# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6283493

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
ANDREAS BUEHLER	07/25/2020
ADRIEN DAVID GAIDON	07/26/2020
RARES A. AMBRUS	07/24/2020
GUY ROSMAN	07/25/2020
WOLFRAM BURGARD	08/16/2020

### **RECEIVING PARTY DATA**

Name: TOYOTA RESEARCH INSTITUTE, INC.	
Street Address: 4440 EL CAMINO REAL	
City:	LOS ALTOS
State/Country: CALIFORNIA	
Postal Code:	94022

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17000128

### **CORRESPONDENCE DATA**

**Fax Number:** (310)201-5219

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3102777200

**Email:** LAXIPDocket@seyfarth.com

Correspondent Name: SEYFARTH SHAW LLP / TOYOTA RESEARCH INSTITUTE INC.

Address Line 1: 2029 CENTURY PARK EAST, SUITE 3500 LOS ANGELES, CALIFORNIA 90067-3021

ATTORNEY DOCKET NUMBER:	2020-005/IPA-4594/TRI-189	
NAME OF SUBMITTER:	JOSEPH LUTZ	
SIGNATURE:	/Joseph Lutz/	
DATE SIGNED:	09/03/2020	

**Total Attachments: 12** 

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PATENT REEL: 053690 FRAME: 0318

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WHEREAS, WE,

- 1. **Andreas BUEHLER,** a citizen of Liechtenstein, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mauren, Liechtenstein,
- 2. **Adrien David GAIDON**, a citizen of France, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mountain View, California,
- 3. **Rares A. AMBRUS**, a citizen of Romania, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of San Francisco, California
- 4. **Guy ROSMAN**, a citizen of Israel, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Newton, Massachusetts,
- 5. **Wolfram BURGARD**, a citizen of Germany, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mountain View, California,

have conceived of one or more processes, methods, machines, articles of manufacture, designs, compositions of matter, inventions, discoveries or new or useful improvements relating to METHOD AND SYSTEM FOR BEHAVIORAL CLONING OF AUTONOMOUS DRIVING POLICIES FOR SAFE AUTONOMOUS AGENTS (collectively the "INVENTIONS") for which WE have executed and/or may execute one or more patent applications therefor; and

WHEREAS, TOYOTA RESEARCH INSTITUTE, INC. (hereinafter "ASSIGNEE"), a California corporation, having a place of business at 4440 El Camino Real, Los Altos, California 94022, U.S.A., desires to acquire or otherwise obtain the entire right, title, and interest in and to said INVENTIONS, including all inventions related thereto or thereof, all patent applications therefor, and all patents that have granted or may be granted hereafter thereon, including but not limited to those identified below.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, WE do hereby acknowledge that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, the entire right, title, and interest throughout the world in and to said INVENTIONS, including all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in the United States, including but not limited to U.S. Application No(s). \_\_\_\_\_\_\_\_\_ filed \_\_\_\_\_\_\_, TRI Reference No. 2020-005 / IP-A-4594, and all provisional applications relating thereto, , (and do hereby authorize ASSIGNEE and its representative to hereafter add herein such application number(s) and/or filing date(s) when known), and all divisional applications, renewal applications, continuation applications, continuation-in-part applications, and design applications thereof, and all issued patents of the United States which may have granted or may be granted

Page 2 of 3

hereafter thereon and all reissues, renewals, reexaminations, and extensions to any of the foregoing and all patents issuing thereon in the United States,

AND WE further do acknowledge and agree that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, all rights of priority under International Conventions, Treaties, or Agreements, and the entire right, title, and interest throughout the world in said INVENTIONS, including all inventions related thereto or thereof, and all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in any foreign country, countries, or treaty/union organizations, and all divisional applications, renewal applications, continuation applications, continuation, patent of addition applications, confirmation applications, validation applications, utility model applications, and design applications thereof, and all issued patents which may have granted or may be granted hereafter for said INVENTIONS in any country or countries foreign to the United States, and all resistes, renewals reexaminations, and extensions thereof.

