## 506174839 07/28/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6221577

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
LIXIN LI	12/14/2016

## **RECEIVING PARTY DATA**

Name:	SHANGHAI BIRDIE BIOTECH, INC.
Street Address:	1-4-13, 1 XIUSHUI ROAD
Internal Address:	CHAOYANG DISTRICT
City:	BEIJING
State/Country:	CHINA
Postal Code:	100600

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16940697

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9492530900

**Email:** uspatentmail@klgates.com

Correspondent Name: K&L GATES LLP-ORANGE COUNTY

Address Line 1: 1 PARK PLAZA
Address Line 2: TWELFTH FLOOR

Address Line 4: IRVINE, CALIFORNIA 92614

ATTORNEY DOCKET NUMBER:	1402515.00163
NAME OF SUBMITTER:	DOROTHY L. CHAMBERS
SIGNATURE:	/DOROTHY L. CHAMBERS/
DATE SIGNED:	07/28/2020

**Total Attachments: 2** 

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PATENT 506174839 REEL: 053328 FRAME: 0180

#### **ASSIGNMENT**

**THIS ASSIGNMENT** is made by **Lixin Li**, (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNOR") to **Shanghai Birdie Biotech, Inc.** a corporation duly organized and existing under and by the laws of China and having its principal place of business at 1-4-13, 1 Xiushui Road, Chaoyang District, Beijing 100600, CHINA, (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNEE").

**WHEREAS**, ASSIGNOR has invented and own rights in, to and under a new and useful invention entitled "Compounds and Compositions for Immunotherapy" for which an application(s) for Letters Patent has been filed as indicated below (hereafter "Invention");

Patent Application Number	Country	Filing Date
201410011262.8	CN	January 10, 2014
201410011324.5	CN	January 10, 2014
201410011362.0	CN	January 10, 2014
PCT/CN2015/070379	WO	January 8, 2015

WHEREAS, ASSIGNOR believe himself to be the original and true inventor of the Invention;

WHEREAS, ASSIGNEE desires to acquire the Invention and improvements thereto;

**AND WHEREAS**, ASSIGNOR and ASSIGNEE desire to have a recordable instrument assigning ASSIGNEE as owners of the entire right, title and interest in, to, and under the Invention and improvements thereto owned by ASSIGNOR;

NOW THEREFORE, for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, convey, endorse, and hereby set over unto ASSIGNEE the full and exclusive right, title and interest in, to and under the Invention to be held and enjoyed by ASSIGNEE, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made including the full and exclusive right, title and interest in, to and under 1) any patent application, or any other legal instrument equivalent thereof, including, without limitation, continuation, division, continuation-in-part, substitute, reexamination, renewal, inventor's certificate, and utility model, which has been or may be submitted therefor and thereon anywhere in the World, such term defined herein as including the United States of America, its territorial possessions, and any and all foreign countries under national laws or under the provisions of the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, or any other international treaty equivalent thereof; 2) any Letters Patent, or any other legal instrument equivalent thereof, which has been or may be granted therefor and thereon, in the World, for the full term or terms for which the same may be granted; 3) any reissue, extension, or any other legal instrument equivalent thereof, on any patent application or Letters Patent which has been or may be granted therefor and thereon in the World; and 4) any right to claim priority to a filing date, or any other legal equivalent thereof, which has been or may be claimed by any patent application or Letters Patent therefor and thereon in the World.

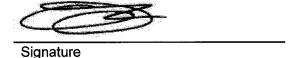
Docket No. 1009-703 1402515-00032

ASSIGNOR hereby covenants and agrees to perform any lawful action when deemed essential by and to ASSIGNEE's full enjoyment, protection, enforcement and title in, to and under the Invention and rights hereby transferred, including, but not limited to, promptly communicating and providing any and all known and accessible facts, data or any other pertinent information; promptly executing and delivering any and all papers, documents, forms, declarations, oaths, affidavits or any other legal instrument; promptly assisting and participating in any and all depositions, hearings, proceedings, trials, appeals, or any other legal procedure; promptly testifying under oath in any and all interference, post grant review, litigation or any other administrative or judicial proceeding; and promptly completing any and all actions necessary or desirable to carry out any and all purposes thereof, relating to any and all proceedings in connection with the submission, procurement, issuance, maintenance, enforcement or defense of the invention and rights hereby transferred.

IN TESTIMONY WHEREOF, I hereunder set my hand this \_\_14th\_\_ day of \_\_Dec.\_\_\_\_, 2016.

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<u>Lixin Li</u>
Printed Name



ACKNOWLEDGED AND CONFIRMED, I hereunder set my hand this \_14th\_ day of \_\_\_\_\_\_, 2016, as a duly authorized representative of ASSIGNEE.

Shanghai Birdie Biotech Inc.

By:

Name: Lixin Li

Title: <u>CEO</u>