## 506186612 08/04/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6233351

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
IRON THERAPEUTICS HOLDINGS AG	07/23/2020

## **RECEIVING PARTY DATA**

Name:	SHIELD TX (UK) LIMITED		
Street Address:	NORTHERN DESIGN CENTER, BALTIC BUSINESS QUARTER		
City:	GATESHEAD QUAYS		
State/Country:	UNITED KINGDOM		
Postal Code:	NE8 3DF		

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	15520753	

## **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	127412-00201
NAME OF SUBMITTER:	MEI BAI, PH.D.
SIGNATURE:	/Mei Bai/
DATE SIGNED:	08/04/2020

### **Total Attachments: 6**

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PATENT REEL: 053393 FRAME: 0856

506186612





BE IT KNOWN that I, JONATHAN RICHARD KEMP, of Newcastle upon Tyne, England, NOTARY PUBLIC duly admitted and sworn, authorised to practise throughout England and Wales, CERTIFY only that the attached Patent Assignment Agreement was signed before me as attesting witness in Newcastle upon Tyne on 23<sup>rd</sup> July 2020 by Lucy Kate Bailey, who was identified to me, on behalf of:

- a) Iron Therapeutics Holdings AG; and
- b) Shield TX (UK) Limited.

SIGNED and SEALED with my seal of office in Newcastle upon Tyne, England, on this thirty-first day of July in the year two thousand and twenty

Jonathan Richard Kemp Notary Public, England and Wales Protocol No. 2020/327.B



**REEL: 053393 FRAME: 0857** 

# PATENT ASSIGNMENT AGREEMENT

THIS PATENT ASSIGNMENT AGREEMENT (this "Assignment") is made effective as of July 23<sup>rd</sup>, 2020, and confirms the assignment of rights set forth in Sale and Purchase Agreement dated 28 June 2016 and confirmed in Patent Assignment dated 28 June 2016, by and between

**IRON THERAPEUTICS HOLDINGS AG**, a company duly organized under the laws of the Switzerland, under company number CHE-114.810.684 and having its principal place of business at Sihleggstrasse 23, Wollerau, CH-8832, Switzerland (hereinafter referred to as "ASSIGNOR"); and

SHIELD TX (UK) LIMITED a company duly organized under the laws of the England and Wales, under company number 06702064, and having its principal place of business at Northern Design Center, Baltic Business Quarter, Gateshead Quays, NE8 3DF, United Kingdom (hereinafter referred to as "ASSIGNEE").

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest in the Letters Patent and Patent Application listed in the attached Schedule 1. including (a) all Letters Patents which may issue from said application, and (b) any divisional, continuing, reissue, reexamination, substitution or other applications based in whole or in part thereon.

And ASSIGNOR agrees, for itself and its executors and administrators, with ASSIGNEE and its successors and assigns but at ASSIGNEE's expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure and/or maintain the grant of Letters Patents in the United States to ASSIGNEE, with specifications and claims in such form as shall be approved by the counsel of ASSIGNEE and to vest and confirm in ASSIGNEE, its successors and assigns, the legal title to all such patents.

And ASSIGNOR hereby conveys to ASSIGNEE all rights arising under or pursuant to any and all United States laws relating to the protection of industrial property for such Applications and for such Letters Patents, including but not limited to any cause(s) of action and damages accruing prior to this Assignment.

And ASSIGNOR does hereby acknowledge that this Assignment, being of its entire right, title and interest in and to said inventions or improvements, carries with it the right for ASSIGNEE to apply for and obtain from competent authorities in the United Statesany and all Letters Patents and the right to procure the grant of all Letters Patents to ASSIGNEE in its own name.

And ASSIGNOR does hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patents as shall be granted upon said Patent Applications based thereon to ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and



transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNEE expressly accepts this assignment and transfer of patent rights made on its behalf and is now the owner of the involved Letters Patent and Patent Application listed in the attached **Schedule 1**, with all rights, titles, and interests deriving from them.

This **Assignment** may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument, and may be executed through the use of facsimiles or .pdf documents.

ASSIGNOR HEREBY GRANTS to the law firm of McCarter & English, LLP the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office and the corresponding authorities in other countries for recordation of this Assignment.

IN TESTIMONY WHEREOF, we have hereunto set our hands-and affixed our seals on the date(s) set forth below.



PATENT 45 REEL: 053393 FRAME: 0859

IRON THERAPEUTICS HOLDINGS AG

Name: Lucy Kate Bailey Title: General Counsel

Nationality: British

By my signature above, I, <u>Lucy Kate Bailey</u>, as a lawful representative of ASSIGNOR, affirm that I am authorized to execute certain documents, including the present Assignment, on behalf of ASSIGNOR.

Witnessed by: Varaman Variage Date: 23 day 2020



ASSIGNEE herewith accepts this assignment and transfer.

SHIELD TX (UK) LIMITED

Name: Lucy Kate Bailey

Title: General Counsel and Company Secretary

Nationality: British

By my signature above, I, Lucy Kate Bailey, as a lawful representative of ASSIGNEE, affirm that I am authorized to execute certain documents, including the present Assignment, on behalf of ASSIGNEE.

Witnessed by: January Date: 22 July 2020



# SCHEDULE 1

M&E Reference	Country	Application No.	Patent No.
127412-00301	US	10/380,751	US 7,135,196
127412-00201	US	15/520,753	

1712880v11 ME1 33760648v.1

**RECORDED: 08/04/2020** 



PATENT 《写 REEL: 053393 FRAME: 0862