506210999 08/19/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6257740

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
F. HOFFMANN-LA ROCHE AG	04/27/2017

RECEIVING PARTY DATA

Name:	HOFFMANN-LA ROCHE INC.	
Street Address:	GREAT NOTCH, 150 CLOVE ROAD, 8TH FLOOR	
City:	LITTLE FALLS	
State/Country:	NEW JERSEY	
Postal Code:	07424	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16285064

CORRESPONDENCE DATA

Fax Number: (650)952-9881

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6504673636

Email: brady.sushma@gene.com

Correspondent Name: SUSHMA S. BRADY **Address Line 1:** GENENTECH, INC.

Address Line 2: 1 DNA WAY

Address Line 4: SOUTH SAN FRANCISCO, CALIFORNIA 94080

ATTORNEY DOCKET NUMBER:	P33829
NAME OF SUBMITTER:	SUSHMA S. BRADY
SIGNATURE:	/Sushma S. Brady/
DATE SIGNED:	08/19/2020

Total Attachments: 4

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PATENT 506210999 REEL: 053538 FRAME: 0650

Case No. P33829-EP

ASSIGNMENT AGREEMENT

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

Hoffmann-La Roche Inc. ("ASSIGNEE") a corporation of the State of New Jersey, United Sates of America, having its principal place of business at Great Notch, 150 Clove Road 8th Floor, Little Falls, NJ 07424, United States

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, tittle, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.

Country

Filing Date

Serial No.

P33829-EP

European Patent

25 Aug 2016

16185704.0

Convention

entitled

Intermittent dosing of an anti-CSF-1R antibody in combination with macrophage activating agent

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and

> **PATENT REEL: 053538 FRAME: 0651**

Case No. P33829-EP

TO BE NOTARIZED

improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR

F. Hoffmann - La Roche AG

Basel, April 27, 2017

Place, Date

Dr. Peter Küng" Vice Director Ina Gengelbach Mandatory

ASSIGNEE

Hoffmann-La Roche inc.

Newark, NJ (USA)

Place, Date

MAY 15 2017

George/M. Gould, Couns Authorized Signer

> PATENT REEL: 053538 FRAME: 0652

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Dr. Peter Küng, citizen of Eriswil (Switzerland), residing in Allschwil (Switzerland), and

Miss Ina Gengelbach, German citizen, residing in Grenzach-Wyhlen (Germany).

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

Basel (Switzerland), this 27th (twenty-seventh) day of April 2017 (two thousand and seventeen).

DR. CHRISTOPH NERTZ

NOTAR BASEL

DR. CHRISTOPH NERTZ

No Con

BR 582/2017

CERTIFICATE OF ACKNOWLEDGMENT

State of New Jersey)) ss County of Essex)

On May 15, 2017 before me, Guadalupe Castro, Notary Public in and for said county, personally appeared George M. Gould, Authorized Signatory for Hoffmann La-Roche Inc., who has identified himself as the signer to the attached document described as: Assignment Agreement for Case No. P33829-EP.

I certify under penalty of perjury under the laws of the State of New Jersey that the foregoing paragraph is true and correct.

GUADALUPE CASTRO A NOTARY PUBLIC OF NEW JERSEY MY COMMISSION EXPIRES SEPTEMBER 16, 2019 WITNESS by hand and official seal

Muadaliye Castro

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PATENT REEL: 053538 FRAME: 0654

RECORDED: 08/19/2020