AND WE DO HEREBY authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications or registrations, to issue all patents for said INVENTIONS to said ASSIGNEE, its successors, its legal representatives and its assigns, in accordance with the terms of this instrument.

AND WE DO HEREBY sell, assign, transfer, and convey to said ASSIGNEE, its successors, its legal representatives, and its assigns all claims for damages and all remedies arising out of or relating to any violation(s) of any of the rights assigned hereby that have or may have accrued prior to the date of assignment to said ASSIGNEE, or may accrue hereafter, including, but not limited to the right to see for, seek, obtain, collect, recover, and retain damages and any ongoing or prospective royalties to which WE may be entitled, or that WE may collect for any infringement or from any settlement or agreement related to any of said patents before or after issuance.

AND WE HEREBY covenant and agree that WE will communicate promptly to said ASSIGNEE, its successors, its legal representatives, and its avaigns, any facts known to us respecting said INVENTIONS, and will testify in any legal proceeding, sign all lawful papers, execute all applications and certificates, make all rightful declarations and/or oaths, and provide all lawful assistance to said ASSIGNEE, its successors, its legal representatives and its assigns to obtain and enforce patent protection for said INVENTIONS in all countries.

AND WE HEREBY coverient that WE will not execute any writing or do any act whatsoever conflicting with these presents.

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Andrew HEEDER

### **PATENT**

TRI Ref. No. 2020-005 / IP-A-4594 Page 3 of 3

AND WE HEREBY covenant that WE will not execute any writing or do any act whatsoever conflicting with these presents.

Done at		, on		
_	LOCATION		DATE	Adrien David GAIDON
Done at _		_, on		
	LOCATION		DATE	Rares A. AMBRUS
Done at		, on		
	LOCATION		DATE	Guy ROSMAN
Done at _		_, on		
_	LOCATION		DATE	Wolfram BURGARD

WHEREAS, WE,

- 1. **Andreas BUEHLER,** a citizen of Liechtenstein, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mauren, Liechtenstein,
- 2. **Adrien David GAIDON**, a citizen of France, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mountain View, California,
- 3. **Rares A. AMBRUS**, a citizen of Romania, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of San Francisco, California
- 4. **Guy ROSMAN**, a citizen of Israel, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Newton, Massachusetts,
- 5. **Wolfram BURGARD**, a citizen of Germany, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mountain View, California,

have conceived of one or more processes, methods, machines, articles of manufacture, designs, compositions of matter, inventions, discoveries or new or useful improvements relating to METHOD AND SYSTEM FOR BEHAVIORAL CLONING OF AUTONOMOUS DRIVING POLICIES FOR SAFE AUTONOMOUS AGENTS (collectively the "INVENTIONS") for which WE have executed and/or may execute one or more patent applications therefor; and

WHEREAS, TOYOTA RESEARCH INSTITUTE, INC. (hereinafter "ASSIGNEE"), a California corporation, having a place of business at 4440 El Camino Real, Los Altos, California 94022, U.S.A., desires to acquire or otherwise obtain the entire right, title, and interest in and to said INVENTIONS, including all inventions related thereto or thereof, all patent applications therefor, and all patents that have granted or may be granted hereafter thereon, including but not limited to those identified below.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, WE do hereby acknowledge that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, the entire right, title, and interest throughout the world in and to said INVENTIONS, including all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in the United States, including but not limited to U.S. Application No(s). \_\_\_\_\_\_\_\_\_ filed \_\_\_\_\_\_\_, TRI Reference No. 2020-005 / IP-A-4594, and all provisional applications relating thereto, , (and do hereby authorize ASSIGNEE and its representative to hereafter add herein such application number(s) and/or filing date(s) when known), and all divisional applications, renewal applications, continuation applications, continuation-in-part applications, and design applications thereof, and all issued patents of the United States which may have granted or may be granted

hereafter thereon and all reissues, renewals, reexaminations, and extensions to any of the foregoing and all patents issuing thereon in the United States;

