# 506148388 07/10/2020

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6195120

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYA	NCE: ASSIGNMENT	
CONVEYING PARTY D	ΑΤΑ	
	Name	Execution Date
ZACHARY BONIG		08/03/2016
ERIC THILL		08/03/2016
PEARCE PECK-WALDE	EN	08/06/2016
JOSÉ ANTONIO ACUÑA	A-ROHTER	08/03/2016
BARRY GALSTER		10/06/2016
NEIL STEUBER		08/06/2016
JAMES BAILEY		08/03/2016
JAKE SIDDALL		09/09/2016

CHICAGO MERCANTILE EXCHANGE INC.
20 SOUTH WACKER DRIVE
CHICAGO
ILLINOIS
60606

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16925751

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone:	312-291-0860
Email:	docket-us@lsk-iplaw.com, michelle.marsh@cmegroup.com
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC/CME
Address Line 1:	20 SOUTH CLARK STREET
Address Line 2:	SUITE 600
Address Line 4:	CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	004672-16012D-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/

DATE SIGNED:	07/10/2020
Total Attachments: 12	
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#### ASSIGNMENT

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WHEREAS, Zachary Bonig, Eric Thill, Pearce Peck-Walden, José Antonio Acuña-Rohter, Barry Galster, Neil Steuber, James Bailey, Jake Siddall hereinafter called the "Assignors", have made the invention described in the United States patent application entitled SYSTEMS AND METHODS FOR COORDINATING PROCESSING OF SCHEDULED INSTRUCTIONS ACROSS MULTIPLE COMPONENTS, executed by Assignors on the same date as, or on a date prior to, this Assignment;

WHEREAS, CHICAGO MERCANTILE EXCHANGE INC., a corporation organized and existing under the laws of the State of Illinois, having a place of business at 20 South Wacker Drive, Chicago, IL 60606, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents issuing therefrom in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; together with the right to recover all damages, including, but not limited to, a reasonable royalty, by reason of past, present, or future infringement or any other violation of patent or patent application rights; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the

- 1 -

PATENT REEL: 053176 FRAME: 0789

103

prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

: 4 \*

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: achary Bonig 08/03/2016 DATED: Fric Thill 8/6/10 DATED: Pearce Peck-Walden 68/03/2016 Al 4 Antonio Acuña-Rohter DATED: DATED: **Barry Galster** <u>8/6/2016</u> 8/3/16 DATED: Neil Steuber DATED: James Bailev DATED: Jake Siddall

PATENT REEL: 053176 FRAME: 0790

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STATE OF Illinois) SS. COUNTY OF Cook)

I, \_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that Zachary Bonig, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this , 2016. OFFICIAL SEAL JANET P HABINA D Halana Notary Public - State of Illinois My Commission Expires Sep 26, 2017 20/2017 My Commission Expires:

STATE OF Illinois) SS. COUNTY OF Cook)

I, <u>PINON INTROINT</u>, a Notary Public in and for the County and State aforesaid, do hereby certify that Eric Thill, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

31 IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of \_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_ AUGMST\_\_\_\_\_, 2016.

Notary Public

My Commission Expires:



STATE OF Illinois) SS. COUNTY OF Cook) ANIT HABINA , a Notary Public in and for the County and State aforesaid, do hereby certify that Pearce Peck-Walden, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth. IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this HU GUIST day of , 2016. Taller MIT Notary Public 1017 My Commission Expires: OFFICIAL SEAL JANET P HABINA Notary Public - State of Illinois My Commission Expires Sep 26, 2017 STATE OF Illinois) SS. COUNTY OF Cook) ANET P. HABINA , a Notary Public in and for the County and State aforesaid, do hereby certify that José Antonio Acuña-Rohter, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth. IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 2016. Haluna Notary Public My Commission Expires: OFFICIAL SEAL JANET P HABINA Notary Public - State of Illinois My Commission Expires Sep 26, 2017

PATENT REEL: 053176 FRAME: 0792

STATE OF	Illinois )	
	) ss.	
COUNTY OF	Cook)	

I, \_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that Barry Galster, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this \_ day of \_\_\_\_\_, 2016.

Notary Public

My Commission Expires: \_\_\_\_\_

STATE OF Illinois) SS. Cook)

COUNTY OF

ANIT THABINA, a Notary Public in and for the County and State aforesaid, do hereby certify that Neil Steuber, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF. I have hereunto set my hand and Notarial Seal, this day of  $\underline{HHGUST}$ , 2016.

