## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6211491

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	02/28/2019

#### **CONVEYING PARTY DATA**

Name	Execution Date
ALCON RESEARCH, LTD.	02/28/2019

#### **RECEIVING PARTY DATA**

Name:	ALCON RESEARCH, LLC
Street Address:	6201 SOUTH FREEWAY
City:	FORT WORTH
State/Country:	TEXAS
Postal Code:	76134

#### **PROPERTY NUMBERS Total: 194**

Property Type	Number
Application Number:	13036401
Application Number:	12962082
Application Number:	13900160
Application Number:	13010966
Application Number:	12704083
Application Number:	13419061
Application Number:	14569798
Application Number:	13621571
Application Number:	15398761
Application Number:	11777408
Application Number:	11833668
Application Number:	12788645
Application Number:	12331800
Application Number:	13961287
Application Number:	12960983
Application Number:	12956195
Application Number:	12727947
Application Number:	13033930
Application Number:	12635070

PATENT REEL: 053273 FRAME: 0022

506164755

Property Type	Number
Application Number:	12944039
Application Number:	09437453
Application Number:	11752064
Application Number:	13648330
Application Number:	13716680
Application Number:	12444044
Application Number:	12249996
Application Number:	13556673
Application Number:	12860011
Application Number:	12844257
Application Number:	12643310
Application Number:	13910572
Application Number:	14471049
Application Number:	12754119
Application Number:	14267443
Application Number:	13584045
Application Number:	12951266
Application Number:	12957847
Application Number:	13241427
Application Number:	13438881
Application Number:	13316035
Application Number:	12976038
Application Number:	11688573
Application Number:	11949922
Application Number:	13685026
Application Number:	13292231
Application Number:	13290593
Application Number:	13316660
Application Number:	14435541
Application Number:	13396011
Application Number:	13614483
Application Number:	13490692
Application Number:	15459807
Application Number:	62802743
Application Number:	62795297
Application Number:	13086509
Application Number:	13607069
Application Number:	13213519

Property Type	Number
Application Number:	12837803
Application Number:	13851533
Application Number:	13222060
Application Number:	13096838
Application Number:	13488816
Application Number:	13419212
Application Number:	13419167
Application Number:	13571074
Application Number:	15786947
Application Number:	13563992
Application Number:	12609043
Application Number:	12693130
Application Number:	13709752
Application Number:	13584435
Application Number:	13596314
Application Number:	15075861
Application Number:	16026692
Application Number:	13539567
Application Number:	09463572
Application Number:	09336922
Application Number:	12033132
Application Number:	11832333
Application Number:	10078515
Application Number:	12687190
Application Number:	14475801
Application Number:	11499871
Application Number:	12540755
Application Number:	13352009
Application Number:	13360234
Application Number:	14279838
Application Number:	12620251
Application Number:	12409198
Application Number:	13216507
Application Number:	12436981
Application Number:	12777820
Application Number:	12975803
Application Number:	12872412
Application Number:	12917911

Property Type	Number
Application Number:	15397283
Application Number:	13017099
Application Number:	12913868
Application Number:	13155559
Application Number:	13241386
Application Number:	13892429
Application Number:	13096539
Application Number:	13209734
Application Number:	13372849
Application Number:	13685173
Application Number:	13713782
Application Number:	13649354
Application Number:	14050428
Application Number:	11832301
Application Number:	12025868
Application Number:	12536141
Application Number:	12410513
Application Number:	12575645
Application Number:	12948294
Application Number:	12249982
Application Number:	12620639
Application Number:	12338305
Application Number:	12508104
Application Number:	12644147
Application Number:	13279412
Application Number:	11765223
Application Number:	13969732
Application Number:	13208496
Application Number:	12963733
Application Number:	14280951
Application Number:	12854281
Application Number:	62808514
Application Number:	14818762
Application Number:	13308951
Application Number:	12267380
Application Number:	13469391
Application Number:	13178845
Application Number:	14560645

Property Type	Number
Application Number:	09830833
Application Number:	62798138
Application Number:	13544124
Application Number:	12832449
Application Number:	13251368
Application Number:	12443898
Application Number:	11751981
Application Number:	12351898
Application Number:	12327020
Application Number:	09328912
Application Number:	12269373
Application Number:	14180396
Application Number:	14062254
Application Number:	12640427
Application Number:	12852758
Application Number:	12852829
Application Number:	13234259
Application Number:	13026659
Application Number:	13076570
Application Number:	12751127
Application Number:	12903591
Application Number:	14269847
Application Number:	14076215
Application Number:	13315329
Application Number:	13433557
Application Number:	13686430
Application Number:	13304792
Application Number:	15922196
Application Number:	13314625
Application Number:	12884285
Application Number:	13710565
Application Number:	13408507
Application Number:	13308875
Application Number:	13313193
Application Number:	13069179
Application Number:	15797505
Application Number:	13459629
Application Number:	12854561

Property Type	Number
Application Number:	12882243
Application Number:	14835090
Application Number:	13609472
Application Number:	12763322
Application Number:	13323176
Application Number:	12947614
Application Number:	11832243
Application Number:	11939005
Application Number:	10363106
Application Number:	12774363
Application Number:	12434709
Application Number:	12616544
Application Number:	09482779
Application Number:	12634870
Application Number:	13219017
Application Number:	14269749
Application Number:	13614745
Application Number:	13710016
Application Number:	62801677
Application Number:	09604048
Application Number:	16171515
Application Number:	15239409
Application Number:	15421513

