

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6186771

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROBERT K. NEEPER	06/30/2020
RHETT L. AFFLECK	06/30/2020
JOHN E. LILLIG	06/30/2020
RECEIVING PARTY DATA	
Name:	BROOKS AUTOMATION, INC.
Street Address:	15 ELIZABETH DRIVE
City:	CHELMSFORD
State/Country:	MASSACHUSETTS
Postal Code:	01824
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16917523
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2032591800
Email:	pgpatent@pgpatent.com
Correspondent Name:	JANIK MARCOVICI
Address Line 1:	99 HAWLEY LANE
Address Line 4:	STRATFORD, CONNECTICUT 06614
ATTORNEY DOCKET NUMBER:	1181P014735-US(C2D1)
NAME OF SUBMITTER:	JANIK MARCOVICI
SIGNATURE:	/jm/
DATE SIGNED:	07/06/2020
Total Attachments: 7	
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DECLARATION (37CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

As the below named inventor, I hereby declare that:

This declaration is directed to : The attached application, or
 United States application number 15/647,091
or PCT international application number _____
filed on 07/11/2017
and was amended on (if applicable) _____.

Title: AUTOMATED SYSTEM FOR STORING, RETRIEVING AND MANAGING
SAMPLES

The above-identified application was made or authorized to be made by me.

I believe that I am the original, first inventor or an original, first and joint inventor of a claimed Invention in the application and for which a patent is sought.

I hereby state that I have reviewed and understand the contents of the above-identified application, including the specification and claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulation, Section 1.56.

Whereas, I/we, the below-identified inventor(s), have invented certain new and useful improvements in the Invention identified above and described in the above-identified application (referred to herein as the "Invention");

And, whereas I/we desire to assign the entire worldwide right, title and interest in and to the invention and to any and all patent applications and patents directed thereto to the Assignee identified below:

Assignee Name (if applicable): BROOKS AUTOMATION, INC.

Assignee Address (if applicable): 15 Elizabeth Drive, Chelmsford MA, 01824

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

I/we hereby assign, sell and transfer my/our above-identified rights, title and interest in said Invention, said application identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me/us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And I/we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And I/we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for my/our aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

I/we hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of Inventor (Assignor if applicable), **Robert K. NEEPER**:

Signature: _____ Date: _____

Country of Citizenship: US

Witnessed By (if applicable): _____ Date: _____

Signature: _____ City: _____ State: _____

Witnessed By (if applicable): _____ Date: _____

Signature: _____ City: _____ State: _____

Legal Name of Inventor (Assignor if applicable), **Rhett L. AFFLECK** :

Signature: _____ Date: _____

Country of Citizenship: US


Witnessed By (if applicable): _____ Date: _____

Signature: _____ City: _____ State: _____

Witnessed By (if applicable): _____ Date: _____

Signature: _____ City: _____ State: _____

Legal Name of Inventor (Assignor if applicable), John E. LILLIG :

Signature:  _____ Date: June 8, 2020

Country of Citizenship: US

Witnessed By (if applicable): Carron L. Lillig Date: June 8, 2020

Signature: Carron Lillig City: Ramesa State: CA

Witnessed By (if applicable): Jessifer Geibel Date: June 8, 2020

Signature: Jessifer Geibel City: Newberg State: OR

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	AUTOMATED SYSTEM FOR STORING, RETRIEVING AND MANAGING SAMPLES		
This statement is directed to:			
<input type="checkbox"/> The attached application,			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>15/647,091</u> filed on <u>7/11/2017</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Robert K. Neeper			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Ramona	CA	US	
Mailing Address (except for a deceased or legally incapacitated inventor):			
1370 Ramona View Court			
City	State	Zip	Country
Ramona	CA	92065	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input type="checkbox"/> Assignee,			
<input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
REEL: 053129 FRAME: 0124

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased,
 Inventor is under legal incapacity,
 Inventor cannot be found or reached after diligent effort, or
 Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:Name: **Colin C. Durham** Date (Optional):Signature: **APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

Brooks Automation, Inc.

Applicant Name:

Title of Person Executing This Substitute Statement: **Senior Intellectual Property Counsel**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Chelmsford** State **MA** Country **US**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

15 Elizabeth DriveCity **Chelmsford** State **MA** Zip **01824** Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

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Title of Invention	AUTOMATED SYSTEM FOR STORING, RETRIEVING AND MANAGING SAMPLES		
This statement is directed to:			
<input type="checkbox"/> The attached application,			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>15/647,091</u> filed on <u>7/11/2017</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Rhett L. Affleck			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Poway	CA	US	
Mailing Address (except for a deceased or legally incapacitated inventor):			
13110 Via Del Torro			
City	State	Zip	Country
Poway	CA	92064	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input type="checkbox"/> Assignee,			
<input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

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- Inventor is under legal incapacity.
- Inventor cannot be found or reached after diligent effort, or
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Brooks Automation, Inc.

Applicant Name:

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