506208367 08/18/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6255107

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name             | Execution Date |
|------------------|----------------|
| ERIC LEUTHARDT   | 04/23/2013     |
| GUY GENIN        | 01/22/2013     |
| MATTHEW SMYTH    | 11/20/2012     |
| DAVID LIMBRICK   | 11/20/2012     |
| DANIEL MORAN     | 03/08/2011     |
| LIHONG WANG      | 11/20/2012     |
| AARON MCLAUGHLIN | 12/12/2011     |
| MANISH SHAH      | 04/30/2013     |
| ALEXANDER YANG   | 04/19/2011     |
| SAMUEL STONE     | 01/20/2012     |
| DEVON HAYDON     | 11/20/2012     |
| MICHAEL WINEK    | 01/20/2012     |
| RICHARD MARCUS   | 11/21/2012     |

## **RECEIVING PARTY DATA**

| Name:           | WASHINGTON UNIVERSITY |  |
|-----------------|-----------------------|--|
| Street Address: | ONE BROOKINGS DRIVE   |  |
| City:           | ST. LOUIS             |  |
| State/Country:  | MISSOURI              |  |
| Postal Code:    | 63130                 |  |

# **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 16995046 |

## **CORRESPONDENCE DATA**

**Fax Number:** (314)612-2307

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3146215070

**Email:** uspatents@armstrongteasdale.com

Correspondent Name: PATRICK E. BRENNAN

Address Line 1: ARMSTRONG TEASDALE LLP

PATENT

506208367 REEL: 053523 FRAME: 0036

|   | 7700 FORSYTH BLVD., SUITE 1800<br>ST. LOUIS, MISSOURI 63105 |  |
|---|---|--|
| ATTORNEY DOCKET NUMBER:   | 011139-CON1 (15060-1257)                                    |  |
| NAME OF SUBMITTER:  | PATRICK E. BRENNAN  |  |
| SIGNATURE:  | /Patrick E. Brennan/  |  |
| DATE SIGNED:  | 08/18/2020  |  |
| Total Attachments: 16 source=011139-CON1 Parent Assignment-37389567#page1.tif |   |  |

source=011139-CON1 Parent Assignment-37389567#page1.tif source=011139-CON1 Parent Assignment-37389567#page2.tif source=011139-CON1 Parent Assignment-37389567#page3.tif source=011139-CON1 Parent Assignment-37389567#page4.tif source=011139-CON1 Parent Assignment-37389567#page5.tif source=011139-CON1 Parent Assignment-37389567#page6.tif source=011139-CON1 Parent Assignment-37389567#page7.tif source=011139-CON1 Parent Assignment-37389567#page8.tif source=011139-CON1 Parent Assignment-37389567#page10.tif source=011139-CON1 Parent Assignment-37389567#page10.tif source=011139-CON1 Parent Assignment-37389567#page12.tif source=011139-CON1 Parent Assignment-37389567#page12.tif source=011139-CON1 Parent Assignment-37389567#page13.tif source=011139-CON1 Parent Assignment-37389567#page14.tif source=011139-CON1 Parent Assignment-37389567#page15.tif source=011139-CON1 Parent Assignment-37389567#page15.tif source=011139-CON1 Parent Assignment-37389567#page15.tif

# TECHNOLOGY ASSIGNMENT

WU Case: 011139

#### ASSIGNORS:

Eric Leuthardt

Guy Genin

Matthew Smyth

David Limbrick

Daniel Moran

Lihong Wang

Aaron McLaughlin

Manish Shah

Alexander Yang

Samuel Stone

Devon Haydon

Michael Winek

Richard Marcus

WHEREAS, the aforementioned individuals (the "ASSIGNORS") have developed a certain INVENTION having WU OTM Case No. 011139 and being entitled "The Revolution Reservoir and Catheter Tip" A non-clogging shunt catheter tip (collectively, with all future enhancements and improvements thereto as well as all related original works of authorship related thereto other than those solely of an artistic, literary or scholarly nature, as the "INVENTION");

