

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6304168

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY AGREEMENT
CONVEYING PARTY DATA	
Name	Execution Date
NEOGUIDE SYSTEMS, INC.	05/15/2007
RECEIVING PARTY DATA	
Name:	VENTURE LENDING & LEASING IV, INC.
Street Address:	2010 NORTH FIRST STREET
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95131
Name:	VENTURE LENDING & LEASING V, INC.
Street Address:	2010 NORTH FIRST STREET
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95131
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	14833921
Patent Number:	9138132
Patent Number:	8641602
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2022924690
Email:	docketing@jonesrobb.com
Correspondent Name:	JONES ROBB PLLC (W/ INTUITIVE SURGICAL)
Address Line 1:	1420 SPRING HILL ROAD
Address Line 2:	SUITE 325
Address Line 4:	MCLEAN, VIRGINIA 22102
ATTORNEY DOCKET NUMBER:	P01086-US-51
NAME OF SUBMITTER:	ANDREW G. GERLA

SIGNATURE:	/Andrew G. Gerla/
DATE SIGNED:	09/17/2020
Total Attachments: 14 source=P01086-US-51_10840049_04000_Assignment-2#page1.tif source=P01086-US-51_10840049_04000_Assignment-2#page2.tif source=P01086-US-51_10840049_04000_Assignment-2#page3.tif source=P01086-US-51_10840049_04000_Assignment-2#page4.tif source=P01086-US-51_10840049_04000_Assignment-2#page5.tif source=P01086-US-51_10840049_04000_Assignment-2#page6.tif source=P01086-US-51_10840049_04000_Assignment-2#page7.tif source=P01086-US-51_10840049_04000_Assignment-2#page8.tif source=P01086-US-51_10840049_04000_Assignment-2#page9.tif source=P01086-US-51_10840049_04000_Assignment-2#page10.tif source=P01086-US-51_10840049_04000_Assignment-2#page11.tif source=P01086-US-51_10840049_04000_Assignment-2#page12.tif source=P01086-US-51_10840049_04000_Assignment-2#page13.tif source=P01086-US-51_10840049_04000_Assignment-2#page14.tif	

INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is made as of May 15, 2007, by and between NEOGUIDE SYSTEMS, INC., a Delaware corporation ("Grantor"), and VENTURE LENDING & LEASING IV, INC. ("VLL4") and VENTURE LENDING & LEASING V, INC. ("VLL5"), both Maryland corporations (sometimes referred to herein individually or together as "Secured Party").

RECITALS

A. Pursuant to (i) that certain Loan and Security Agreement of even date herewith between Grantor, as borrower, and VLL4, as lender, and (ii) that certain Loan and Security Agreement of even date herewith between Grantor, as borrower, and VLL5, as lender, as such agreements may from time to time be amended, restated, supplemented or otherwise modified (individually and together, the "Loan Agreement"), Secured Party has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in the Loan Agreement. All capitalized terms used herein without definition shall have the meanings ascribed to them in the Loan Agreement.

B. Secured Party is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Secured Party a security interest in substantially all of Grantor's personal property whether presently existing or hereafter acquired. To that end, Grantor has executed in favor of Secured Party the Loan Agreement granting a security interest in all Collateral, and is executing this Agreement with respect to certain items of Intellectual Property, in particular.

NOW, THEREFORE, THE PARTIES HERETO AGREE AS FOLLOWS:

1. Grant of Security Interest. As collateral security for the prompt and complete payment and performance of all of Grantor's present or future Obligations, Grantor hereby grants a security interest and mortgage to Secured Party, as security, in and to Grantor's entire right, title and interest in, to and under the following Intellectual Property, now owned or hereafter acquired by Grantor or in which Grantor now holds or hereafter acquires any interest (all of which shall collectively be called the "Collateral" for purposes of this Agreement):