#### **CORRESPONDENCE DATA**

**Fax Number:** (216)579-6073

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 216-579-1700

Email: lhawkins@pearne.com

Correspondent Name: JOHN P. MURTAUGH/PEARNE & GORDON LLP

Address Line 1: 1801 EAST 9TH STREET, SUITE 1200

Address Line 4: CLEVELAND, OHIO 44114-3108

ATTORNEY DOCKET NUMBER:	BRAQ-J8635
NAME OF SUBMITTER:	JOHN P. MURTAUGH
SIGNATURE:	/johnpmurtaugh/
DATE SIGNED:	07/20/2020

**Total Attachments: 5** 

source=30-MRG\_Alcon Research, Ltd. to Alcon Research, LLC (Eff. date 28.02.2019)#page1.tif

source=30-MRG\_Alcon Research, Ltd. to Alcon Research, LLC (Eff. date 28.02.2019)#page2.tif source=30-MRG\_Alcon Research, Ltd. to Alcon Research, LLC (Eff. date 28.02.2019)#page3.tif source=30-MRG\_Alcon Research, Ltd. to Alcon Research, LLC (Eff. date 28.02.2019)#page4.tif source=30-MRG\_Alcon Research, Ltd. to Alcon Research, LLC (Eff. date 28.02.2019)#page5.tif



# The State of Texas

# Secretary of State

Not for use within the United States of America This Apostille only certifies the signature, the capacity of the signer and the seal or stamp it

bears. It does not certify the content of the document for which it was issued.

Certificate Validation available at www.sos.state.tx.us

### APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

1. Country

United States of America

This public document

2. has been signed by

BARBARA MCKENZIE

3. acting in the capacity of

Notary Public, State of Texas

4. and bears the seal/stamp of

BARBARA MCKENZIE, Notary Public, State of Texas, Commission Expires: 11-18-21

# **CERTIFIED**

5. at Austin, Texas

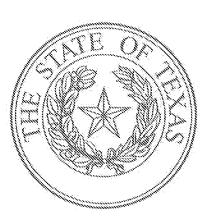
6. on July 8, 2019

7. by the Secretary of State of Texas

8. Certificate No. 11758782

9. Seal

10. Signature:



Jose A. Esparza Deputy Secretary of State

GF/aw

PATENT

REEL: 053273 FRAME: 0029

STATE OF TEXAS

S

COUNTY OF TARRANT

§

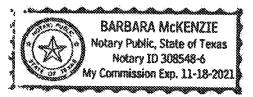
I certify this to be a true and correct copy of Certificate Of Merger of Alcon Research, Ltd. to Alcon Research, LLC dated February 28, 2019.

Notary Public

Barbara McKenzie

Printed Name of Notary Public

[SEAL]



# <u>Delaware</u>

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALCON RESEARCH, LTD.", A DELAWARE CORPORATION,

WITH AND INTO "ALCON RESEARCH, LLC" UNDER THE NAME OF "ALCON RESEARCH, LLC". A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019, AT 8:28 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019 AT 11:15 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

7178801 8100M SR# 20191542231

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202342403

Date: 02-28-19

State of Delaware
Secretary of State
Division of Corporations
Delivered 08:25 AM 02/28/2019
FILED 08:28 AM 02/28/2019
SR 20191542231 - File Number 7178801

# CERTIFICATE OF MERGER. OF ALCON RESEARCH, LTD. WITH AND INTO ALCON RESEARCH, LLC

Pursuant to Section 264 of the
Delaware General Corporation Law (the "DGCL") and
Section 18-209 of the
Delaware Limited Liability Company Act (the "DLLCA")

Alcon Research, LLC, a Delaware limited liability company, DOES HEREBY CERTIFY THAT:

- 1. The name of the surviving limited liability company is Alcon Research, LLC, a Delaware limited liability company (the "Surviving Company"), and the name of the corporation being merged into the Surviving Company is Alcon Research, Ltd., a Delaware corporation (the "Merging Company").
- 2. A Merger and Reorganization Agreement (the "Merger Agreement"), dated as of February 28, 2019, by and among the Surviving Company and the Merging Company, setting forth the terms and conditions of the merger, has been approved, adopted, executed and acknowledged by the Surviving Company and the Merging Company in accordance with Section 264 of the DGCL and Section 18-209 of the DLLCA.
  - 3. The name of the surviving limited liability company is Alcon Research, LLC.
- 4. This Certificate of Merger, and the merger provided for herein, shall be effective on February 28, 2019 at 11:15 a.m. (the "Effective Time").
- 5. The Certificate of Formation of the Surviving Company in effect immediately prior to the Effective Time shall be the Certificate of Formation of the Surviving Company until thereafter amended as provided by applicable law.
- 6. An executed copy of the Merger Agreement is on file at the principal place of business of the Surviving Company at the following address: 6201 South Freeway, Fort Worth, Texas 76134.
- 7. A copy of the Merger Agreement will be furnished by the Surviving Company on request and without cost, to any stockholder of any constituent corporation or member of any constituent limited liability company, as applicable.

([3886672]]

IN WITNESS WHEREOF, the Surviving Company has caused this Certificate of Merger to be signed as of February 28, 2019, by a duly authorized person, declaring that the facts stated herein are true.

ALCON RESEARCH, LLC

Name:

Title:

Ässt. Secretor

[Certificate of Merger Signature Page]

[[3886672]]

PATENT REEL: 053273 FRAME: 0033

**RECORDED: 07/21/2020**