WHEREAS, the ASSIGNORS have executed an APPLICATION for a United States Patent based on the INVENTION, entitled <u>A Catheter Assembly For Use With Shunt Systems And Method Of Using Same</u>, filed on <u>November 16, 2012</u>, and assigned APPLICATION Serial No. 13/678,769. (the "APPLICATION");

WHEREAS, Washington University, a corporation of the State of Missouri having its principal office and place of business at One Brookings Drive, St. Louis, Missouri, 63130, ("ASSIGNEE") is desirous of acquiring the entire and exclusive right, title, and interest in and to the INVENTION and the APPLICATION; as provided for in the Washington University Intellectual Property Policy;

NOW THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, the ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest in the United States (including its territories and dependencies) and all countries foreign thereto in, to and under the INVENTION, the APPLICATION, and any other United States APPLICATIONS (including provisional, non-provisional, divisional, continuing and reissue APPLICATIONS) based in whole or in part on the APPLICATION or in whole or in part on the INVENTION, and any patents (including extensions thereof) of any country that have been or may be granted on any of the aforesaid APPLICATIONS or on the INVENTION or any part thereof and including all rights to claim priority through any international convention or treaty and all copyrights on or related to the INVENTION;

ASSIGNORS each agree, to each creator's binding personal share of any income generated by the INVENTION as delineated in the attached Exhibit A, and that all future agreements regarding such personal shares shall be in writing and signed by all creators;

ASSIGNORS hereby warrant and represent to Assignee, its successors, legal representatives and assigns, that at the time of execution of this assignment, the ASSIGNORS listed above are the sole individuals employed by the ASSIGNEE who have made contributions to the INVENTION, that the ASSIGNORS are unencumbered and have good and full right and lawful authority to sell and convey the same in the manner herein set forth, and that all works of authorship assigned herein are original works created solely by the ASSIGNORS and are free from plagiarized, libelous, obscene or infringing material;

ASSIGNORS hereby authorize and request the Commissioner of Patents of the United States of America, to issue any and all United States Patents that may be granted on any assigned APPLICATION or on the INVENTION or any part thereof, in the name of ASSIGNEE;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this sale, assignment and transfer;

ASSIGNORS hereby grant to ASSIGNEE and its agents and attorneys the power and right to insert herein the title, serial number and filing date of the APPLICATION, the OTM case number and title of the INVENTION after this Assignment is executed by the ASSIGNORS; and

ASSIGNORS further covenant that upon ASSIGNEE's request they will provide promptly to ASSIGNEE all pertinent facts and documents relating to the aforesaid APPLICATIONS and the INVENTION as may be known and accessible to ASSIGNORS, and ASSIGNORS will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives without further consideration any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce the aforesaid APPLICATIONS that may be deemed necessary or desirable by ASSIGNEE to carry out the purposes hereof.

For the event that ASSIGNEE is unable, after reasonable effort, to secure any ASSIGNOR's signature on any APPLICATION, paper or other instrument relating to any patent, patent APPLICATION, letters patent, copyright or other analogous protection relating to the INVENTION in any country, whether because of the ASSIGNOR's mental incapacity, death or for any other reason whatsoever, each ASSIGNOR hereby irrevocably designates and appoints ASSIGNEE and its duly authorized officers and agents as each ASSIGNOR's agent and attorney in fact to act for and to execute and file any such APPLICATION, paper or other instrument on ASSIGNOR's behalf and to do all other lawfully permitted acts to further the prosecution and issuance of any patent, patent APPLICATION, letters patent, copyright or any other analogous protection related to the INVENTION with the same legal force and effect as if executed by such ASSIGNOR.

For the convenience of the parties, any number of counterparts of the Assignment may be executed by the parties hereto. Each such counterpart shall be and shall be deemed to be an original instrument but all such counterparts taken together shall constitute one and the same assignment.