(a) Any and all copyrights, whether registered or unregistered, held pursuant to the laws of the United States, any State thereof or of any other country; all registrations, applications and recordings in the United States Copyright Office or in any similar office or agency of the United States, and State thereof or any other country; all continuations, renewals, or extensions thereof; and any registrations to be issued under any pending applications, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

(b) All letters patent of, or rights corresponding thereto in, the United States or any other country, all registrations and recordings thereof, and all applications for letters patent of, or rights corresponding thereto in, the United States or any other country, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country; all reissues, continuations, continuations-in-part or extensions thereof; all petty patents, divisionals, and patents of addition; and all patents to be issued under any such applications, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(c) All trademarks, trade names, corporate names, business names, trade styles, service marks, logos, other source or business identifiers, prints and labels on which any of the foregoing have appeared or appear, designs and general intangibles of like nature, now existing or hereafter adopted or acquired, all registrations

and recordings thereof, and any applications in connection therewith, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country or any political subdivision thereof, and reissues, extensions or renewals thereof, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");

(d) Any and all claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(e) All licenses or other rights to use any of the Copyrights, Patents or Trademarks, and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(f) All amendments, renewals and extensions of any of the Copyrights, Trademarks or Patents; and

(g) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Notwithstanding the foregoing the term "Collateral" shall not include: (a) "intent-to-use" trademarks at all times prior to the first use thereof, whether by the actual use thereof in commerce, the recording of a statement of use with the United States Patent and Trademark Office or otherwise, but only to the extent the granting of a security interest in such "intent to use" trademarks would be contrary to applicable law or (b) any contract, instrument or chattel paper in which Grantor has any right, title or interest if and to the extent such contract, instrument or chattel paper includes a provision containing a restriction on assignment such that the creation of a security interest in the right, title or interest of Grantor therein would be prohibited and would, in and of itself, cause or result in a default thereunder enabling another person party to such contract, instrument or chattel paper to enforce any remedy with respect thereto; provided, however, that the foregoing exclusion shall not apply if (i) such prohibition has been waived or such other person has otherwise consented to the creation hereunder of a security interest in such contract, instrument or chattel paper, or (ii) such prohibition would be rendered ineffective pursuant to Sections 9-407(a) or 9-408(a) of the UCC, as applicable and as then in effect in any relevant jurisdiction, or any other applicable law (including the Bankruptcy Code) or principles of equity); provided further that immediately upon the ineffectiveness, lapse or termination of any such provision, the term "Collateral" shall include, and Grantor shall be deemed to have granted a security interest in, all its rights, title and interests in and to such contract, instrument or chattel paper as if such provision had never been in effect; and provided further that the foregoing exclusion shall in no way be construed so as to limit, impair or otherwise affect Secured Party's unconditional continuing security interest in and to all rights, title and interests of Grantor in or to any payment obligations or other rights to receive monies due or to become due under any such contract, instrument or chattel paper and in any such monies and other proceeds of such contract, instrument or chattel paper.

2. Covenants and Warranties. Grantor represents, warrants, covenants and agrees as follows:

(a) Grantor has rights in the Collateral (as described in Section 9-203(b)(2) of the UCC), except for Permitted Liens;

(b) During the term of this Agreement, Grantor will not transfer or otherwise encumber any interest in the Collateral, except for Permitted Liens and except for transfers otherwise permitted under the Loan Agreement;

(c) To its knowledge, each of the Patents is valid and enforceable, and no part of the Collateral has been judged invalid or unenforceable, in whole or in part, and no claim has been made that any part of the Collateral violates the rights of any third party;

(d) Grantor shall deliver to Secured Party within thirty (30) days of the last day of each fiscal quarter, a report signed by Grantor, in form reasonably acceptable to Secured Party, listing (i) any applications or registrations that Grantor has made or filed in respect of any patents, copyrights or trademarks, (ii) the status of any outstanding applications or registrations and (iii) any material change in the composition of the Collateral;

