

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6237476

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	OAK RIDGE ASSOCIATED UNIVERSITIES	01/24/2018
RECEIVING PARTY DATA		
Name:	UT-BATTELLE, LLC	
Street Address:	ONE BETHEL VALLEY ROAD	
Internal Address:	4500N, MS-6258	
City:	OAK RIDGE	
State/Country:	TENNESSEE	
Postal Code:	37831-6258	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16924940
CORRESPONDENCE DATA		
Fax Number:	(503)595-5301	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	5035955300	
Email:	morgan.rafalski@klarquist.com	
Correspondent Name:	KLARQUIST SPARKMAN, LLP	
Address Line 1:	121 SW SALMON ST., SUITE 1600	
Address Line 2:	ONE WORLD TRADE CENTER	
Address Line 4:	PORTLAND, OREGON 97204	
ATTORNEY DOCKET NUMBER:	8490-99252-03	
NAME OF SUBMITTER:	JOHANNA P. SCHWARTZ	
SIGNATURE:	/Johanna P. Schwartz/	
DATE SIGNED:	08/06/2020	
Total Attachments: 3		
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**ASSIGNMENT AGREEMENT BETWEEN
UT-BATTELLE, LLC AND ORAU**

THIS AGREEMENT is by and between UT-Battelle, LLC a limited liability company organized and existing under the laws of the State of Tennessee (hereinafter "UT-BATTELLE") in accordance with its prime contract No. DE-AC05-00OR22725 (hereinafter "UT-Battelle's Prime Contract") with the U.S. Department of Energy (hereinafter DOE) and Oak Ridge Associated Universities (hereinafter "ORAU"), the not-for-profit operating contractor of the DOE's Oak Ridge Institute for Science and Education (hereinafter "ORISE") under its prime contract No. DE-SC0014664 (hereinafter "ORAU's Prime Contract") with the DOE, both being hereinafter jointly referred to as the "Parties" and singularly as a "Party."

WHEREAS:

- A. The Parties have developed technology identified in the invention disclosures, patent applications, and/or patents listed in Exhibit A (hereinafter Proprietary Rights); and
- B. The Parties desire that ORAU assign its undivided right, title, and interest to the Proprietary Rights to UT-BATTELLE to place ownership of all Proprietary Rights in a single Party, thereby promoting effective and successful commercialization of Proprietary Rights.

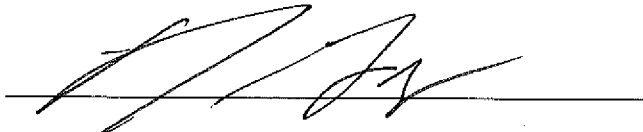
NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. In consideration of good and valuable consideration--including, but not limited to the promises contained in the Memorandum of Agreement between UT-BATTELLE and ORAU executed September 20, 2001--the receipt and sufficiency of such consideration being hereby acknowledged, ORAU by these presents does hereby sell, assign, transfer and set over unto UT-BATTELLE, its successors, legal representatives and assigns, its entire right, title, and interest (if any) in, to, and under the Proprietary Rights.
2. UT-BATTELLE shall share with ORAU portions of royalties UT-BATTELLE receives from licensees, if any, of the Proprietary Rights in accordance with the aforesaid Memorandum of Agreement.
3. UT-BATTELLE shall obligate any licensees of the Proprietary Rights to indemnify ORAU for any liability arising from the making, using, importing or selling of a product, process or service by or on behalf of the Licensee, its assignees or licensees which was derived from the Proprietary Rights, except for any negligent acts or omissions of ORAU.
4. UT-BATTELLE shall obligate any licensees of the Proprietary Rights as listed in Exhibit A to comply with all U. S. export control laws and regulations applicable to the export of the Proprietary Rights.
5. ORAU makes no warranties or representations of any kind regarding the Proprietary Rights.
6. The effective date of this agreement is Month Day, Year (should be the same day that the inventor executes the assignment to ORAU or some date thereafter) and it shall run to and terminate upon the end of the life of the last-to-expire Proprietary Rights of Exhibit A.

In witness whereof the Parties have executed this agreement below:

UT-BATTELLE, LLC

By:



Name:

Marc T. Filigenzi

Title:

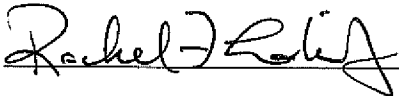
IP Managing Attorney

Date:

1/17/2018

OAK RIDGE ASSOCIATED UNIVERSITIES

By:



Name:

Rachel F. Lokitz

Title:

Associate General Counsel

Date:

1/24/2018

EXHIBIT A. PROPRIETARY RIGHTS

UT-B Docket No.	Title (Patent Appl. No., Patent No.)	Contract Type & No.	Inventors		
			UT-Battelle	ORAU	Others
3698.1	Additive Manufacturing Methods Using Aluminum-Rare Earth Alloys and Products Made Using Such Methods (Patent Appl. No.: 15/650,664)	DE-AC05-00OR22725 DE-SC0014664	Hunter B. Henderson Orlando Rios Ryan R. Dehoff	Zachary C. Sims	Niyanth Sridharan Alex J. Plotkowski Sudarsanam Suresh Babu