

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6228793

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAVID RABUKA	07/22/2020
AARON EDWARD ALBERS	07/14/2020
ROBYN M. BARFIELD	07/15/2020
GREGORY W. DEHART	07/16/2020
PENELOPE M. DRAKE	07/17/2020
ROMAS ALVYDAS KUDIRKA	07/21/2020
ALBERT W. GAROFALO	07/18/2020
JESSE M. MCFARLAND	07/17/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	REDWOOD BIOSCIENCE, INC.
<b>Street Address:</b>	5703 HOLLIS STREET
<b>City:</b>	EMERYVILLE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94608
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16143141
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(650) 327-3400
<b>Email:</b>	phillips@bozpat.com
<b>Correspondent Name:</b>	BOZICEVIC, FIELD & FRANCIS LLP
<b>Address Line 1:</b>	201 REDWOOD SHORES PKWY
<b>Address Line 2:</b>	SUITE 200
<b>Address Line 4:</b>	REDWOOD CITY, CALIFORNIA 94065
<b>ATTORNEY DOCKET NUMBER:</b>	RDWD-012DIV
<b>NAME OF SUBMITTER:</b>	RUDY J. NG
<b>SIGNATURE:</b>	/Rudy J. Ng, Reg. No. 56,741/

PATENT

<b>DATE SIGNED:</b>	07/31/2020
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**Total Attachments: 9**

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CONFIRMATORY ASSIGNMENT

Atty Docket No. RDWD-012

THIS ASSIGNMENT IS SUPPLEMENTAL, to the Original Assignment (defined below) and is by David Rabuka, Aaron Edward Albers, Robyn M. Barfield, Gregory W. deHart, Penelope M. Drake, Romas Alvydas Kudirka, Albert W. Garofalo and Jesse M. McFarland (hereinafter referred to as the assignors), residing in Kensington, California, San Francisco, California, Berkeley, California, El Cerrito, California, Castro Valley, California and Berkeley, California, South San Francisco, California and Berkeley, California respectively, witnesseth:

WHEREAS, the said assignors invented certain new and useful improvements in:

"Anti-Her2 Antibody-Maytansine Conjugates and Methods of Use Thereof"

XX filed on May 27, 2015 as U.S. Application Serial No. 14/723,393 designating the United States.

WHEREAS, in the Original Assignment, the said assignors assigned all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property.

WHEREAS, the Original Assignment needs to be memorialized formally in a written instrument for the purposes of prosecuting said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

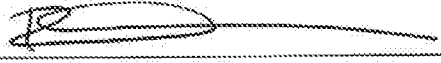
WHEREAS, the Original Assignment was the assignment by the said assignors to **Redwood Bioscience, Inc.** a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at **5703 Hollis Street Emeryville, California 94608** (hereinafter referred to as the assignee) of the execution date of the Original Assignment.

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors confirm the assignment to said assignee in the Original Assignment of all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date July 22 2020 Name of Inventor   
David Rabuka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Aaron Edward Albers

PATENT

CONFIRMATORY ASSIGNMENT

Atty Docket No. RDWD-009

THIS ASSIGNMENT IS SUPPLEMENTAL to the Original Assignment (defined below) and is by David Rabuka, Aaron Edward Albers, Romas Alvydas Kudirka and Albert W. Garofalo (hereinafter referred to as the assignors), residing in Kensington, California, San Francisco, California, Berkeley, California and South San Francisco, California respectively, witnesseth:

WHEREAS, the said assignors invented certain new and useful improvements in:

"Hydrazinyl-Pyrrole Compounds and Methods for Producing a Conjugate"

XX filed on November 26, 2014 as U.S. Application Serial No. 14/552,283 designating the United States.

WHEREAS, in the Original Assignment, the said assignors assigned all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property.

WHEREAS, the Original Assignment needs to be memorialized formally in a written instrument for the purposes of prosecuting said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

WHEREAS, the Original Assignment was the assignment by the said assignors to Redwood Bioscience, Inc. a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 5703 Hellis Street Emeryville, California 94608 (hereinafter referred to as the assignee) of the execution date of the Original Assignment.

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors confirm the assignment to said assignee in the Original Assignment of all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
David Rabuka

Date 11/19/20 Name of Inventor \_\_\_\_\_  
Aaron Edward Albers

Date 7/15/2020 Name of Inventor Ruby M. Barfield  
Ruby M. Barfield

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Gregory W. deHart

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Penelope M. Drake

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Romas Alvydas Kudirka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Albert W. Garofalo

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Jesse M. McFarland

Hereby accepted on behalf of the assignee

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date Name (print)

\_\_\_\_\_  
Title and Company

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Robyn M. Barfield

Date 16 July 2010 Name of Inventor [Signature]  
Gregory W. deHart

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Penelope M. Drake

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Romas Aivydas Kudirka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Albert W. Garofalo

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Jesse M. McFarland

Hereby accepted on behalf of the assignee

Signature

Date

Name (print)

Title and Company

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Robyn M. Garfield

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Gregory W. deHart

Date 7/17/2020 Name of Inventor \_\_\_\_\_  
Penelope M. Drake

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Romus Alvydas Kudirka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Albert W. Garofalo

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Jesse M. McFarland

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Signature

Date

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Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
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Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Penelope M. Drake

Date 7/21/2020 Name of Inventor \_\_\_\_\_  
Romas Alvydas Kudirka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Albert W. Garofalo

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Jesse M. McFarland

Hereby accepted on behalf of the assignee

Signature \_\_\_\_\_

Date \_\_\_\_\_ Name (print) \_\_\_\_\_

Title and Company \_\_\_\_\_

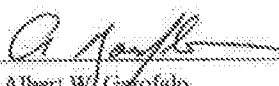


Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Robyn M. Barfield

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Gregory W. deHart

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Penelope M. Drake

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Romas Alvydas Kudirka

Date 7/18/2020 Name of Inventor   
Albert W. Ciprofalo

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Jesse M. McFarland

Hereby accepted on behalf of the assignee:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date Name (print)

\_\_\_\_\_  
Title and Company

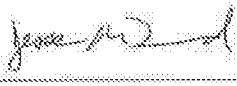
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
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Romas Alvydas Kudirka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Albert W. Garofalo

Date 7/17/20 Name of Inventor   
Jesse M. McFarland

Hereby accepted on behalf of the assignee

Signature

Date \_\_\_\_\_ Name (print) \_\_\_\_\_

Title and Company

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Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Gregory W. deHart

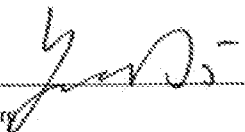
Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Penelope M. Drake

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Romas Aivydas Kudirka

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Albert W. Garofalo

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Jesse M. McFarland

Hereby accepted on behalf of the assignee

  
\_\_\_\_\_  
Signature

7/24/2020                      Yonggang Ji  
\_\_\_\_\_  
Date                                      Name (print)

Attorney-in-Fact                      Redwood BioSciences, Inc.  
\_\_\_\_\_  
Title and Company