IN WITNESS WHEREOF, the ASSIGNORS have hereunto set their hand to the foregoing assignment.

| Signature – Eric Leuthardt Date   |
|---|
| Name: Eric Leuthardt  |
| Home Address: 6358 Pershiving Avance  |
| S. 6005, MO 63130   |
|   |
|   |
| ACKNOWLEDGMENT  |
| STATE OF Missouri   |
| CITY OF St. Louis SS.   |
| On this 23 day of 4 2 , 20 3, before me, a Notary Public, personally appeared known to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed said instrument as his free act and deed. |
| In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.  Notary Public   |
| My Commission Expires:  |
| JEANETTE SCHILLIZZI Notary Public - Notary Seal Comm. Number 10964779 STATE OF MISSOURI St. Louis County Commission Expires Abr. 20, 2014  [SEAL]   |

| 4, Lenn  | 1-22-13                        |
|--|--------------------------------|
| Signature – Guy Genin  | Date                           |
| Name: Guy Genin  |                                |
| Home Address: 6924 Pershing  |                                |
| 3h 6.213, NO 63130   |                                |
| ACKNOWLEDGMENT  STATE OF Majour SS.  CITY OF At Jour SS.  On this 22 day of Tanuary 2013, before me, a Notar August Seed State of Missouri Seed State of Missour | recuted said instrument as his |
| Commissioned for St. Losée City My Commission Expires: Membr 21, 2016 Commission Number: 12318000 [SEAL]   |                                |

| 4/14th - 11/20/12   |  |
|---|--|
| Date Date   |  |
| Namé Melthew Smyth  |  |
| Home Address: 34 HUNTLESGH DOWNS  |  |
| FRONTENAC, MO 6313)   |  |
|   |  |
| ACKNOWLEDGMENT  |  |
| STATE OF VISSON SS.   |  |
| STATE OF M.SSOUR SS.  |  |
| On this 20 to of November, 2012 be fore me, a Notary Public, personally appeared Notation Smyth, known to me to be the person described, in and who executed, the lossociang assignment and acknowledged that he executed said instrument as his free act and desail.   |  |
| In Testimony Wiscredi, Libave hereunto set my hand and affixed my official seal the day and year first above with the control of the control |  |
| Commence of the second |  |
| Notary Public   |  |
| My Commission Explication 4 20 2014   |  |
| JEANETTE SCHILLIZZI Notary Public - Notary Seal Comm. Number 10964779 STATE OF MISSOURI St. Louis County Commission Expires Apr. 20, 2014 Commission Expires Apr. 20, 2014  |  |

| 4                | M            | jeve.       |      | ****  | 1120/12 |
|------------------|--------------|-------------|------|-------|---------|
| [P               | ि∕klire Davi | id Limbrick |      |       | Date    |
| Name: Daveil     |              |             |      |       |         |
| Homer Address () | 7537         | Stunf       | U HO | 1e.   |         |
|                  | St. Lun      | 55, 440     |      | 63130 |         |
|                  |              | *           | (4)  |       |         |

| ACKNOWLEDGMENT   |         |
|--|---------|
| STATE OF MISSOURI ) SS.  |         |
| CITY OF St Louis )ss.  |         |
| On this 20 to November, 2013 be fore me, a Notary Public, personally app<br>   | 10      |
| executed, the foregoing assignment and acknowledged that he executed said instrument free act and deed.  | as his  |
| In Testimony Volcared, I have hereunto set my hand and affixed my official seal the day a first above to the company of the co | nd year |
| Notary Public  |         |
| My Commission II official 4/20/2014  |         |
| JEANETTE SCHILLE Sary Public - Notary Schim. Number 10964776 STATE OF MISSOURI St. Louis County My Commission Expires Apr. 20, 2014 SEAL]  |         |

| Linul VII or 3/8/20/ Signature — Daniel Moran Date   |
|--|
| Name: Daniel Moran   |
| Home Address: <u>10 Arbor Hill C</u> t   |
| <u>Ballwin, Ma 63</u> 021  |
| ACKNOWLEDGMENT   |
| STATE OF MISSOURI ) SS.  |
| cmy or <u>St-Wuis</u>  |
| On this day of, 20, 20, before me, a Notary Public, personally appeared, known to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed said instrument as his free act and deed. |
| In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.   |
| Kly Lattu  Notary Public  My Commission Expires:   |
|  |
| [SEAL]    NELLY HATTLE     Notary Public - Notary Seel     State of Missouri     Commissioned for St Louis City     My Commission Expires: October 20, 2014     Commission Number: 10032330  |

