

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT6248529

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROBERT H SHOEMAKER	07/27/2020
SHANKER GUPTA	08/12/2020
RECEIVING PARTY DATA	
Name:	THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES
Street Address:	OFFICE OF TECHNOLOGY TRANSFER, NATIONAL INSTITUTES OF HEALTH
Internal Address:	6011 EXECUTIVE BOULEVARD, SUITE 325, MSC 7660
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20892-7660
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16603110
CORRESPONDENCE DATA	
Fax Number:	(312)616-5700
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Address Line 2:	180 NORTH STETSON AVENUE
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ATTORNEY DOCKET NUMBER:	745677
NAME OF SUBMITTER:	CHERYL BELCIK
SIGNATURE:	/Cheryl Belcik/
DATE SIGNED:	08/13/2020
Total Attachments: 6	
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**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA
(NIH OR FDA OR CDC CO-INVENTORS ONLY)**

WHEREAS, we,

1. Robert H. Shoemaker, an employee of the National Institutes of Health, and
2. Shanker Gupta, an employee of the National Institutes of Health,

a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, have made certain inventions as described and claimed in:

1. U.S. Patent Application No. 16/603,110, filed October 4, 2019, and which is entitled "STAT3 INHIBITOR FORMULATION,"

Herein referred to as "**The Patent Application**"

WHEREAS, we are some of the inventors named in **The Patent Application**; and

WHEREAS, the inventions described and claimed in **The Patent Application** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to our entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring our domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Application**; and

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in **The Patent Application** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to **THE GOVERNMENT**:

our entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Application** and any and all Letters Patent(s) which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

our rights to claim priority on the basis of **The Patent Application** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent(s) that may be filed for any and all inventions described and claimed in **The Patent Application** that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

We further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:	
<u>7/27/20</u>	
Date	Robert H. Shoemaker
County of _____	Se:
State of _____	
Subscribed and sworn to before me this ____ day of _____	
[Seal]	_____ Notary Public for _____ My Commission Expires: _____

Inventor 2:	
_____	_____
Date	Shanker Gupta
County of _____	Ss:
State of _____	
Subscribed and sworn to before me this ____ day of _____.	
[Seal]	_____
	Notary Public for _____
	My Commission Expires: _____

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2. Shanker Gupta, an employee of the National Institutes of Health,

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our entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Application** and any and all Letters Patent(s) which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

our rights to claim priority on the basis of **The Patent Application** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

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any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

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Inventor 1:	
_____	_____
Date	Robert H. Shoemaker
County of _____	Ss: _____
State of _____	
Subscribed and sworn to before me this ____ day of _____.	
[Seal]	_____
	Notary Public for _____
	My Commission Expires: _____

Inventor 2:

Aug 12, 2020
Date

Shanker Gupta
Shanker Gupta

County of _____
Ss:

State of _____

Subscribed and sworn to before me this ____ day of _____.

[Seal]

Notary Public for _____

My Commission Expires: _____