(e) Grantor shall use reasonable commercial efforts to (i) protect, defend and maintain the validity and enforceability of the Trademarks, Patents and Copyrights (ii) detect infringements of the Trademarks, Patents and Copyrights and promptly advise Secured Party in writing of material infringements detected and (iii) not allow any material Trademarks, Patents or Copyrights to be abandoned, forfeited or dedicated to the public unless Borrower deems it be in the best interest of Borrower's business;

(f) Grantor shall apply for registration (to the extent not already registered) with the United States Patent and Trademark Office or the United States Copyright Office, as applicable: (i) those intellectual property rights listed on Exhibits A, B and C hereto within thirty (30) days of the date of this Agreement; and (ii) those additional intellectual property rights developed or acquired by Grantor from time to time in connection with any product or service, prior to the sale or licensing of such product or the rendering of such service to any third party (including without limitation revisions or additions to the intellectual property rights listed on such Exhibits A, B and C), except, in each case, with respect to such rights that Grantor determines in its sole but reasonable commercial judgment need not be registered to protect its own business interests. Grantor shall, from time to time, execute and file such other instruments, and take such further actions as Secured Party may reasonably request from time to time to perfect or continue the perfection of Secured Party's interest in the Collateral. Grantor shall give Secured Party notice of all such applications or registrations; and

(g) Grantor shall not enter into any agreement that would materially impair or conflict with Grantor's obligations hereunder without Secured Party's prior written consent, which consent shall not be unreasonably withheld. Grantor shall not permit the inclusion in any material contract to which it becomes a party of any provisions that could or might in any way prevent the creation of a security interest in Grantor's rights and interests in any property included within the definition of the Collateral acquired under such contracts, except for provisions in such material contracts as are referenced in the last paragraph of Section 1 of this Agreement.

3. Further Assurances; Attorney in Fact.

(a) On a continuing basis, Grantor will make, execute, acknowledge and deliver, and file and record in the proper filing and recording places in the United States, all such instruments, including appropriate financing and continuation statements and collateral agreements and filings with the United States Patent and Trademark Office and the Register of Copyrights, and take all such action as may reasonably be deemed necessary or advisable, or as reasonably requested by Secured Party, to perfect Secured Party's security interest in all Copyrights, Patents and Trademarks and otherwise to carry out the intent and purposes of this Agreement, or for assuring and confirming to Secured Party the grant or perfection of a security interest in all Collateral.

(b) Grantor hereby irrevocably appoints Secured Party as Grantor's attorney-in-fact, with full authority in the place and stead of Grantor and in the name of Grantor, from time to time in Secured Party's discretion, to take any action and to execute any instrument which Secured Party may deem necessary or advisable to accomplish the purposes of this Agreement, including (i) to modify, in its sole discretion, this Agreement without first obtaining Grantor's approval of or signature to such modification by amending Exhibits A, B and C, hereof, as appropriate, to include reference to any right, title or interest in any Copyrights, Patents or Trademarks acquired by

Grantor after the execution hereof or to delete any reference to any right, title or interest in any Copyrights, Patents or Trademarks in which Grantor no longer has or claims any right, title or interest, (ii) to file, in its sole discretion, one or more financing or continuation statements and amendments thereto, relative to any of the Collateral without the signature of Grantor where permitted by law, and (iii) after the occurrence and during the continuance of an Event of Default and after the expiration of the Forbearance Period, to transfer the Collateral into the name of Secured Party or a third party to the extent permitted under the California Uniform Commercial Code.

4. Events of Default. The occurrence of any of the following shall constitute an Event of Default under this Agreement:

(a) An Event of Default under the Loan Agreement; or

(b) Grantor breaches any warranty or agreement made by Grantor in this Agreement and, as to any breach that is capable of cure, Grantor fails to cure such breach within thirty (30) days of the sooner to occur of Grantor's receipt of notice of such breach from Secured Party or the date on which such breach first becomes known to Grantor.