| Alvay 1/20/2  |
|---|
| Signature Lihong Wang Date  |
| Name: Lihong Wang Home Address: 15 Haven Wew Dr.  Creve Coeur, MO 63141   |
| ACKNOWLEDGMENT  |
| STATE OF MISSOURIE  |
| On this 20 day of 101000 , 2012 before me, a Notary Public, personally appeared known to me to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed said instrument as his free act and deed. |
| In Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.  |
| Notary Public   |
| My Commission Expires: September 23, 2013   |
| DOLORES E. STEWART Notary Public - Notary Seat State of Missouri Commissioned for St. Charles County My Commission Expres: September 23, 2013 Commission Number: 09401610   |

| Joseph Mcharchen 12/121   | 1   |
|---|-----|
| Signature – Aaron McLaughlin 🗸 💮 🔘  | ate |
| Name: Aaron McLaughlin  |     |
| Home Address: <u>LK Tinka Or</u>  |     |
| Ballwin, MO, 6801   |     |
| ACKNOWLEDGMENT  |     |
| STATE OF MISSUN'  |     |
| city of <u>St. Louis</u> ) ss.  |     |
| On this <u>12</u> day of <u>) PUMBER</u> , 20 <u>//</u> , before me, a Notary Public, personally appeared <u>favor FULION in</u> known to me to be the person described, i and who executed, the foregoing assignment and acknowledged that he executed said instrument as his free act and deed. | n   |
| in Testimony Whereof, I have hereunto set my hand and affixed my official seal the day and year first above written.  |     |
| Hotaly Palolic Hittu  |     |
| My Commission Expires:  |     |
| (SEAL)  |     |
| KELLY HATTLE Notery Public - Notery Seal State of Missouri Commissioned for St Louis City My Commission Expires: October 20, 2014 Commission Expires: 18032330  |     |

**PATENT** 

REEL: 053523 FRAME: 0047

| Maniel Stol   | 4/30/13  |
|---|--|
| Signature Manish Shah   | Date   |
| Name: Manish Shah   |  |
| Home Address: NITO CARSULLE CT  |  |
| St. Louis, no 63131   |  |
|   |  |
| ACKNOWLEDGMENT  |  |
| STATE OF Misswin  |  |
| CITY OF St. Lisis   |  |
| On this 30 day of 460 , 2013 before me, a N   | lotary Public, personally appeared person described, in and who se executed said instrument as his |
| In Testimony Whereof Have hereunto set my hand and affix first above written.   | ed my official seal the day and year   |
| Notary Public   |  |
| My Commission Expires:  |  |
| JEANETTE SCHILLIZZI Notary Public - Notary Seal Cornm. Number 10964779 STATE OF MISSOURI St. Louis County Commission Explies Apr. 20, 201 |  |

| 杨欣克   | 4/19/2011                |
|---|--------------------------|
| Signature Alekander Yang  | Date                     |
| Name: Alexander Yang  |                          |
| Home Address: SF-I #89 He-Thi Rd secto-1  |                          |
| Type: Tamon 196   |                          |
| ACKNOWLEDGMENT  |                          |
| STATE OF MO ) SS.  CITY OF 5+ (246)   |                          |
| OITY OF 54. (2413)  |                          |
| On this 194 day of April 2011, before me, a personally appeared Piesander 1814-CAA Rhown to me to be and who executed, the foregoing assignment and acknowled said instrument as his free act and deed. | the person described, in |
| In Testimony Whereof, I have hereunto set my hand and affithe day and year first above written.   | xed my official seal     |
| <u>X2. (<sup>6</sup> Clash 4/19/11</u><br>Notary Public   |                          |
| My Commission Expires:  |                          |
| 6/26/11 [SEAL]  |                          |
|   |                          |
| LORA E. CLARK  Solvey Public. State of Missouri  St. Louis Countly  Commission # 07422077   |                          |

