#### 506131755 06/30/2020

EPAS ID: PAT6178482

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

**SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT** 

#### **CONVEYING PARTY DATA**

Name	Execution Date
Shaosheng Cao	06/24/2020
Xinxing Yang	06/02/2020
Jun Zhou	06/24/2020

# **RECEIVING PARTY DATA**

Name:	ALIBABA GROUP HOLDING LIMITED	
Street Address:	FOURTH FLOOR, ONE CAPITAL PLACE, P.O. BOX 847	
City:	GEORGE TOWN, GRAND CAYMAN	
State/Country:	CAYMAN ISLANDS	
Postal Code:	KY1-1103	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	16805079	

# CORRESPONDENCE DATA

Fax Number: (877)769-7945

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

+1 (650) 839-5079 Phone:

ANTFormalities@fr.com, APSI@fr.com Email:

**Correspondent Name:** MINGKANG HE

FISH & RICHARDSON P.C. Address Line 1:

Address Line 2: P.O.BOX 1022

Address Line 4: MINNEAPOLIS, MINNESOTA 55440-1022

ATTORNEY DOCKET NUMBER: 44892-0579001/PCT13137US **NAME OF SUBMITTER:** SARAH NAGLE SIGNATURE: /Sarah Nagle/ **DATE SIGNED:** 06/30/2020

### **Total Attachments: 4**

source=PCT13137US\_Assignment-signed#page1.tif source=PCT13137US\_Assignment-signed#page2.tif source=PCT13137US Assignment-signed#page3.tif

**PATENT** REEL: 053085 FRAME: 0320 506131755

 $source = PCT13137US\_Assignment\text{-}signed\#page4.tif$ 

PATENT REEL: 053085 FRAME: 0321

# ASSIGNMENT and CONFIRMATION

For valuable consideration, the receipt of which we acknowledge, and intending to be bound legally, we, SHAOSHENG CAO, XINXING YANG, and JUN ZHOU, each individually assign to ALIBABA GROUP HOLDING LIMITED, a corporation formed under the laws of Cayman Islands and having a principal place of business at Fourth Floor, One Capital Place, P.O. Box 847, George Town, Grand Cayman, Cayman Islands, KY1-1103, and its successors, transferees, and assignees (collectively the "Assignee"), all of our individual and joint right, title, and interest throughout the world in the invention(s) (the "Subject Matter") of Chinese Patent Application, 201711144728.1, filed November 17, 2017 that names us as inventors (the "Chinese Patent Application") and of a patent application titled "CLUSTER-BASED RANDOM WALK PROCESSING," that claims priority to the Chinese Patent Application and was or will be filed in the United States Patent and Trademark Office on February 28, 2020, as application 16/805,079 (the "Application") which also names us as inventors.

The Subject Matter of the Application was developed as part of, and the foregoing assignment occurred by operation of, employment by each of us with Ant Small and Micro Financial Services Group Co., Ltd. directly or by a subsidiary of Ant Financial (collectively "Ant Financial"), a limited liability company organized under the Laws of the PRC and having a principal place of business at 26/F Tower One, Times Square, 1 Matheson Street, Causeway Bay, Hong Kong, and agreements with Assignee. Should any of us have any remaining interest, we hereby assign and transfer any right, title or interest we may have in said Subject Matter of the Application to Assignee.

This Assignment assigns, in addition to the Subject Matter of the Application, (a) the Application and all other applications that may be made for, and all patents, utility models, design registrations, and other rights of exclusion and inventors' certificates for, any of the Subject Matter (collectively the "Applications and Granted Rights") in every country or region and under every treaty or convention, (b) the right to claim priority based on and the benefit of the filing date of any of the Applications and Granted Rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other applicable treaties or conventions, and (c) the right to pursue, collect, and retain in the Assignee's name or otherwise, damages and any other remedies arising from

Assignment Page 1 of 4

Attorney Docket No.: 44892-0579001 / PCT13137US

any past, present, or future infringement of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment.

We authorize the Assignee to apply for and pursue protection for any or all of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment in all countries, regions, and territories of the world, in our names or in the Assignee's name.

We represent and warrant that we have the right and power to make this Assignment and that we have not made and will not make any other assignment that conflicts with this Assignment.

We will communicate to the Assignee (or, at the request of the Assignee to other parties) all known facts in any form relating to the Subject Matter; will execute and provide all oaths and declarations, statements, testimony, assignments, powers of attorney, applications, and documents; and will perform all other lawful acts necessary or desirable to secure fully to the Assignee the rights, titles, and interests assigned by this Assignment.

Shapsheng cap	Date:	2020,6,24
Shaosheng Cao	_	

Attorney Docket No.: 44892-0579001 / PCT13137US

xinxing yang	Date:	2020.6.2
Xinxing Yang		

Attorney	Docket No.:	44892-057	'9001 / F	PCT13137U	S

Jun Zhou	Date:	2020 6.24
Jun Zhou		

Assignment Page 4 of 4