

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT6294476

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAN WALLACE	09/10/2020
AARON GROGAN	09/10/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CEPHEA VALVE TECHNOLOGIES, INC.
<b>Street Address:</b>	3625 PETERSON WAY
<b>City:</b>	SANTA CLARA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95054
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16633384
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(908)654-7866
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(908) 654-5000
<b>Email:</b>	assignment@lerner david.com
<b>Correspondent Name:</b>	LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP
<b>Address Line 1:</b>	20 COMMERCE DR.
<b>Address Line 4:</b>	CRANFORD, NEW JERSEY 07016
<b>ATTORNEY DOCKET NUMBER:</b>	ABTCEP 3.3F-009
<b>NAME OF SUBMITTER:</b>	KATHLEEN REYNOLDS
<b>SIGNATURE:</b>	/Kathleen Reynolds/
<b>DATE SIGNED:</b>	09/11/2020
<b>Total Attachments: 2</b>	
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source=Assignments#page2.tif	

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

ABTCEP 3.3F-009

WHEREAS, I, Dan Wallace of 1713 Granite Creek Rd.; Santa Cruz, California 95065, have invented or discovered inventions or discoveries, the subject matter of which is described in the patent application entitled "System And Method For Positioning A Heart Valve" (hereafter "Patent Application"),

WHEREAS (if the left box is checked), the International Patent Application was filed on July 25, 2018, as PCT/US2018/043762, designating the United States.

WHEREAS (if the left box is checked), the Patent Application names the following inventors: (and whereas I hereby authorize Lerner, David, Littenberg, Krumholz & Mentlik, LLP, to insert in here in brackets [Application No. 16/633,384, filed on January 23, 2020] the application number and filing date of the application when known), and

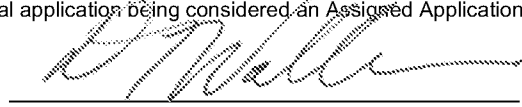
WHEREAS, Cephea Valve Technologies, Inc., incorporated or otherwise formed in Delaware and having a place of business at 3625 Peterson Way; Santa Clara, CA 95054 (hereafter, the "assignee") is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, the entire right, title and interest in same;

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I agree as follows. I agree to assign, and hereby do assign, to the assignee my entire right, title and interest in and to the Assigned Applications in the United States of America and all other countries, where "Assigned Applications" means the Patent Application, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, substitute, reissue, re-examination or other application claiming priority or benefit to the Patent Application pursuant to any law or treaty, and any patent issuing from the foregoing. I agree to assign, and hereby do assign, to assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Assigned Applications to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue to assignee all patents resulting from the Assigned Applications and to record assignee's ownership thereof. At assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect assignee's rights in the Assigned Applications. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

I further agree to assign, and hereby do assign, to assignee my entire right, title and interest in and to Provisional Application No. 62/536,932, filed July 25, 2017, such provisional application being considered an Assigned Application for all purposes herein.

10SEP2020

(Date)



(Signature)

**ASSIGNMENT OF UTILITY APPLICATION**

Docket Number (Optional)

ABTCEP 3.3F-009

WHEREAS, I, Aaron Grogan of 3050 Three Springs Court, San Jose, California 95140, have invented or discovered inventions or discoveries, the subject matter of which is described in the patent application entitled "System And Method For Positioning A Heart Valve" (hereafter "Patent Application"),

WHEREAS (if the left box is checked), the International Patent Application was filed on July 25, 2018, as PCT/US2018/043762, designating the United States.

WHEREAS (if the left box is checked), the Patent Application names the following inventors:  
(and whereas I hereby authorize Lerner, David, Littenberg, Krumholz & Mentlik, LLP, to insert in here in brackets [Application No. 16/633,384, filed on January 23, 2020] the application number and filing date of the application when known), and

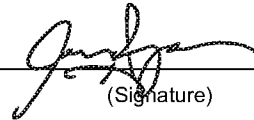
WHEREAS, Cephea Valve Technologies, Inc., incorporated or otherwise formed in Delaware and having a place of business at 3625 Peterson Way, Santa Clara, CA 95054 (hereafter, the "assignee") is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, the entire right, title and interest in same;

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I agree as follows. I agree to assign, and hereby do assign, to the assignee my entire right, title and interest in and to the Assigned Applications in the United States of America and all other countries, where "Assigned Applications" means the Patent Application, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, substitute, reissue, re-examination or other application claiming priority or benefit to the Patent Application pursuant to any law or treaty, and any patent issuing from the foregoing. I agree to assign, and hereby do assign, to assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Assigned Applications to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue to assignee all patents resulting from the Assigned Applications and to record assignee's ownership thereof. At assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect assignee's rights in the Assigned Applications. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

I further agree to assign, and hereby do assign, to assignee my entire right, title and interest in and to Provisional Application No. 62/536,932, filed July 25, 2017, such provisional application being considered an Assigned Application for all purposes herein.

10 Sep 2020

(Date)



(Signature)