

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6217311

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
FRANK BORRIELLO	07/24/2020
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<b>Name:</b>	ALLOPLEX BIOTHERAPEUTICS, INC.
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<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16899318
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	128663-00103
<b>NAME OF SUBMITTER:</b>	BEVERLY W. LUBIT
<b>SIGNATURE:</b>	/Beverly W. Lubit/
<b>DATE SIGNED:</b>	07/24/2020
<b>Total Attachments: 2</b>	
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source=128663-00103_Alloplex_Assignment_Signed#page2.tif	

**ASSIGNMENT**

**WHEREAS, Frank Borriello** ("ASSIGNOR"), a citizen of the United States, having an address at 3 Greeley Road, Winchester, MA 01890, U.S. has rights in the invention(s) entitled "ALLOGENIC TUMOR CELL VACCINE" described in U.S. Non-Provisional Application No: 16/899,318, filed on June 11, 2020; ("THE INVENTION(S)");

**AND WHEREAS, ALLOPLEX BIOTHERAPEUTICS, INC.** ("ASSIGNEE"), a corporation having a principle place of business at 300 TradeCenter Drive, Suite 6580, Woburn, MA 01801, U.S., desires to acquire the entire right, title, and interest in and to THE INVENTION(S) and in and to the Patent(s);

**NOW, THEREFORE,** in consideration of the sum of one dollar (\$1.00) in hand and/or other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to THE INVENTION(S) and the Patent and all patents and patent applications claiming priority to and/or benefit of the Patent including divisions, continuations, and continuations-in-part thereof and all reissues, reexaminations, and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, registrations, inventor's certificates, and the like) heretofore or hereafter filed for THE INVENTION(S) in any foreign country/countries, and all patents (including all extensions, renewals and reissues thereof) granted for THE INVENTION(S) and the Patent(s) in any foreign country/countries;

**AND ASSIGNOR** authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty is to issue the patent on an application as aforesaid, to issue the patent for THE INVENTION(S) and/or the Patent(s) in the name of ASSIGNEE in accordance with the terms of this assignment;

**AND ASSIGNOR HEREBY** covenants that ASSIGNOR has full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith;

**AND ASSIGNOR HEREBY** further covenants and agrees that ASSIGNOR will communicate to ASSIGNEE any facts known to me respecting THE INVENTION(S) and the Patent(s), sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, reexamination, and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for THE INVENTION(S) and the Patent(s).

In testimony whereof, I hereunto set my hand this 24<sup>th</sup> day of July, 2020.

  
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Frank Borriello

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT**

**RECORDED: 07/24/2020**

**REEL: 053303 FRAME: 0390**