No Halun Notary Public

My Commission Expires: 9/26/2011

OFFICIAL SEAL JANET P HABINA Notary Public - State of Illinois My Commission Expires Sep 26, 2017

STATE OF	Illinois)
COUNTY OF	) ss. Cook)
	) ANIET P. Har

I, \_\_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that James Bailey, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

 $\frac{3}{2}$  IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of  $\frac{3}{2}$  day of  $\frac{3}{2}$ , 2016.

auto Malline Notary Public

My Commission Expires:  $9|\mathcal{M}|\mathcal{M}|$ 

OFFICIAL SEAL JANET P HABINA Notary Public - State of Illinois My Commission Expires Sep 26, 2017

STATE OF Illinois) COUNTY OF Cook)

I, <u>JANGE F-MABINA</u>, a Notary Public in and for the County and State aforesaid, do hereby certify that Jake Siddall, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

SS.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of \_\_\_\_\_\_\_\_, 2016.

Alan Notary Public

My Commission Expires: 9/2/17\_\_\_



#### **ASSIGNMENT**

WHEREAS, Zachary Bonig, Eric Thill, Pearce Peck-Walden, José Antonio Acuña-Rohter, Barry Galster, Neil Steuber, James Bailey, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled SYSTEMS AND METHODS FOR COORDINATING PROCESSING OF SCHEDULED INSTRUCTIONS ACROSS MULTIPLE COMPONENTS, executed by Assignors on the same date as, or on a date prior to, this Assignment;

WHEREAS, CHICAGO MERCANTILE EXCHANGE INC., a corporation organized and existing under the laws of the State of Illinois, having a place of business at 20 South Wacker Drive, Chicago, IL 60606, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents issuing therefrom in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; together with the right to recover all damages, including, but not limited to, a reasonable royalty, by reason of past, present, or future infringement or any other violation of patent or patent application rights; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

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prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED:		Zachary Bonig
DATED:		Eric Thill
DATED	<u></u>	Pearce Peck-Walden
DATED:	·	José Antonio Acuña-Rohter
DATED:	10/2016	Barry Galster
DATED:		Neil Steuber
DATED		James Bailey
DATED		Jake Siddall

STATE OF	)	
	)	SS.
COUNTY OF	)	

I, \_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that Zachary Bonig, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this \_\_\_\_\_ day of \_\_\_\_\_\_, 2014.

Notary Public

My Commission Expires: \_\_\_\_\_

STATE OF

COUNTY OF

SS.

I, \_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that Eric Thill, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of \_\_\_\_\_\_, 2014.

Notary Public

My Commission Expires: \_\_\_\_\_

STATE OF ) ) ss.

COUNTY OF )

I, \_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that Pearce Peck-Walden, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this \_\_\_\_\_ day of \_\_\_\_\_\_, 2014.

Notary Public

My Commission Expires: \_\_\_\_\_

STATE OF

) SS.

COUNTY OF

I, \_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that José Antonio Acuña-Rohter, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of \_\_\_\_\_\_, 2014.

Notary Public

My Commission Expires: \_\_\_\_\_

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			OFFICIAL SEAL OFFICIAL SEAL	
STATE OF	<u>TL</u>		AMANDA J DOWNEY NOTARY PUBLIC - STATE OF ILLINDIS NY COMMISSION EXPIRES 107/18/18	
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me to be the same appeared before me the delivered the said in purposes therein set for	person who his day in pe istrument as orth.	ise name is si erson and ackn s his/her free have hereunto , 2016.	, a Notary Public in and for the nat <b>Barry Galster</b> , personally known to ubscribed to the foregoing instrument, owledged that (s)he signed, sealed and and voluntary act for the uses and o set my hand and Notarial Seal, this	
Unia	uda J	Dew	NUA Notary Public	
My Commission Expires: $07/18/18$				
STATE OF	Illinois )	SS.		
COUNTY OF	Cook )			
l,			a Notary Public in and for the	
to be the same person before me this day in p	whose nam	ne is subscribe acknowledged	at Neil Steuber, personally known to me d to the foregoing instrument, appeared that (s)he signed, sealed and delivered y act for the uses and purposes therein	
	/HEREOF, I	have hereunto	o set my hand and Notarial Seal, this	

Notary Public

My Commission Expires:

STATE OF	)	
	)	SS.
COUNTY OF	)	

I, \_\_\_\_\_\_, a Notary Public in and for the County and State aforesaid, do hereby certify that James Bailey, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of \_\_\_\_\_\_, 2014.

Notary Public

My Commission Expires: \_\_\_\_\_