622.0084-00000

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** \_\_\_\_\_

**7. Total fee** (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_

- ☐ Authorized to be charged to deposit account  
☐ Enclosed  
☒ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized UserName \_\_\_\_\_

**9. Signature:** /Rachael P. Dippold/

June 26, 2020

Signature

Date

Rachael P. Dippold - Reg No. 73,015

Name of Person Signing

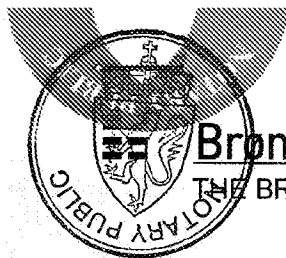
Total number of pages including cover sheet, attachments, and documents:

**4**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

**REEL: 053046 FRAME: 0386**



# Brønnøysundregistrene

THE BRØNNØYSUND REGISTER CENTRE

Basf As  
Postboks 420  
1327 LYSAKER

Your ref.  
Tommy Album Rørvik

Our ref.  
20180001997080-1 SB-WHO

Date  
2018-09-13

## CONFIRMATION

**BASF NORGE AS** was transferred from Asker og Bærum Trade Register to the Register of Business Enterprises on 14 April 1989. Organization number 911 434 016 was assigned to the company.

Type of company: Limited company.

The following change regarding the name was registered:

Date: 20 May 1998: **BASF AS**

### Merger

A decision to merge the following companies was registered on 8 January 2018:

Acquired company: **BASF AS**,  
organization number 911 434 016

Acquiring company: **PRONOVA BIOPHARMA NORGE AS**,  
organization number 914 183 987

The merger was registered as completed on 3 July 2018. **BASF AS** was struck off on the same date.

The merger has been completed in accordance with Chapter 13 of The Limited Liability Companies Act.

**Change in the acquiring company**

**PRONOVA BIOPHARMA NORGE AS**, organization number 914 183 987

The following change regarding the name was registered:

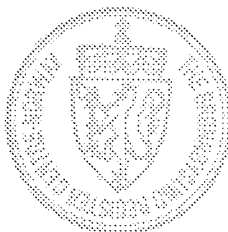
Date: 4 July 2018:

**BASF AS**

**BRØNNØYSUNDREGISTRENE**

**THE BRØNNØYSUND REGISTER CENTRE**

Stein Roar Haugan  
Assistant Director  
sign



*Eva K. Mikalsen*  
Eva Kristine Mikalsen  
Executive Officer



*Liv-Astrid Bang*  
Liv-Astrid Bang  
Notary Public  
for the Brønnøysund Register Centre

