

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6196008

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
AUBREY SHICK	04/02/2020
JOSH ANON	04/01/2020
STEFAN SCHERER	05/13/2020
NICOLE MARIE HURST	05/13/2020
SARA JENNY PALENCIA	05/20/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	EMBODIED, INC.
<b>Street Address:</b>	385 E. COLORADO BLVD., SUITE 110
<b>City:</b>	PASADENA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	91101
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16675640
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	818 905-3211
<b>Email:</b>	mkendrick852001@gmail.com
<b>Correspondent Name:</b>	KENDRICK INTELLECTUAL PROPERTY LAW MARK
<b>Address Line 1:</b>	4127 WOODCLIFF ROAD
<b>Address Line 4:</b>	SHERMAN OAKS, CALIFORNIA 91403
<b>ATTORNEY DOCKET NUMBER:</b>	EMBODIED002USP1
<b>NAME OF SUBMITTER:</b>	MARK R KENDRICK
<b>SIGNATURE:</b>	/MARK R. KENDRICK/
<b>DATE SIGNED:</b>	07/12/2020
<b>Total Attachments: 13</b>	
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source=AubreyExecutedDeclarationAssignment#page4.tif

**ASSIGNMENT**

WHEREAS, We, Stefan Scherer, Nicole Marie Hurst, Sara Jenny Palencia, Aubrey Schick, and Josh Anon, have made an invention entitled:

**SYSTEMS AND METHODS FOR ADAPTIVE HUMAN-MACHINE INTERACTION AND  
AUTOMATIC BEHAVIORAL ASSESSMENT**

the specification of which was filed as US Non-Provisional Patent Application No. 16/675,640, filed November 6, 2019;

WHEREAS, Embodied, Inc., a corporation organized and existing under the laws of Delaware and having an office and place of business at 385 E. Colorado Blvd., Suite 110, Pasadena, California 91101 is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid to us, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said Embodied, Inc., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said US provisional patent application, including any nonprovisional patent application claiming the benefit thereof and any continuations, continuations-in-part, and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and any reissues of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations, continuations-in-part, and divisions

thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said Embodied, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

05 / 13 / 2020

\_\_\_\_\_  
Date

*Stefan Scherer*

\_\_\_\_\_  
Stefan Scherer

05 / 13 / 2020

\_\_\_\_\_  
Date

*Nicole Marie Hurst*

\_\_\_\_\_  
Nicole Marie Hurst

05 / 20 / 2020

\_\_\_\_\_  
Date

*Sara Jenny Palencia*

\_\_\_\_\_  
Sara Jenny Palencia

\_\_\_\_\_  
Date

\_\_\_\_\_  
Aubrey Schick

\_\_\_\_\_  
Date

\_\_\_\_\_  
Josh Anon





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<b>TITLE</b>	EMBODIED002 USP1 Assignment
<b>FILE NAME</b>	EMBODIED002USP1As...forExecution.docx
<b>DOCUMENT ID</b>	f25c1be13388eacceb3914e6e4a03d2fbc0cb2f7
<b>AUDIT TRAIL DATE FORMAT</b>	MM / DD / YYYY
<b>STATUS</b>	✦ Completed

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## Document History

 SENT	<b>05 / 13 / 2020</b> 21:37:51 UTC	Sent for signature to Stefan Scherer (stefan.scherer@embodied.me), Nichole Hurst (nikki.hurst@embodied.me) and Sara Palencia (sarajlpalencia@gmail.com) from sara.hertweck@embodied.me IP: 108.205.155.220
 VIEWED	<b>05 / 13 / 2020</b> 21:40:40 UTC	Viewed by Nichole Hurst (nikki.hurst@embodied.me) IP: 104.173.215.61
 VIEWED	<b>05 / 13 / 2020</b> 23:00:26 UTC	Viewed by Stefan Scherer (stefan.scherer@embodied.me) IP: 172.91.126.108
 VIEWED	<b>05 / 20 / 2020</b> 20:59:39 UTC	Viewed by Sara Palencia (sarajlpalencia@gmail.com) IP: 104.175.212.231
 SIGNED	<b>05 / 13 / 2020</b> 21:40:50 UTC	Signed by Nichole Hurst (nikki.hurst@embodied.me) IP: 104.173.215.61

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<b>TITLE</b>	EMBODIED002 USP1 Assignment
<b>FILE NAME</b>	EMBODIED002USP1As...forExecution.docx
<b>DOCUMENT ID</b>	f25c1be13388eacceb3914e6e4a03d2fbc0cb2f7
<b>AUDIT TRAIL DATE FORMAT</b>	MM / DD / YYYY
<b>STATUS</b>	✦ Completed

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Document History



**05 / 13 / 2020**  
23:00:54 UTC

Signed by Stefan Scherer (stefan.scherer@embodied.me)  
IP: 172.91.126.108



**05 / 20 / 2020**  
20:59:58 UTC

Signed by Sara Palencia (sarajlpalencia@gmail.com)  
IP: 104.175.212.231



COMPLETED

**05 / 20 / 2020**  
20:59:58 UTC

The document has been completed.

**ASSIGNMENT**

WHEREAS, We, Stefan Scherer, Nicole Marie Hurst, Sara Jenny Palencia, Aubrey Schick, and Josh Anon, have made an invention entitled:

**SYSTEMS AND METHODS FOR ADAPTIVE HUMAN-MACHINE INTERACTION AND  
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revivals, continuations, continuations-in-part, and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said Embodied, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Stefan Scherer

\_\_\_\_\_  
Date

\_\_\_\_\_  
Nicole Marie Hurst

\_\_\_\_\_  
Date

\_\_\_\_\_  
Sara Jenny Palencia

\_\_\_\_\_  
Date  
Schick

\_\_\_\_\_  
Aubrey



\_\_\_\_\_  
April 1, 2020

\_\_\_\_\_  
Date

\_\_\_\_\_  
Josh Anon





ASSIGNMENT

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**SYSTEMS AND METHODS FOR ADAPTIVE HUMAN-MACHINE INTERACTION AND  
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thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said Embodied, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

\_\_\_\_\_  
Date \_\_\_\_\_ Stefan Scherer

\_\_\_\_\_  
Date \_\_\_\_\_ Nicole Marie Hurst

\_\_\_\_\_  
Date \_\_\_\_\_ Sara Jenny Palencia

4/2/2020  
Date \_\_\_\_\_   
Aubrey Shick

\_\_\_\_\_  
Date \_\_\_\_\_ Josh Anon

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	<b>SYSTEMS AND METHODS FOR ADAPTIVE HUMAN-MACHINE INTERACTION AND AUTOMATIC BEHAVIORAL ASSESSMENT</b>
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As the below named inventor, I hereby declare that:

This declaration is directed to:  The attached application, or  
 United States application or PCT international application number 16/675,640  
 filed on 6-NOV-2019

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

#### LEGAL NAME OF INVENTOR

Inventor: Aubrey Shick Date (Optional): 4/2/2020

Signature: 

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**PATENT**  
**REEL: 053184 FRAME: 0250**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT**