506244069 09/10/2020

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ISABEL OTTO	10/29/2019
ANNA KASPRZAK-ZABLOCKA	11/04/2019
CHRISTIAN LEIRER	10/29/2019
BERTHOLD HAHN	03/16/2020

RECEIVING PARTY DATA

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Street Address:	LEIBNIZSTRASSE 4	
City:	REGENSBURG	
State/Country:	GERMANY	
Postal Code:	93055	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16615835

CORRESPONDENCE DATA

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: VIERING, JENTSCHURA & PARTNER MBB

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Address Line 2: SUITE 700

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ATTORNEY DOCKET NUMBER:	P76404US
NAME OF SUBMITTER:	NATALIE A. LEE
SIGNATURE:	/Natalie A. Lee, Reg# 67,023/
DATE SIGNED:	09/10/2020

Total Attachments: 5

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ASSIGNMENT

For good and valuable consideration, I (We),

Isabel OTTO, residing at Max-Reger-Straße 1c, Regenstauf, 93128, DE, citizen of DE

Anna Kasprzak-Zablocka, residing at Am Roten Bichel 3, Donaustauf, 93093, DE, citizen of PL

Christian Leirer, residing at Albrechtstraße 7, Friedberg, 86316, DE, citizen of DE

Berthold Hahn, residing at Am Pfannenstiel 2, Hemau - Hohenschambach, 93155, DE, citizen of DE

hereafter individually or collectively referred to as "Assignor(s)":

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto Semiconductors GmbH, a corporation organized and existing under the laws of Germany, having its principal place of business at Leibnizstr. 4, 93055 Regensburg, Germany, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of Germany or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of Germany or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: SEMICONDUCTOR COMPONENT AND METHOD FOR PRODUCING A SEMICONDUCTOR COMPONENT

Filing Date (MM/DD/YYYY): 05/17/2018 Filing Number: PCT/EP2018/062989

Internal Case Number(s): 2016P02913WOUS

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in

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the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

- (II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and
- (III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Isabel 0140	w.
isabel OTTO	
<u>29. Oddes 2019</u>	~
Date	
Anna Kasprzak-Zabłocka	-
Date	•

Christian Leirer	
Date	

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substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Isabel OTTO	
Date	
Alonw Mo CUS Anna Kasprzak-Zabłocka CUM, 2019 Date	
Christian Leirer	
Date	

Page 2 of 3

the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

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(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Isabel OTTO	
Date	
Anna Kaspizak-Zablocka	
Date	
Alma Le	
Christian Leirer 29.06 Ser 20	019
Date	

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Berthold Halfn

11: M. May 71

Date

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

Dr. Nikolaus Gmeinwieser HoD Strategic Portfolio Management

Intellectual Property

Date

0 9 APE 2020

Signature

Name

Ruth Boss Manager Intellectual Property

Date

0 S. APR. 2020

PATENT REEL: 053728 FRAME: 0978