5. Amendments. This Agreement may be amended only by a written instrument signed by both parties hereto, except for amendments permitted under Section 3 hereof to be made by Secured Party alone.

6. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

7. Several Nature of Secured Party's Obligations and Rights; Pari Passu Security Interests. This Agreement is and shall be interpreted for all purposes as separate and distinct agreements between Grantor and VLL4, on the one hand, and Grantor and VLL5, on the other hand, and nothing in this Agreement shall be deemed a joint venture, partnership or other association between VLL4 and VLL5. Each reference in this Agreement to "Secured Party" shall mean and refer to each of VLL4 and VLL5, singly and independent of one another. Without limiting the generality of the foregoing, the covenants and other obligations of "Secured Party" under this Agreement are several and not joint obligations of VLL4 and VLL5, and all rights and remedies of "Secured Party" under this Agreement may be exercised by VLL4 and/or VLL5 independently of one another. The security interests granted by Grantor to each of VLL4 and VLL5 hereunder and under the Loan Agreement shall be deemed to have been granted and perfected at the same time and shall be of equal priority.

[Signature Pages Follow]

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

GRANTOR:

Address of Grantor:

NEOGUIDE SYSTEMS, INC.

104 Cooper Court
Los Gatos, CA 95032
Attn: Chief Financial Officer

By: 
Name: Austin F. Noll, III
Its: President & CEO

SECURED PARTY:

Address of Secured Party:

VENTURE LENDING & LEASING IV, INC.

2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

By: _____
Name: _____
Its: _____

Address of Secured Party:

VENTURE LENDING & LEASING V, INC.

2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

By: _____
Name: _____
Its: _____

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

GRANTOR:

Address of Grantor:

NEOGUIDE SYSTEMS, INC.

104 Cooper Court
Los Gatos, CA 95032
Attn: Chief Financial Officer

By: _____

Name: _____

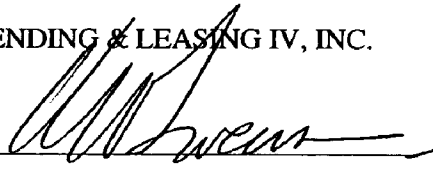
Its: _____

SECURED PARTY:

Address of Secured Party:

VENTURE LENDING & LEASING IV, INC.

2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

By: _____

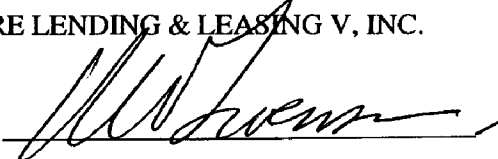
Name: Ronald W. Swenson

Its: Chief Executive Officer

Address of Secured Party:

VENTURE LENDING & LEASING V, INC.

2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

By: _____

Name: Ronald W. Swenson

Its: Chief Executive Officer

EXHIBIT A

Copyrights

Description

Registration Number

Registration Date

None

46109/0031
JTK/323979.2

EXHIBIT B

Patents

Description

Registration/Serial Number

Registration/Application Date

See attachment

46109/0031
JTK/323979.2

List of Active Patent Matters
NeoGuide Systems, Inc.