AND WE further do acknowledge and agree that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, all rights of priority under International Conventions, Treaties, or Agreements, and the entire right, title, and interest throughout the world in said INVENTIONS, including all inventions related thereto or thereof, and all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in any foreign country, countries, or treaty/union organizations, and all divisional applications, renewal applications, continuation applications, continuation-in-part applications, patent of addition applications, confirmation applications, validation applications, utility model applications, and design applications thereof, and all issued patents which may have granted or may be granted hereafter for said INVENTIONS in any country or countries foreign to the United States, and all reissues, renewals, reexaminations, and extensions thereof;

AND WE DO HEREBY authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications or registrations, to issue all patents for said INVENTIONS to said ASSIGNEE, its successors, its legal representatives and its assigns, in accordance with the terms of this instrument;

AND WE DO HEREBY sell, assign, transfer, and convey to said ASSIGNEE, its successors, its legal representatives, and its assigns all claims for damages and all remedies arising out of or relating to any violation(s) of any of the rights assigned hereby that have or may have accrued prior to the date of assignment to said ASSIGNEE, or may accrue hereafter, including, but not limited to the right to sue for, seek, obtain, collect, recover, and retain damages and any ongoing or prospective royalties to which WE may be entitled, or that WE may collect for any infringement or from any settlement or agreement related to any of said patents before or after issuance;

AND WE HEREBY covenant and agree that WE will communicate promptly to said ASSIGNEE, its successors, its legal representatives, and its assigns, any facts known to us respecting said INVENTIONS, and will testify in any legal proceeding, sign all lawful papers, execute all applications and certificates, make all rightful declarations and/or oaths, and provide all lawful assistance to said ASSIGNEE, its successors, its legal representatives and its assigns, to obtain and enforce patent protection for said INVENTIONS in all countries;

AND WE HEREBY covenant that WE will not execute any writing or do any act whatsoever conflicting with these presents.

Done at		, on		
	LOCATION		DATE	Andreas BUEHLER

AND WE HEREBY covenant that WE will not execute any writing or do any act whatsoever conflicting with these presents.

Done at Mountain View	, on 07/26/2020	4
LOCATION	DATE	Adrien David GAIDON
(Xme at San Francisco	ຸດຄ 07.24.2020	Aules
LOCATION	DATE	Rares A. AMBRUS
Done at	. (31)	
LOCATION	DATE	Guy ROSMAN
Done at	3383	
LOCATION	, v Date	Wolfram BURGARD

WHEREAS, WE,

- 1. **Andreas BUEHLER,** a citizen of Liechtenstein, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mauren, Liechtenstein,
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- 4. **Guy ROSMAN**, a citizen of Israel, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Newton, Massachusetts,
- 5. **Wolfram BURGARD**, a citizen of Germany, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mountain View, California,

have conceived of one or more processes, methods, machines, articles of manufacture, designs, compositions of matter, inventions, discoveries or new or useful improvements relating to METHOD AND SYSTEM FOR BEHAVIORAL CLONING OF AUTONOMOUS DRIVING POLICIES FOR SAFE AUTONOMOUS AGENTS (collectively the "INVENTIONS") for which WE have executed and/or may execute one or more patent applications therefor; and

WHEREAS, TOYOTA RESEARCH INSTITUTE, INC. (hereinafter "ASSIGNEE"), a California corporation, having a place of business at 4440 El Camino Real, Los Altos, California 94022, U.S.A., desires to acquire or otherwise obtain the entire right, title, and interest in and to said INVENTIONS, including all inventions related thereto or thereof, all patent applications therefor, and all patents that have granted or may be granted hereafter thereon, including but not limited to those identified below.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, WE do hereby acknowledge that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, the entire right, title, and interest throughout the world in and to said INVENTIONS, including all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in the United States, including but not limited to U.S. Application No(s). \_\_\_\_\_\_\_\_\_ filed \_\_\_\_\_\_\_, TRI Reference No. 2020-005 / IP-A-4594, and all provisional applications relating thereto, , (and do hereby authorize ASSIGNEE and its representative to hereafter add herein such application number(s) and/or filing date(s) when known), and all divisional applications, renewal applications, continuation applications, continuation-in-part applications, and design applications thereof, and all issued patents of the United States which may have granted or may be granted

hereafter thereon and all reissues, renewals, reexaminations, and extensions to any of the foregoing and all patents issuing thereon in the United States;

