

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6225917

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SCOTT ROBERTSON BICKHAM	01/11/2019
ANDREW JAY EFFENBERGER JR.	01/14/2019
MARK CHRISTIAN SANSON	01/07/2019
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CORNING INCORPORATED
<b>Street Address:</b>	SP-TI-3-1
<b>City:</b>	CORNING
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	14831
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16966086
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(607)974-3848
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	607-974-0084
<b>Email:</b>	carltonka@corning.com
<b>Correspondent Name:</b>	CORNING INCORPORATED
<b>Address Line 1:</b>	SP-TI-3-1
<b>Address Line 4:</b>	CORNING, NEW YORK 14831
<b>ATTORNEY DOCKET NUMBER:</b>	SP18-040
<b>NAME OF SUBMITTER:</b>	ANNIE J. KOCK
<b>SIGNATURE:</b>	/Annie J. Kock/
<b>DATE SIGNED:</b>	07/30/2020
<b>Total Attachments: 4</b>	
source=20190116_SP18-040_US_Assignment_as_filed#page1.tif	
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source=20190116_SP18-040_US_Assignment_as_filed#page4.tif	

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto CORNING INCORPORATED, a corporation organized and existing under the laws of the State of NEW YORK, having its principal place of business at CORNING, NEW YORK, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **SPECTRAL FILTERING FOR RAMAN SPECTROSCOPY**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 1/11/2019  
 DATE 1/14/2019  
 DATE 1/7/2019

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at CORNING, NEW YORK, this

Jan 11, 2019  
Date

Scott Robertson Bickham  
Scott Robertson Bickham

Signed at \_\_\_\_\_, CALIFORNIA this

\_\_\_\_\_  
Date

\_\_\_\_\_  
Andrew Jay Effenberger

Signed at FAIRPORT, NEW YORK, this

\_\_\_\_\_  
Date

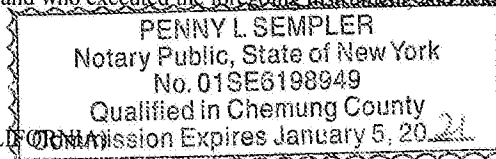
\_\_\_\_\_  
Mark Christian Sanson

State of NEW YORK)

: ss.

County of Steuben)

On the 11 day of January, 2019, before me personally came **Scott Robertson Bickham** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.



Penny L. SEMPLER  
Notary Public

State of CALIFORNIA) Mission Expires January 5, 2021

: ss.

County of \_\_\_\_\_)

On the \_\_\_\_\_ day of \_\_\_\_\_, 2019, before me personally came **Andrew Jay Effenberger** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

State of NEW YORK)

: ss.

County of \_\_\_\_\_)

On the \_\_\_\_\_ day of \_\_\_\_\_, 2019, before me personally came **Mark Christian Sanson** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at CORNING, NEW YORK, this

\_\_\_\_\_

Date

\_\_\_\_\_

Scott Robertson Bickham

Signed at San Diego, CALIFORNIA, this

01/14/2019

\_\_\_\_\_

Date

\_\_\_\_\_

Andrew Jay Effenberger

6 ATTACHED  
NOTARY CERTIFICATE

Signed at FAIRPORT, NEW YORK, this

\_\_\_\_\_

Date

\_\_\_\_\_

Mark Christian Sanson

State of NEW YORK)

: ss.

County of Steuben)

On the \_\_\_\_ day of \_\_\_\_\_ 2019, before me personally came **Scott Robertson Bickham** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

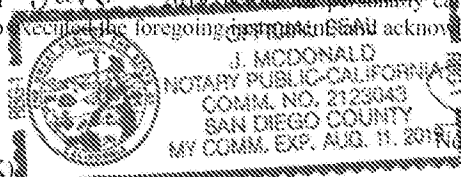
\_\_\_\_\_  
Notary Public

State of CALIFORNIA)

: ss.

County of San Diego)

On the 14<sup>th</sup> day of Jan, 2019, before me personally came **Andrew Jay Effenberger** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.



\_\_\_\_\_  
Notary Public

State of NEW YORK)

: ss.

County of \_\_\_\_\_)

On the \_\_\_\_ day of \_\_\_\_\_ 2019, before me personally came **Mark Christian Sanson** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at CORNING, NEW YORK, this

\_\_\_\_\_  
Date

\_\_\_\_\_  
Scott Robertson Bickham

Signed at \_\_\_\_\_, CALIFORNIA this

\_\_\_\_\_  
Date

\_\_\_\_\_  
Andrew Jay Effenberger

Signed at FAIRPORT, NEW YORK, this

\_\_\_\_\_  
Date

1/7/19

\_\_\_\_\_  
Mark Christian Sanson

State of NEW YORK)

: ss.

County of Steuben)

On the \_\_\_\_ day of \_\_\_\_\_ 2019, before me personally came **Scott Robertson Bickham** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

State of CALIFORNIA)

: ss.

County of \_\_\_\_\_)

On the \_\_\_\_ day of \_\_\_\_\_ 2019, before me personally came **Andrew Jay Effenberger** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

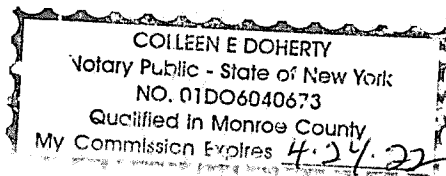
\_\_\_\_\_  
Notary Public

State of NEW YORK)

: ss.

County of Monroe)

On the 7th day of Jan 2019, before me personally came **Mark Christian Sanson** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.



\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Colleen E. Doherty