Case#	Country	Serial No.	Filed	Pub. No.	Pub. Date	Status	Title
CIP	USA	10/306,580 ✓	27-Nov-2002	6984203		Issued on 1/10/2006	ENDOSCOPE WITH ADJACENTLY POSITIONED GUIDING APPARATUS
RCE	USA	10/229,814 ✓	27-Aug-2002	6974411		Issued on 12/13/2005	ENDOSCOPE WITH SINGLE STEP GUIDING APPARATUS
CON	USA	10/228,624 ✓	26-Aug-2002	6890297		Issued on 5/10/2005	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
RCE	USA	10/228,583 ✓	26-Aug-2002	6869396		Issued on 3/22/2005	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
CIP	USA	10/229,577 ✓	27-Aug-2002	6858005		Issued on 2/22/2005	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
CIP	USA	10/139,289 ✓	02-May-2002	6837846		Issued on 1/4/2005	ENDOSCOPE HAVING A GUIDE TUBE
RCE	USA	10/087,100 ✓	01-Mar-2002	6800056		Issued on 10/5/2004	ENDOSCOPE WITH GUIDING APPARATUS
CIP	USA	09/969,927 ✓	02-Oct-2001	6610007		Issued on 8/26/03	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
ORD	USA	09/790,204 ✓	20-Feb-2001	6468203		Issued on 10/22/02	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
CON	USA	10/402,599 ✓	27-Mar-2003	7087013		Issued on 8/8/06	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
RCE	USA	10/229,189 ✓	26-Aug-2002	7044907		Issued on 5/16/06	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
CON	USA	10/622,801 ✓	18-Jul-2003			Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
CON	USA	10/769,305 ✓	29-Jan-2004			Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	10/768,472 ✓	29-Jan-2004			Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	10/768,605	29-Jan-2004			Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	10/768,253	29-Jan-2004			Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	11/016,659 ✓	17-Dec-2004			Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	11/019,963 ✓	20-Dec-2004			Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
CON	USA	11/019,962 ✓	20-Dec-2004			Pending	ENDOSCOPE WITH ADJACENTLY POSITIONED GUIDING APPARATUS
CON	USA	11/129,168 ✓	13-May-2005			Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
CON	USA	11/129,172 ✓	13-May-2005			Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION

List of Active Patent Matters
NeoGuide Systems, Inc.

Case Type	Country	Serial No.	Filing Date	Pub. No.	Status	Title
CON	USA	11/129,093	13-May-2005		Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
CON	USA	11/226,999	14-Sep-2005		Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	11/227,371	14-Sep-2005		Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	11/227,415	14-Sep-2005		Pending	ENDOSCOPE HAVING A GUIDE TUBE
CON	USA	11/228,013	14-Sep-2005		Pending	ENDOSCOPE WITH RIGIDIZABLE INTRODUCER TO SHEATH
CON	USA	11/227,978	14-Sep-2005		Pending	METHOD OF NAVIGATING A THERAPEUTIC INSTRUMENT WITH AN APPARATUS HAVING A HANDLE COUPLED TO AN OVERTUBE
CON	USA	11/227,956	14-Sep-2005		Pending	METHOD OF PROVIDING ACCESS TO A BODY ORGAN USING AN INTRODUCER TO SHEATH
CON	USA	11/228,014	14-Sep-2005		Pending	METHOD AND APPARATUS FOR ADVANCING AN INSTRUMENT ALONG WITH AN ARBITRARY PATH
CON	USA	11/228,025	14-Sep-2005		Pending	METHOD AND APPARATUS FOR ADVANCING AN INSTRUMENT ALONG WITH AN ARBITRARY PATH USING AN INTRODUCER SHEATH
CON	USA	11/228,024	14-Sep-2005		Pending	METHOD AND APPARATUS HAVING AN ELONGATE GUIDE AND CONTROLLABLE PORTION
CIP	USA	10/923,602	20-Aug-2004		Pending	ACTIVATED POLYMER ARTICULATED INSTRUMENTS AND METHODS OF INSERTION
ORD	PCT	PCTUS03/37778	26-Nov-2003		Pending	ENDOSCOPE WITH ADJACENTLY POSITIONED GUIDING
PCT	Australia	2002341685	17-Sep-2002		Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
PCT	Canada	2406850	03-Apr-2001		Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
PCT	Canada	2462544	17-Sep-2002		Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
PCT	Canada	2496574	27-Aug-2003		Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
PCT	Canada	Not yet assigned	20-Aug-2004		Pending	ACTIVATED POLYMER ARTICULATED INSTRUMENTS AND METHODS OF INSERTION
PCT	China	2822935.5	17-Sep-2002		Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
PCT	China	3809986.1	02-May-2003		Pending	ENDOSCOPE HAVING A GUIDE TUBE
PCT	China	03823467.X	27-Aug-2003		Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION

List of Active Patent Matters
NeoGuide Systems, Inc.