AND WE further do acknowledge and agree that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, all rights of priority under International Conventions, Treaties, or Agreements, and the entire right, title, and interest throughout the world in said INVENTIONS, including all inventions related thereto or thereof, and all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in any foreign country, countries, or treaty/union organizations, and all divisional applications, renewal applications, continuation applications, continuation-in-part applications, patent of addition applications, confirmation applications, validation applications, utility model applications, and design applications thereof, and all issued patents which may have granted or may be granted hereafter for said INVENTIONS in any country or countries foreign to the United States, and all reissues, renewals, reexaminations, and extensions thereof;

AND WE DO HEREBY authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications or registrations, to issue all patents for said INVENTIONS to said ASSIGNEE, its successors, its legal representatives and its assigns, in accordance with the terms of this instrument;

AND WE DO HEREBY sell, assign, transfer, and convey to said ASSIGNEE, its successors, its legal representatives, and its assigns all claims for damages and all remedies arising out of or relating to any violation(s) of any of the rights assigned hereby that have or may have accrued prior to the date of assignment to said ASSIGNEE, or may accrue hereafter, including, but not limited to the right to sue for, seek, obtain, collect, recover, and retain damages and any ongoing or prospective royalties to which WE may be entitled, or that WE may collect for any infringement or from any settlement or agreement related to any of said patents before or after issuance;

AND WE HEREBY covenant and agree that WE will communicate promptly to said ASSIGNEE, its successors, its legal representatives, and its assigns, any facts known to us respecting said INVENTIONS, and will testify in any legal proceeding, sign all lawful papers, execute all applications and certificates, make all rightful declarations and/or oaths, and provide all lawful assistance to said ASSIGNEE, its successors, its legal representatives and its assigns, to obtain and enforce patent protection for said INVENTIONS in all countries;

AND WE HEREBY covenant that WE will not execute any writing or do any act whatsoever conflicting with these presents.

Done at		, on		
	LOCATION		DATE	Andreas BUEHLER

# PATENT

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Page 1 (4)

AND WE HEREBY covenant that WE will not execute any writing or do any act whatsoever conflicting with these presents.

Done at	, OR			
	LOCATION	DATE	Adries David GAIDON	
Done at	LOCATION	DATE	Rares A. AMBRUS	_
Done at		725/2020 DATE		
Done at	LOCATION	DATE	Guy ROSWAN	
	10000000	DATE	Welferen BI DC 4 DB	~

WHEREAS, WE,

- 1. **Andreas BUEHLER,** a citizen of Liechtenstein, having a mailing address located at 4440 El Camino Real, Los Altos, California 94022, U.S.A. and a resident of Mauren, Liechtenstein,
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AND WE further do acknowledge and agree that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, all rights of priority under International Conventions, Treaties, or Agreements, and the entire right, title, and interest throughout the world in said INVENTIONS, including all inventions related thereto or thereof, and all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in any foreign country, countries, or treaty/union organizations, and all divisional applications, renewal applications, continuation applications, continuation-in-part applications, patent of addition applications, confirmation applications, validation applications, utility model applications, and design applications thereof, and all issued patents which may have granted or may be granted hereafter for said INVENTIONS in any country or countries foreign to the United States, and all reissues, renewals, reexaminations, and extensions thereof;

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Done at		, on		
	LOCATION		DATE	Andreas BUEHLER

# PATENT

TRI Ref. No. 2020-005 / IP-A-4594

Page 3 of 3

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Done at	, {	on	
	LOCATION	DATE	Adrien David GAIDON
Done at_		on	
	LOCATION	DATE	Rares A. AMBRUS
Done at		***************************************	
	LOCATION	DATE	Guy ROSMAN
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Done at _	lenzhurun,	on <u>AUN 16, 2<b>0</b></u> 20 _	- \ \
	LOCATION	DATE	Walfraw W W Auto

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**RECORDED: 09/03/2020**