# 506136440 07/02/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

tylesheet Version v1.2

EPAS ID: PAT6183167

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JOHN W. DURKEE	12/16/2016
KURT S. WILCOX	02/02/2017
ERIC J. TARSA	01/09/2017

## **RECEIVING PARTY DATA**

Name:	CREE, INC.
Street Address:	4600 SILICON DRIVE
City:	DURHAM
State/Country:	NORTH CAROLINA
Postal Code:	27703-8475

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16919533

## **CORRESPONDENCE DATA**

**Fax Number:** (919)854-2084

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9197194843

**Email:** uspto-assignments@coatsandbennett.com

Correspondent Name: COATS & BENNETT, PLLC/IDEAL
Address Line 1: 1400 CRESCENT GREEN, SUITE 300
Address Line 4: CARY, NORTH CAROLINA 27518

ATTORNEY DOCKET NUMBER:	5600-1126 / P2143US5
NAME OF SUBMITTER:	DAVID D. KALISH
SIGNATURE:	/David D. Kalish, Reg. No. 42,706/
DATE SIGNED:	07/02/2020

## **Total Attachments: 6**

source=5600-1126\_Assignment#page1.tif source=5600-1126\_Assignment#page2.tif source=5600-1126\_Assignment#page3.tif source=5600-1126\_Assignment#page4.tif

PATENT 506136440 REEL: 053108 FRAME: 0554

source=5600-1126\_Assignment#page5.tif source=5600-1126\_Assignment#page6.tif

Atty. Docket No. C0421/P2143US2

### ASSIGNMENT OF INVENTION

WHEREAS, we, John W. Durkee, a U.S. citizen, residing at 1107 Mordecai Dr., Apt. 100, Raleigh, NC 27604; Kurt S. Wilcox, a U.S. citizen, residing at 140 Sunnyside Place, Libertyville, IL 60048; and Eric J. Tarsa, a U.S. citizen, residing at 105 Dearborn Place #41, Goleta, CA 93117, have invented certain new and useful inventions described in the patent application entitled, "OPTICAL WAVEGUIDE BODIES AND LUMINAIRES UTILIZING SAME." If the lines below are blank, the above described patent application was filed on even date with the recordal of this assignment, otherwise, to comply with 37 C.F.R. § 3.21 for recordal of this assignment, we hereby authorize the attorneys for the Assignee to insert the filing date and application number below after they become known and prior to filing the recordation of this assignment: U.S. Application No. 14/991,581, filed January 8, 2016.

AND WHEREAS, Cree, Inc., a U.S. company having an address at 4600 Silicon Drive, Durham, NC 27703, hereinafter called the "Assignee," desires to acquire all right, title and interest in and to said invention and patent application within the United States and its territorial possessions and all foreign countries, and any United States or foreign Letters Patent that may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, and with the intention of being legally bound hereby, we confirm that we have sold, assigned and transferred, and do hereby sell, assign and transfer to said Assignee the entire right, title and interest in and to my invention and patent application within the United States of America and its territorial possessions and all foreign countries, and in and to any Letters Patent of the United States and all foreign countries that may be granted therefor, including all divisions, continuations, continuations-in-part, substitutes, patents of addition, reissues, reexaminations, renewals and extensions thereof, and the right to apply for Letters Patent in foreign countries with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, together with the right to extend the protection of said United States Letters Patent to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its use and benefit and for the use and benefit of its successors or assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made. And we do hereby request and authorize the United States Commissioner for Patents, and any officials of

foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all said Letters Patent, when granted, in accordance with the terms of this assignment.

We further covenant and agree with the Assignee that we have full and unencumbered title to the invention and patent application herein assigned, which title we warrant unto the Assignee, and we further agree that, when requested, we will, without demanding any further consideration therefor but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States or foreign Letters Patent for the said invention and patent application, and for maintaining and perfecting the Assignee's right to said invention, patent application and Letters Patent particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we, the said inventors have executed this Assignment under seal.

/John W. Durkee/	12/16/2016
John W. Durkee	Date (dd/mm/yyyy)
Kurt S. Wilcox	Date (dd/mm/yyyy)
Eric J. Tarsa	Date (dd/mm/yyyy)

Arty. Docket No. C0421/P2143US2

#### ASSIGNMENT OF INVENTION

WHEREAS, we, John W. Durkee, a U.S. citizen, residing at 1107 Mordecai Dr., Apt. 100, Raleigh, NC 27604; Kurt S. Wilcox, a U.S. citizen, residing at 140 Sunnyside Place, Libertyville, IL 60048; and Eric J. Tarsa, a U.S. citizen, residing at 105 Dearborn Place #41, Goleta, CA 93117, have invented certain new and useful inventions described in the patent application entitled, "OPTICAL WAVEGUIDE BODIES AND LUMINAIRES UTILIZING SAME." If the lines below are blank, the above described patent application was filed on even date with the recordal of this assignment, otherwise, to comply with 37 C.F.R. § 3.21 for recordal of this assignment, we hereby authorize the attorneys for the Assignee to insert the filing date and application number below after they become known and prior to filing the recordation of this assignment: U.S. Application No. 14/991,581, filed January 8, 2016.