Country	Patent No.	Applicant	Filed	Status	Title
PCT	China	Not yet assigned	20-Aug-2004	Pending	ACTIVATED POLYMER ARTICULATED INSTRUMENTS AND METHODS OF INSERTION
PCT	EPC	1924656	03-Apr-2001	Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
PCT	EPC	2775835.8	17-Sep-2002	Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
PCT	EPC	3713758.5	26-Feb-2003	Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
PCT	EPC	3728638.2	02-May-2003	Pending	ENDOSCOPE HAVING A GUIDE TUBE
PCT	EPC	3791924.8	27-Aug-2003	Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
DIV	EPC	5002014.8	03-Apr-2001	Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
ORD	EPC	3790076.8	26-Nov-2003	Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
PCT	EPC	4781605.3	20-Aug-2004	Pending	ACTIVATED POLYMER ARTICULATED INSTRUMENTS AND METHODS OF INSERTION
PCT	Israel	152066	03-Apr-2001	Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
PCT	Israel	161225	17-Sep-2002	Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
PCT	Israel	166823	27-Aug-2003	Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
PCT	Israel	Not yet assigned	20-Aug-2004	Pending	ACTIVATED POLYMER ARTICULATED INSTRUMENTS AND METHODS OF INSERTION
PCT	Japan	2001-571983	03-Apr-2001	Pending	STEERABLE ENDOSCOPE AND IMPROVED METHOD OF INSERTION
PCT	Japan	2003-531892	17-Sep-2002	Pending	STEERABLE SEGMENTED ENDOSCOPE AND METHOD OF INSERTION
PCT	Japan	2004-500668	02-May-2003	Pending	ENDOSCOPE HAVING A GUIDE TUBE
PCT	Japan	2004-531886	27-Aug-2003	Pending	TENDON-DRIVEN ENDOSCOPE AND METHODS OF INSERTION
PCT	Japan	Not yet assigned	20-Aug-2004	Pending	ACTIVATED POLYMER ARTICULATED INSTRUMENTS AND METHODS OF INSERTION
ORD	USA	10/327,259 ✓	20-Dec-2002	Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON
PCT	Australia	2002360767	20-Dec-2002	Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON

List of Active Patent Matters
NeoGuide Systems, Inc.

