

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6309109

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	NUNC PRO TUNC ASSIGNMENT
EFFECTIVE DATE:	08/27/2015
CONVEYING PARTY DATA	
Name	Execution Date
BARBARA JAGODZINSKA	09/01/2020
OLEKSANDR BUZKO	09/08/2020
DALE BREDESEN	09/09/2020
PATRICIA SPILMAN	09/08/2020
VARGHESE JOHN	09/10/2020
RECEIVING PARTY DATA	
Name:	NANTNEURO, LLC
Street Address:	9920 JEFFERSON BLVD.
City:	CULVER CITY
State/Country:	CALIFORNIA
Postal Code:	90232
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	62210947
PCT Number:	US2016049270
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	patents@umbergzipser.com
Correspondent Name:	UMBERG ZIPSER, LLP
Address Line 1:	1920 MAIN STREET
Address Line 2:	SUITE 750
Address Line 4:	IRVINE, CALIFORNIA 92614
ATTORNEY DOCKET NUMBER:	102780.0001US
NAME OF SUBMITTER:	AZIN BAKHTIARI
SIGNATURE:	/azin bakhtiari/
DATE SIGNED:	09/21/2020

Total Attachments: 10

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ASSIGNMENT

This patent assignment is made effective as of **August 27, 2015** by and between **Barbara JAGODZINSKA**, an individual, and **NANTNEURO, LLC**, a limited liability company.

WHEREAS, the undersigned, **Barbara JAGODZINSKA**, an individual, (referred to hereinafter as "ASSIGNOR") have invented a certain and useful invention for which we filed an application for United States Letters Patent entitled "**TRIAZOLOPYRIDINES AND TRIAZOLOPYRIMIDINES THAT LOWER STRESS-INDUCED P-TAU**", with a Serial Number: **62/210,947**, and a filing date: **August 27, 2015**; and for which an International (PCT) Application was filed with WIPO with a Serial Number: **PCT/US2016/049270**, and a filing date: **August 29, 2016**; for which we filed an application for United States Letters Patent, with a Serial Number: **15/755,484**, and a filing date: **February 26, 2018**; which together with related ideas, concepts, experimental data, trade secret, and other know-how is referred to hereinafter as the **INVENTION**.

WHEREAS, **NANTNEURO, LLC**, a limited liability company, having its principal place of business at 9920 Jefferson Boulevard, Culver City, California 90232, (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said **INVENTION**, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

WHEREAS, **ASSIGNOR** hereby authorize and request **ASSIGNEE's** attorneys of record to insert or correct the serial number(s), filing date(s), or other identification of the **INVENTION** when known and as needed.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNOR** hereby sell, assign, transfer and set over unto the **ASSIGNEE**, its successors, and assign the entire title, right and interest in and to the **INVENTION**, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said **INVENTION** by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Conventions.

ASSIGNOR hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said **INVENTION**, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to **ASSIGNOR**, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof. **ASSIGNOR** hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said Invention to **ASSIGNEE**.

ASSIGNOR and **ASSIGNEE** each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This **ASSIGNMENT** embodies the entire understanding of **ASSIGNOR** and **ASSIGNEE** and supersedes and replaces any and all pre-existing assignments or understandings between **ASSIGNOR** and **ASSIGNEE**. No

amendment or modification of this assignment shall be valid or binding upon ASSIGNOR or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNOR and ASSIGNEE by their respective duly authorized representative.

ASSIGNOR acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

This ASSIGNMENT may be executed in any number of counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

This ASSIGNMENT shall be governed by and construed in accordance with the law of the state of California and ASSIGNOR and ASSIGNEE agree to submit to the exclusive jurisdiction of the courts of the state of California.

EXECUTED at:


Redwood City, CA

_____, this 1st day of Sept., 2018
City, State 2020

Month

By: 
Barbara JAGODZINSKA

ACCEPTANCE OF ASSIGNMENT BY ASSIGNEE(S)**NANTNEURO, LLC**


Signature

Mark Kokes CFO
Name Title

ASSIGNMENT

This patent assignment is made effective as of **August 27, 2015** by and between **Oleksandr BUZKO**, an individual, and **NANTNEURO, LLC.**, a limited liability company.