| Signature Samuel Stone   | <u>20 20</u>  2<br>Date |
|--|-------------------------|
| Name: Samuel Stone   |                         |
| Home Address: 3530 Timber Lane Cross Plains, WI 53524  |                         |
| ACKNOWLEDGMENT   |                         |
| STATE OF <u>19/5 SQURY</u><br>COUNTY ) SS.<br><del>CITY</del> OF <u>St. Lows</u> )   |                         |
| On this 20 day of 14 uaky, 201/, before me, a Notary Public, personally appeared 44 ukl 5 Touk, known to me to be the person descard who executed, the foregoing assignment and acknowledged that he exessaid instrument as his free act and deed. | ribed, in<br>cuted      |
| In Testimony Whereof, I have hereunto set my hand and affixed my official s the day and year first above written.  | eal                     |
| May Cax Cadessex Nothry Jublic   |                         |
| My Commission Expires:   |                         |
| 7/23/20/3 [SEAL]   |                         |
| Mary Ann Anderson<br>Notary Public -Notary Seal<br>State of Missouri<br>County of St. Louis<br>Commission #09523037<br>My Commission Expires 7/23/2013   |                         |

|   | 11/20/12                          |
|---|-----------------------------------|
| Signature Devon Haydon  | Date                              |
| Name: Devon Haydon  |                                   |
| Home Address: 5535 Natural Bld, # 35  |                                   |
| St Cass, MO 63112   |                                   |
|   |                                   |
|   |                                   |
| ACKNOWLEDGMENT  |                                   |
| STATE OF Missouri )   |                                   |
| STATE OF Missouri ) ss.   |                                   |
| On this 20 day of 1010000, 2012, before me, a Notar known to me to be the persecuted, the foregoing assignment and acknowledged that he express act and deed. | son document, in and mile         |
| In Testimony Whereof Lhave hereunto set my hand and affixed me first above written  | ny official seal the day and year |
| Notary Public   |                                   |
| My Commission Expires:  |                                   |
| JEANETTE SCHILLIZZI Notary Public - Notary Seal Comm. Number 10964779 STATE OF MISSOURI St. Louis County My Commission Expires Apr. 20, 2014—AL]              |                                   |

| Michael Wind   | 01/20120,2                            |
|--|---------------------------------------|
| Signature – Michael Winek  | Date                                  |
| Name: Michael Winek  |                                       |
| Home Address: SIZI Paumrenkell J/  |                                       |
| Brentwood TN 37027   |                                       |
| ACKNOWLEDGMENT   |                                       |
| STATE OF TAY MISSOURY)  COUNTY CHTY OF ST LOUIS  On this 20 day of TANUARY 20 day, before me, a Notal personally appeared 1244 was wind known to me to be the pand who executed, the foregoing assignment and acknowledged said instrument as his free act and deed.  In Testimony Whereof, I have hereunto set my hand and affixed in the day and year first above written. | person described, in that he executed |
| My Commission Expires:   |                                       |
| <u>7-23-20/3</u> [SEAL]  |                                       |
| Mary Ann Anderson<br>Notary Public -Notary Seal<br>State of Missouri<br>County of St. Louis<br>Commission #09523037<br>My Commission Expires 7/23/2013   |                                       |

| Richard Marine  | 11/21/2012                       |
|---|----------------------------------|
| Signature Richard Marcus  | Date                             |
| Name: Richard Marcus  |                                  |
| Home Address: 683) Charlmont Cir.   |                                  |
| Dallas TX 75248   |                                  |
| *   |                                  |
|   |                                  |
| ACKNOWLEDGMENT  |                                  |
| OTATE OF The Control |                                  |
| ) SS. CITY OF DALLAS  |                                  |
| On this 21 day of November, 2012, before me, a Notar Robert, known to me to be the per executed, the foregoing assignment and acknowledged that he extremely free act and deed.   | son described, in and who        |
| In Testimony Whereof, I have hereunto set my hand and affixed me first above written.  Notary Public  | ny official seal the day and yea |
| My Commission Expires:  |                                  |
| PATRICK SULGE  PATRICK SULGE Notary Public, State of My Commission of February 2, 201   | pires 🖟                          |

**RECORDED: 08/18/2020**