Case No.	Country	Serial No.	Filing Date	Grant No.	Status	Title
PCT	Canada	2472197	20-Dec-2002		Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON
PCT	China	2826961.6	20-Dec-2002		Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON
PCT	EPC	2796049.1	20-Dec-2002		Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON
PCT	Israel	162697	20-Dec-2002		Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON
PCT	Japan	2003-559321	20-Dec-2002		Pending	APPARATUS AND METHOD FOR SPECTROSCOPIC EXAMINATION OF THE COLON
ORD	USA	10/327,370 ✓	20-Dec-2002		Pending	APPARATUS AND METHOD FOR ENDOSCOPIC COLECTOMY
PCT	Canada	2472207	20-Dec-2002		Pending	APPARATUS AND METHOD FOR ENDOSCOPIC COLECTOMY
PCT	China	2826960.8	20-Dec-2002		Pending	APPARATUS AND METHOD FOR ENDOSCOPIC COLECTOMY
PCT	EPC	2794411.5	20-Dec-2002		Pending	APPARATUS AND METHOD FOR ENDOSCOPIC COLECTOMY
PCT	Israel	162696	20-Dec-2002		Pending	APPARATUS AND METHOD FOR ENDOSCOPIC COLECTOMY
PCT	Japan	2003-559322	20-Dec-2002		Pending	APPARATUS AND METHOD FOR ENDOSCOPIC COLECTOMY
CIP	USA	10/988,212 ✓	12-Nov-2004		Pending	CONNECTOR DEVICE FOR A CONTROLLABLE INSTRUMENT
ORD	PCT	US2005/40893	08-Nov-2005		Pending	CONNECTOR DEVICE FOR A CONTROLLABLE INSTRUMENT
ORD	USA	10/767,109 ✓	28-Jan-2004		Pending	METHODS AND APPARATUS FOR ACCESSING AND TREATING REGIONS OF THE BODY
CIP	USA	10/850,360 ✓	19-May-2004		Pending	APPARATUS AND METHODS FOR FACILITATING TREATMENT OF TISSUE VIA IMPROVED DELIVERY OF ENERGY BASED AND NON-ENERGY BASED MODALITIES
ORD	PCT	US2005/03140	28-Jan-2005		Pending	APPARATUS AND METHODS FOR FACILITATING TREATMENT OF TISSUE VIA IMPROVED DELIVERY OF ENERGY BASED AND NON-ENERGY BASED MODALITIES
ORD	USA	10/384,252 ✓	07-Mar-2003		Pending	METHOD AND APPARATUS FOR TRACKING INSERTION DEPTH
PCT	Canada	2517841	05-Mar-2004		Pending	METHOD AND APPARATUS FOR TRACKING INSERTION DEPTH

List of Active Patent Matters
NeoGuide Systems, Inc.

Case Type	Country	Serial No.	Filing Date	Patent No.	Status	Title
PCT	China	200480006294	05-Mar-2004		Pending	METHOD AND APPARATUS FOR TRACKING INSERTION DEPTH
PCT	EPC	4749353.1	05-Mar-2004		Pending	METHOD AND APPARATUS FOR TRACKING INSERTION DEPTH
PCT	Israel	170390	05-Mar-2004		Pending	METHOD AND APPARATUS FOR TRACKING INSERTION DEPTH
PCT	Japan	Not yet assigned	05-Mar-2004		Pending	METHOD AND APPARATUS FOR TRACKING INSERTION DEPTH
CIP	USA	11/522,305 ✓	14-Sep-2006		Pending	METHODS AND APPARATUS USEFUL IN PERFORMING TRANS-GASTRIC AND OTHER PROCEDURES
PCT	PCT	US2006/03614	14-Sep-2006		Pending	METHODS AND APPARATUS USEFUL IN PERFORMING TRANS-GASTRIC AND OTHER PROCEDURES
CIP	USA	11/648,408 ✓	28-Dec-2006		Pending	ENDOSCOPE HAVING RADIO FREQUENCY IDENTIFICATION SYSTEMS AND METHODS
PCT	PCT	Not yet assigned	28-Dec-2006		Pending	ENDOSCOPE HAVING RADIO FREQUENCY IDENTIFICATION SYSTEMS AND METHODS
CIP	USA	11/603,943 ✓	21-Nov-2006		Pending	METHOD FOR MEASURING ANGLE OF ARTICULATING SEGMENT USING COMPLIMENTARY CONTROL WIRES
PCT	PCT	US2006/04530	21-Nov-2006		Pending	METHOD FOR MEASURING ANGLE OF ARTICULATING SEGMENT USING COMPLIMENTARY CONTROL WIRES
CIP	USA	11/603,944 ✓	21-Nov-2006		Pending	STEERING AID
PCT	PCT	US2006/4529	21-Nov-2006		Pending	STEERING AID
CIP	USA	11/604,019 ✓	22-Nov-2006		Pending	TENDON FOR STEERABLE INSTRUMENTS

5

EXHIBIT C

Trademarks

<u>Description</u>	<u>U.S. Registration/Application Number</u>	<u>Registration/Application Date</u>
NeoGuide	78187756	Nov. 21 2002