

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6242271

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SUBSTITUTE STATEMENT IN LIEU OF ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DIANFENG WU	08/07/2020
RECEIVING PARTY DATA	
Name:	ZTE CORPORATION
Street Address:	ZTE PLAZA, KEJI ROAD SOUTH, HI-TECH INDUSTRIAL PARK
Internal Address:	NANSHAN, SHENZHEN
City:	GUANGDONG
State/Country:	CHINA
Postal Code:	518057
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16968565
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	94293-0003
NAME OF SUBMITTER:	NASH M. ZOGAIB, REGISTRATION NO. 68,304
SIGNATURE:	/NASH M. ZOGAIB/
DATE SIGNED:	08/10/2020
Total Attachments: 4	
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source=Substitute_Statement_inLieu_Assignnment#page4.tif	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 16/968,565
Filed : August 7, 2020
National Phase Entry of PCT/CN2019/074361
International Filing Date: 1 February 2019

First Named Inventor : Dianfeng WU
Applicant : ZTE Corporation
Title : HEAT-INSULATION DEVICE AND ELECTRONIC PRODUCT

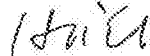
Confirmation No. : 1045
Art Unit :
Examiner : To Be Assigned

Attorney Docket No. : 94293-0003
Customer No. : 29050

SUBSTITUTE STATEMENT IN LIEU OF ASSIGNMENT

Application Serial Number 16/968,565 was authorized to be made by the Applicant, ZTE Corporation. Applicant believes inventor Dianfeng WU, to be an original sole inventor of a claimed invention in the above-identified patent application. Applicant hereby states that inventor Dianfeng WU cannot be found or reached after diligent effort. Applicant asserts that inventor Dianfeng WU is under legal obligation to assign the rights to the above-identified patent application to the Applicant.

Respectfully submitted,



Name: HU, Yi
Title: Chief IP Officer
ZTE Corporation

Date: 2020.08.07

Jiaquan IP Docket No. P20SZ1NW00057US
Eversheds Sutherland Docket No. 94293-0003

SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	HEAT-INSULATION DEVICE AND ELECTRONIC PRODUCT
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This statement is directed to:

The attached application,

OR

United States application or PCT international application number PCT/CN2019/074361 filed on 1 February 2019

LEGAL NAME of inventor to whom this substitute statement applies:
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)
Dianfeng WU

Residence (except for a deceased or legally incapacitated inventor):

City	Shenzhen, Guangdong	State		Country	China
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Mailing Address (except for a deceased or legally incapacitated inventor):
ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan

City	Shenzhen, Guangdong	State		Zip	518057	Country	China
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I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Relationship to the inventor to whom this substitute statement applies:

Legal Representative (for deceased or legally incapacitated inventor only),

Assignee,

Person to whom the inventor is under an obligation to assign,

Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or

Joint inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased,
 Inventor is under legal incapacity,
 Inventor cannot be found or reached after diligent effort, or
 Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: HU, YI

2020.08.07
Date (Optional):

Signature: *Hu Yi*

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

ZTE Corporation

Applicant Name:

Title of Person Executing
This Substitute Statement: Chief IP Officer

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City

State

Country

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan

City Shenzhen, Guangdong

State

518057

Zip

Country China

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT

RECORDED: 08/10/2020

REEL: 053443 FRAME: 0998