AND WHEREAS, Cree, Inc., a U.S. company having an address at 4600 Silicon Drive, Durham, NC 27703, hereinafter called the "Assignee," desires to acquire all right, title and interest in and to said invention and patent application within the United States and its territorial possessions and all foreign countries, and any United States or foreign Letters Patent that may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, and with the intention of being legally bound hereby, we confirm that we have sold, assigned and transferred, and do hereby sell, assign and transfer to said Assignee the entire right, title and interest in and to my invention and patent application within the United States of America and its territorial possessions and all foreign countries, and in and to any Letters Patent of the United States and all foreign countries that may be granted therefor, including all divisions, continuations, continuations-in-part, substitutes, patents of addition, reissues, reexaminations, renewals and extensions thereof, and the right to apply for Letters Patent in foreign countries with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, together with the right to extend the protection of said United States Letters Patent to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its use and benefit and for the use and benefit of its successors or assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made. And we do hereby request and authorize the United States Commissioner for Patents, and any officials of

foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all said Letters Patent, when granted, in accordance with the terms of this assignment.

We further covenant and agree with the Assignee that we have full and unencumbered title to the invention and patent application herein assigned, which title we warrant unto the Assignee, and we further agree that, when requested, we will, without demanding any further consideration therefor but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States or foreign Letters Patent for the said invention and patent application, and for maintaining and perfecting the Assignee's right to said invention, patent application and Letters Patent particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we, the said inventors have executed this Assignment under seal.

John W. Durkee	Date (dd/mm/yyyy)
Kurt S. Wilcox	Date (dd/mm/yyyy)
1 z_	9/1/2017
Wric J. Tarsa	Date (dd/mm/yyyy)

Atty, Docket No. C0421/P2143US2

#### ASSIGNMENT OF INVENTION

WHEREAS, we, John W. Durkee, a U.S. citizen, residing at 1107 Mordecai Dr., Apt. 100, Raleigh, NC 27604; Kurt S. Wilcox, a U.S. citizen, residing at 140 Sunnyside Place, Libertyville, IL 60048; and Eric J. Tarsa, a U.S. citizen, residing at 105 Dearborn Place #41, Goleta, CA 93117, have invented certain new and useful inventions described in the patent application entitled, "OPTICAL WAVEGUIDE BODIES AND LUMINAIRES UTILIZING SAME." If the lines below are blank, the above described patent application was filed on even date with the recordal of this assignment, otherwise, to comply with 37 C.F.R. § 3.21 for recordal of this assignment, we hereby authorize the attorneys for the Assignee to insert the filing date and application number below after they become known and prior to filing the recordation of this assignment: U.S. Application No. 14/991,581, filed January 8, 2016.

AND WHEREAS, Cree, Inc., a U.S. company having an address at 4600 Silicon Drive, Durham, NC 27703, hereinafter called the "Assignee," desires to acquire all right, title and interest in and to said invention and patent application within the United States and its territorial possessions and all foreign countries, and any United States or foreign Letters Patent that may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, and with the intention of being legally bound hereby, we confirm that we have sold, assigned and transferred, and do hereby sell, assign and transfer to said Assignee the entire right, title and interest in and to my invention and patent application within the United States of America and its territorial possessions and all foreign countries, and in and to any Letters Patent of the United States and all foreign countries that may be granted therefor, including all divisions, continuations, continuations-in-part, substitutes, patents of addition, reissues, reexaminations, renewals and extensions thereof, and the right to apply for Letters Patent in foreign countries with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, together with the right to extend the protection of said United States Letters Patent to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its use and benefit and for the use and benefit of its successors or assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made. And we do hereby request and authorize the United States Commissioner for Patents, and any officials of

foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all said Letters Patent, when granted, in accordance with the terms of this assignment.

We further covenant and agree with the Assignee that we have full and unencumbered title to the invention and patent application herein assigned, which title we warrant unto the Assignee, and we further agree that, when requested, we will, without demanding any further consideration therefor but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States or foreign Letters Patent for the said invention and patent application, and for maintaining and perfecting the Assignee's right to said invention, patent application and Letters Patent particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we, the said inventors have executed this Assignment under seal.

John W. Durkee	Date (dd/mm/yyyy)
Kurt S. Wilcox	Date (dd/mm/yyyy)
Eric J. Tarsa	Date (dd/mm/yyyy)