## 506226172 08/28/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6272915

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name                            | Execution Date |
|---------------------------------|----------------|
| RAJESH BHUPATHI                 | 08/24/2020     |
| ROBERT GREGORY WAGONER          | 08/25/2020     |
| ZANE TAYLOR MILLER              | 08/25/2020     |
| FERNANDO ARTURO RAMIREZ SANCHEZ | 08/24/2020     |
| BACIL SHAQQO                    | 08/27/2020     |
| JOSEPH KIRAN BANDA              | 08/25/2020     |

#### **RECEIVING PARTY DATA**

| Name:           | GENERAL ELECTRIC RENOVABLES ESPANA, S.L. |  |
|-----------------|--|--|
| Street Address: | ROC BORONAT, 78                          |  |
| City:           | BARCELONA                                |  |
| State/Country:  | SPAIN                                    |  |
| Postal Code:    | 08005                                    |  |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 17005599 |

## **CORRESPONDENCE DATA**

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| ATTORNEY DOCKET NUMBER: 508325-US-1/GECW-1002 |  |  |
|---|--|--|
| NAME OF SUBMITTER: MAEGEN W. STOKES           |  |  |
| SIGNATURE:                                    | /Maegen W. Stokes/   |  |
| <b>DATE SIGNED:</b> 08/28/2020                |  |  |
|   | This document serves as an Oath/Declaration (37 CFR 1.63). |  |

PATENT 6172 REEL: 053626 FRAME: 0847

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## **Total Attachments: 8**

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#### COMBINED DECLARATION AND GLOBAL ASSIGNMENT

### FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

## HYBRID CAPACITOR BANK FOR A POWER CONVERSION ASSEMBLY

|   | the specification of which is attached hereto OR                                     |
|---|--|
| X | United States application or PCT international application number                    |
|   | 17/005,599 filed on <u>August 28, 2020</u> , as                                      |
|   | amended on [date] (if applicable).   |
|   | (We hereby authorize and request the Company or its delegated attorneys or agents to |
|   | insert above the application number and filing date of the application when known.)  |

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

| Inventor<br>First Name | Inventor<br>Middle<br>Name | Inventor Last<br>Name |    | Residence<br>Town | Residence<br>State | Residence<br>Country |
|------------------------|----------------------------|-----------------------|----|-------------------|--------------------|----------------------|
| Rajesh                 |                            | Bhupathi              |    | Roanoke           | Virginia           | USA                  |
| Robert                 | Gregory                    | Wagoner               | of | Roanoke           | Virginia           | USA                  |
| Zane                   | Taylor                     | Miller                | of | Christiansburg    | Virginia           | USA                  |
| Fernando               | Arturo                     | Ramirez<br>Sanchez    | of | Salem             | Virginia           | USA                  |
| Bacil                  |                            | Shaqqo                | of | Roanoke           | Virginia           | USA                  |
| Joseph                 | Kiran                      | Banda                 | of | Bangalore         | Karnataka          | India                |

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hereby sell and assign to:

| Name of Company: General Electric Renovables Espana, S.L. |  |
|---|--|
| Entity Type (optional):                                   |  |
| Address: Roc Boronat, 78, 08005 – Barcelona, Spain        |  |

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

| Country of Filing | Type of Filing | Application Number | Filing Date | Title |
|-------------------|----------------|--------------------|-------------|-------|
|                   |                |                    |             |       |
|                   |                |                    |             |       |
|                   |                |                    |             |       |

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof. and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

| INVENTOR 1   |  |
|--|--|
| Signature: Sundayathi Rajesh Bhupathi  | Date: <u>24- AVG-2020</u>  |
| Witnessed by: Signature  | Date: 2/21/2020  |
| Printed Name of Witness  Witnessed by:  Signature  | Date: <u>24 씨국 2020</u>  |
| くれなる。 I a NY e ア<br>Printed Name of Witness<br>SECTION BELOW IS FOR USE ONLY IF SIG  | NING IN PRESENCE OF A NOTARY   |
| 〔<br>Rajesh Bhupathi   | Date:  |
| STATE OF SS.   |  |
| <u>majesh phupathi,</u> to me personally known a   | _ before me personally came the above-named as the individual who executed the foregoing ne executed the same of his/her own free will for |
| Seal Control of the C |  |
|  | (Notary Public)  |

