

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6220644

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HIMANSHU N. PATEL	05/29/2008
JOHN B. SIMPSON	06/16/2008
WILLIAM JOHN OLSON	05/30/2008
RECEIVING PARTY DATA	
Name:	AVINGER, INC.
Street Address:	400 CHESAPEAKE DR
City:	REDWOOD CITY
State/Country:	CALIFORNIA
Postal Code:	94063
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15922058
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Email:	jnguyen-perez@shayglenn.com, info@shayglenn.com
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Address Line 1:	2929 CAMPUS DR., SUITE 225
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	11041-701.302
NAME OF SUBMITTER:	KATHLEEN KELLEHER
SIGNATURE:	/Kathleen Kelleher, Reg. No. 62,230/
DATE SIGNED:	07/27/2020
Total Attachments: 5	
source=11041-701.302 Assignment#page1.tif	
source=11041-701.302 Assignment#page2.tif	
source=11041-701.302 Assignment#page3.tif	
source=11041-701.302 Assignment#page4.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) **Himanshu N. Patel** (05/29/2008)
(2) **John B. Simpson** (06/16/2008)
(3) **William John Olson** (05/30/2008)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Avinger, Inc.**
400 Chesapeake Drive
Redwood City, CA 94063

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

EXECUTION DATE(S):

Name and address of receiving party(ies):

Name:
Street Address:
City: State: Zip:
Country:
Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **15/922,058**

Title: **CATHETER SYSTEM AND METHOD FOR BORING THROUGH BLOCKED VASCULAR PASSAGES**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kathleen Kelleher
Shay Glenn LLP
2929 Campus Drive, Suite 225
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ A check is enclosed that includes the total fee.
☒ Charge the \$40 fee to **Deposit Account 50-4050**.

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen Kelleher, Reg. No. 62,230

/Kathleen Kelleher/

July 27, 2020

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **5**

ASSIGNMENT

WHEREAS, Himanshu N. Patel, John B. Simpson, and William John Olson, made certain inventions or discoveries (or both) set forth in an Application for Letters Patent of the United States of America, serial number 12/108,433, entitled *Catheter System and Method for Boring through Blocked Vascular Passages*, filed on 4/23/2008.

WHEREAS, Avinger, Inc., 2045 Martin Avenue, Suite 202, Santa Clara, CA 95050, who, together with its successors and assigns is hereinafter called "Assignee", is desirous of acquiring the entire right, title and interest together with the benefits and privileges hereinafter recited

NOW, THEREFORE, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which I hereby acknowledge, on behalf of Assignor, I hereby, without reservation;

1. Assign, transfer and convey to Assignee any right, title and interest together with the benefits and privileges in and to said inventions and discoveries, said Application for Letters Patent or similar forms of protection of the United States of America, and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said application, and any and all Letters Patent, reissues and extensions of Letters Patent or similar forms of protection granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries or groups of countries on any or all of said inventions and discoveries in our name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or any other relevant convention or treaty or otherwise;

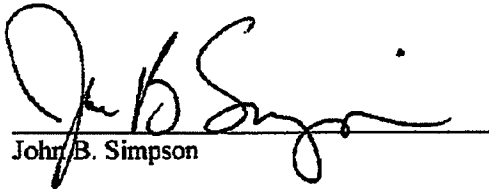
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein, or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any rights in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonable serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing any facts of our conceptions, disclosures, and reduction to practice of said inventions or discoveries.

Himanshu Patel
Himanshu N. Patel

May 29, 2008
Date



John B. Simpson

6-16-08

Date



William John Olson

5-30-08
Date