## 506186374 08/04/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6233113

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DAVID RABUKA	07/22/2020
AARON EDWARD ALBERS	07/14/2020
ROMAS ALVYDAS KUDIRKA	07/21/2020
ALBERT W. GAROFALO	07/18/2020

### **RECEIVING PARTY DATA**

Name:	REDWOOD BIOSCIENCE, INC.
Street Address:	5703 HOLLIS STREET
City:	EMERYVILLE
State/Country:	CALIFORNIA
Postal Code:	94608

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14555283

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (650) 327-3400

**Email:** phillips@bozpat.com

Correspondent Name: BOZICEVIC, FIELD & FRANCIS LLP Address Line 1: 201 REDWOOD SHORES PKWY

Address Line 2: SUITE 200

Address Line 4: REDWOOD CITY, CALIFORNIA 94065

ATTORNEY DOCKET NUMBER:	RDWD-009
NAME OF SUBMITTER:	RUDY J. NG
SIGNATURE:	/Rudy J. Ng, Reg. No. 56,741/
DATE SIGNED:	08/03/2020

# **Total Attachments: 5**

source=RDWD-009 - confirmatory assignment - signed#page1.tif source=RDWD-009 - confirmatory assignment - signed#page2.tif

PATENT 506186374 REEL: 053392 FRAME: 0616

source=RDWD-009 - confirmatory assignment - signed#page3.tif source=RDWD-009 - confirmatory assignment - signed#page4.tif source=RDWD-009 - confirmatory assignment - signed#page5.tif

PATENT REEL: 053392 FRAME: 0617

#### **CONFIRMATORY ASSIGNMENT**

Atty Docket No. RDWD-009

THIS ASSIGNMENT IS SUPPLEMENTAL to the Original Assignment (defined below) and is by David Rabuka, Aaron Edward Albers, Romas Alvydas Kudirka and Albert W. Garofalo (hereinafter referred to as the assignors), residing in Kensington, California, San Francisco, California, Berkeley, California and South San Francisco, California respectively, witnesseth:

WHEREAS, the said assignors invented certain new and useful improvements in:

"Hydrazinyl-Pyrrolo Compounds and Methods for Producing a Conjugate"

XX filed on November 26, 2014 as U.S. Application Serial No. 14/555,283 designating the United States.

WHEREAS, in the Original Assignment, the said assignors assigned all of their right, title and interest in and to the abovementioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property.

WHEREAS, the Original Assignment needs to be memorialized formally in a written instrument for the purposes of prosecuting said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

WHERAS, the Original Assignment was the assignment by the said assignors to **Redwood Bioscience**, **Inc.** a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 5703 **Hollis Street Emeryville**, **California 94608** (hereinafter referred to as the assignee) of the execution date of the Original Assignment.

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors confirm the assignment to said assignee in the Original Assignment of all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

0000 July 44 2000	Name of inventor David Rabuks	
Date	Name of InventorAaron Edward Albers	

#### **CONFIRMATORY ASSIGNMENT**

Atty Docket No. RDWD-009

THIS ASSIGNMENT IS SUPPLEMENTAL to the Original Assignment (defined below) and is by David Rabuka, Aaron Edward Albers, Romas Alvydas Kudirka and Albert W. Garofalo (hereinafter referred to as the assignors), residing in Kensington, California, San Francisco, California, Berkeley, California and South San Francisco, California respectively, witnesseth:

WHEREAS, the said assignors invented certain new and useful improvements in:

"Hydrazinyl-Pyrrolo Compounds and Methods for Producing a Conjugate"

XX filed on November 26, 2014 as U.S. Application Serial No. 14/555,283 designating the United States.

WHEREAS, in the Original Assignment, the said assignors assigned all of their right, title and interest in and to the abovementioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property.

WHEREAS, the Original Assignment needs to be memorialized formally in a written instrument for the purposes of prosecuting said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

WHERAS, the Original Assignment was the assignment by the said assignors to **Redwood Bioscience**, **Inc.** a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 5703 **Hollis Street Emeryville**, **California 94608** (hereinafter referred to as the assignee) of the execution date of the Original Assignment.

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors confirm the assignment to said assignee in the Original Assignment of all of their right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date	Name of Inventor
Date 1777 Ly	Name of Inventor  Auron Edward Albers

Atty Docket No. RDWD-009

Date <u>7/21/2020</u>	Name of Inventor _	Romas Alvydas Kudirka	
Date	Name of Inventor _	Albert W. Garofalo	
Hereby accepted on behalf of the	assignee		
Signature			
Date	<u>.                                    </u>	Name (print)	
Title and Company			

		Atty Docket No. RDWD-009
Date	Name of Inventor	
Data 7/18/2020	Name of Inventor CAAA  Albert W Arolisio	
Hereby accepted on behalf of the	u en Essen.	
Signature		
Date	Name (print)	
Title and Company		

	Ain	/ Docket No. RDWD-005
Date	Name of Inventor	
Date	Name of InventorAlbert W. Garofalo	
Hereby accepted an behalf of the	assigner	
Signature January		
7/24/2020 Date	Yonggang Ji	
Afformey in Fact	Name (print) Redwood Bibscilences, Inc	
Title and Company		