| GE Docket No. 508325-US-1/GECW-1002  |  |
|--|--|
| INVENTOR 2   |  |
| Signature: 1 A Control of Superior Magnetic Robert Gregory Wagoner   | Date   |
| Witnessed by: (2007) Signature   | Date:  |
| Printed Name of Witness  |  |
| Witnessed by <u>///</u> Signature  | Date <u>8/25/2024</u>  |
| Printed Name of Witness  |  |
| SECTION BELOW IS FOR USE ONLY IF SIGNING   | G IN PRESENCE OF A NOTARY  |
| Date Robert Gregory Wagoner STATE OF   |  |
| COUNTY OF  |  |
| This day of be Robert Gregory Wagoner to me personally known assignment, who acknowledged to me that he/she et the purposes therein set forth.  Sea/ | fore me personally came the above-named as the individual who executed the foregoing xecuted the same of his/her own free will for |
|  | (Notary Public)  |
|  |  |
|  |  |
|  |  |
|  |  |

PATENT REEL: 053626 FRAME: 0852

| INVENTOR 3  |                             |
|---|-----------------------------|
| Signature: Zane Taylor Miller   | Date: <u>%-2-5 - 2020</u>   |
| Witnessed by: Signature   | Date: 8-25-2020             |
| Printed Name of Witness   |                             |
| Witnessed by: Whitten Ly. A. Signature  | Date: 8/25/20               |
| Whithey U. Asher Printed Name of Witness  |                             |
| SECTION BELOW IS FOR USE ONLY IF SIGN   | ING IN PRESENCE OF A NOTARY |
| Da  | ite:                        |
| Zane Taylor Miller  |                             |
| STATE OF  |                             |
| COUNTY OF   |                             |
| This day of,  Zane Taylor Miller, to me personally known a assignment, who acknowledged to me that he/she the purposes therein set forth. |                             |
| Seal  |                             |
|   | <br>(Notary Public)         |

| INVENTOR 4  |                         |
|---|-------------------------|
| Signature: Fernando Arturo Ramirez Sanchez                  | Date: Aug - 24 - 2020   |
| Witnessed by: 27/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/       | Date: <u>\$24\zozo</u>  |
| Witnessed by: Signature  Signature  Printed Name of Witness | Date: <u> </u>          |
| SECTION BELOW IS FOR USE ONLY IF SIGNING                    | IN PRESENCE OF A NOTARY |
| Date:   |                         |
| STATE OF SS.  |                         |
| This day of,before,before,before,before,                    |                         |
| Seal  |                         |
|   | (Notary Public)         |

PATENT REEL: 053626 FRAME: 0854

| · · · · · · · · · · · · · · · · · · ·         | , <b>'</b>  |
|---|---|
| INVENTOR 5                                    |   |
| Signature: Rabin Shaqqo                       | Date: 2020-08-27  |
| Witnessed by: Replaced Signature  Ren Kiltwed | Date: <u>2028 - 08 - 2")</u>  |
| Printed Name of Witness                       |   |
| Witnessed by: A Signature                     | Date: 202-08-27   |
| Printed Name of Witness                       |   |
| SECTION BELOW IS FOR USE ONLY I               | F SIGNING IN PRESENCE OF A NOTARY   |
|   |   |
| Bacil Shaqqo                                  | Date:   |
| STATE OF                                      |   |
| COUNTY OF ss.                                 |   |
| Bacil Shaqqo, to me personally known as       | before me personally came the above-named the individual who executed the foregoing assignment, uted the same of his/her own free will for the purposes |
| Seal  |   |

GE Docket No. 508325-US-1/GECW-1002

(Notary Public)

| ~~ ~ ~ ~ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | 508325-US-1/GECW-1002 |
|--|-----------------------|

| INVENTOR 6   |  |
|--|--|
| Signature: Joseph Kiran Banda  | Date: 25 0% 20   |
| Witnessed by: Signature  | Date: 25 8 2 0   |
| TOGINDER YADAY Printed Name of Witness   |  |
| Witnessed by: Ruben Basak Signature  | Date: 25/8/20  |
| Rupam Basak, Printed Name of Witness   |  |
| SECTION BELOW IS FOR USE ONLY IF SIGNING   | G IN PRESENCE OF A NOTARY  |
| Date   |  |
| Joseph Kiran Banda   |  |
| STATE OF   |  |
| COUNTY OF  | *  |
| This day of, be Joseph Kiran Banda, to me personally known as assignment, who acknowledged to me that he/she extra purposes therein set forth. | fore me personally came the above-named<br>the individual who executed the foregoing<br>secuted the same of his/her own free will fo |
| Seal   |  |
|  | (Notary Public)  |

PATENT REEL: 053626 FRAME: 0856

RECORDED: 08/28/2020