

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6204518

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	TAIWAN COLOR OPTICS, INC.	05/11/2019
RECEIVING PARTY DATA		
Name:	OPTONOMOUS TECHNOLOGIES, INC.	
Street Address:	30330 RAINBOW VIEW COURT	
City:	AGOURA HILLS	
State/Country:	CALIFORNIA	
Postal Code:	91301	
PROPERTY NUMBERS Total: 2		
Property Type	Number	
Application Number:	16509196	
PCT Number:	US2019041389	
CORRESPONDENCE DATA		
Fax Number:	(952)435-0299	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	(952) 435-0200	
Email:	glemaire@lemairepatent.com	
Correspondent Name:	LEMAIRE PATENT LAW FIRM, P.L.L.C.	
Address Line 1:	P.O. BOX 1818	
Address Line 4:	BURNSVILLE, MINNESOTA 55337	
ATTORNEY DOCKET NUMBER:	5407.003US1 .003WO1	
NAME OF SUBMITTER:	GREGORY A. LEMAIRE	
SIGNATURE:	/Gregory A. Lemaire/	
DATE SIGNED:	07/16/2020	
Total Attachments: 20		
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IP Assignment Agreement

This agreement made effective as of May 11st, 2019 (the "Effective Date") and entered into by and between Taiwan Color Optics, Inc ("TCO"), a Republic of China corporation, and Optonomous Technologies Inc., a Delaware corporation (the "Company").

In exchange for valuable consideration, the parties have agreed to the terms, conditions and statements set forth herein:

1. The intellectual property described on Exhibit A is the "IP". TCO hereby transfers and assigns to the Company all right, title and interest it has in the IP.

Further, TCO agrees that any derivative works based on, and further development of the IP occurring or created after the Effective Date, shall also be "IP", and that all right, title and interest in such IP is also transferred and assigned to the Company by TCO. Upon request by the Company, TCO shall execute additional documents reasonably requested by the Company to confirm such transfer and assignment of all right, title and interest in the IP currently existing or as may later exist.

2. Upon demand, the Company shall pay TCO the sum of \$1. In the event the IP is deemed to have a value greater than \$1, such additional value shall be treated as additional paid in capital to the Company.

3. This Agreement may be signed in counterparts.

This Agreement entered into and made effective as of Effective Date by the undersigned parties.

Entity Name: Taiwan Color Optics, Inc
Signature: WANG CHIH FENG
Printed Name: WANG CHIH FENG
Title: C.E.O.

Entity Name: to Optonomous Technologies Inc
Signature: Kenneth Li
Printed Name: Kenneth Li
Title: CEO

Exhibit A

Intellectual Properties Listed by Provisional Patent Title, USPTO Application Numbers, Filing Dates, and USPTO Confirmation No.

Item	Patent Title	Application No.	Filing Date	USPTO Confirmation No.
1	Laser Excited Crystal Phosphor Light Module	62/763,423	06/14/2018	8083
2	Laser Excited Crystal Phosphor Light Source with Side Excitation	62/764,085	07/18/2018	9152
3	TCO Laser Phosphor and LED Spot Light Projector System	62/764,087	07/18/2018	9512
4	Laser Excited RGB Crystal Phosphor Light Source	62/764,090	07/18/2018	2429
5	MRI Entertainment System	62/765,567	08/31/2018	6366
6	Laser Phosphor Light Source for Intelligent Headlights and Spotlights	62/766,209	10/05/2018	8820

If Required, Foreign Filing License Granted: 06/25/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 62/763,423**

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No
Title

Laser excited crystal phosphor light module

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

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LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <http://www.SelectUSA.gov> or call +1-202-482-6800.



UNITED STATES PATENT AND TRADEMARK OFFICE

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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
62/764,085	07/18/2018		280	TCO-1002		

Kenneth Li
30330 Rainbow View Court
Agoura Hills, CA 91301

CONFIRMATION NO. 9152
FILING RECEIPT



Date Mailed: 07/27/2018

Receipt is acknowledged of this provisional patent application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Yung Peng Chang, Hsinchu, TAIWAN;
Alan Wang, Taichung, TAIWAN;
Kirk Huang, Taichung, TAIWAN;
Mark Chang, Taichung, TAIWAN;
Lion Hsu, Hsinchu, TAIWAN;
Andy Chen, Taichung, TAIWAN;
Kenneth Li, Agoura Hills CA;

Applicant(s)

Yung Peng Chang, Hsinchu, TAIWAN;
Alan Wang, Taichung, TAIWAN;
Kirk Huang, Taichung, TAIWAN;
Mark Chang, Taichung, TAIWAN;
Lion Hsu, Hsinchu, TAIWAN;
Andy Chen, Taichung, TAIWAN;
Kenneth Li, Agoura Hills, CA;

Power of Attorney: None

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 07/27/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 62/764,085**

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No
Title

Laser Excited Crystal Phosphor Light Source with Side Excitation

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
62/764,087	07/18/2018		280	TCO-1003		

Kenneth Li
30330 Rainbow View Court
Agoura Hills, CA 91301

CONFIRMATION NO. 9512
FILING RECEIPT



Date Mailed: 07/27/2018

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Power of Attorney: None

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

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If Required, Foreign Filing License Granted: 07/27/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 62/764,087**

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

TCO laser phosphor and LED spot light projector system

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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APPLICATION NUMBER	FILING or 171(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
62/764,090	07/18/2018		280	TCO-1004		

Kenneth Li
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Agoura Hills, CA 91301

CONFIRMATION NO. 2429
FILING RECEIPT



0000000101503980

Date Mailed: 07/27/2018

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Permission to Access Search Results: No

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Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No
Title

Laser excited RGB crystal phosphor light source

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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APPLICATION NUMBER	FILING or 37(c) DATE	GRP ART UNIT	FIL FEE RECT	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
62/765,567	08/31/2018		280	TCO-1005		

Kenneth Li
30330 Rainbow View Court
Agoura Hills, CA 91301

CONFIRMATION NO. 6366
FILING RECEIPT



Date Mailed: 09/27/2018

Receipt is acknowledged of this provisional patent application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Yung Peng Chang, Hsinchu, TAIWAN;
Pao Chyuan Chen, Hsinchu, TAIWAN;
Kenneth Li, Agoura Hills, CA;

Applicant(s)

Yung Peng Chang, Hsinchu, TAIWAN;
Pao Chyuan Chen, Hsinchu, TAIWAN;
Kenneth Li, Agoura Hills, CA;

Power of Attorney: None

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 62/765,567**

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

MRI entertainment system

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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APPLICATION NUMBER	FILING OF 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
62/766,209	10/05/2018		280	TCO-1006		

Kenneth Li
30330 Rainbow View Court
Agoura Hills, CA 91301

CONFIRMATION NO. 8820
FILING RECEIPT



0000000103240221

Date Mailed: 10/22/2018

Receipt is acknowledged of this provisional patent application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

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Mark Chang, Taichung, TAIWAN;
Lion Hsu, Hsinchu, TAIWAN;
Andy Chen, Taichung, TAIWAN;
Kenneth Li, Agoura Hills, CA;

Power of Attorney: None

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 10/22/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 62/766,209**

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No
Title

Laser Phosphor Light Source for Intelligent Headlights and Spotlights

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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