WHEREAS, the undersigned, **Oleksandr BUZKO**, an individual, (referred to hereinafter as "ASSIGNOR") have invented a certain and useful invention for which we filed an application for United States Letters Patent entitled "**TRIAZOLOPYRIDINES AND TRIAZOLOPYRIMIDINES THAT LOWER STRESS-INDUCED P-TAU**", with a Serial Number: **62/210,947**, and a filing date: **August 27, 2015**; and for which an International (PCT) Application was filed with WIPO with a Serial Number: **PCT/US2016/049270**, and a filing date: **August 29, 2016**; for which we filed an application for United States Letters Patent, with a Serial Number: **15/755,484**, and a filing date: **February 26, 2018**; which together with related ideas, concepts, experimental data, trade secret, and other know-how is referred to hereinafter as the **INVENTION**.

WHEREAS, **NANTNEURO, LLC**, a limited liability company, having its principal place of business at 9920 Jefferson Boulevard, Culver City, California 90232, (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said **INVENTION**, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

WHEREAS, **ASSIGNOR** hereby authorize and request **ASSIGNEE**'s attorneys of record to insert or correct the serial number(s), filing date(s), or other identification of the **INVENTION** when known and as needed.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNOR** hereby sell, assign, transfer and set over unto the **ASSIGNEE**, its successors, and assign the entire title, right and interest in and to the **INVENTION**, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said **INVENTION** by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Conventions.

ASSIGNOR hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said **INVENTION**, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to **ASSIGNOR**, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof. **ASSIGNOR** hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said **Invention** to **ASSIGNEE**.

ASSIGNOR and **ASSIGNEE** each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This ASSIGNMENT embodies the entire understanding of ASSIGNOR and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNOR and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNOR or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNOR and ASSIGNEE by their respective duly authorized representative.

ASSIGNOR acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

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This ASSIGNMENT shall be governed by and construed in accordance with the law of the state of California and ASSIGNOR and ASSIGNEE agree to submit to the exclusive jurisdiction of the courts of the state of California.

EXECUTED at:

Culver City, CA, this 8th day of September, 2020
City, State Month

By: _____

Oleksandr BUZKO

ACCEPTANCE OF ASSIGNMENT BY ASSIGNEE(S)**NANTNEURO, LLC**

Signature _____

Name _____

Title _____

Mark KolesCEO

ASSIGNMENT

This patent assignment is made effective as of **August 27, 2015** by and between **Dale BREDESEN**, an individual, and **NANTNEURO, LLC.**, a limited liability company.

WHEREAS, the undersigned, **Dale BREDESEN**, an individual, (referred to hereinafter as "ASSIGNOR") have invented a certain and useful invention for which we filed an application for United States Letters Patent entitled "**TRIAZOLOPYRIDINES AND TRIAZOLOPYRIMIDINES THAT LOWER STRESS-INDUCED P-TAU**" with a Serial Number: **62/210,947**, and a filing date: **August 27, 2015**; and for which an International (PCT) Application was filed with WIPO with a Serial Number: **PCT/US2016/049270**, and a filing date: **August 29, 2016**; for which we filed an application for United States Letters Patent, with a Serial Number: **15/755,484**, and a filing date: **February 26, 2018**; which together with related ideas, concepts, experimental data, trade secret, and other know-how is referred to hereinafter as the **INVENTION**. .

WHEREAS, **NANTNEURO, LLC**, a limited liability company, having its principal place of business at 9920 Jefferson Boulevard, Culver City, California 90232, (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said **INVENTION**, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

WHEREAS, **ASSIGNOR** hereby authorize and request **ASSIGNEE's** attorneys of record to insert or correct the serial number(s), filing date(s), or other identification of the **INVENTION** when known and as needed.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNOR** hereby sell, assign, transfer and set over unto the **ASSIGNEE**, its successors, and assign the entire title, right and interest in and to the **INVENTION**, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said **INVENTION** by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Conventions.

ASSIGNOR hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said **INVENTION**, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to **ASSIGNOR**, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof. **ASSIGNOR** hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said Invention to **ASSIGNEE**.

ASSIGNOR and **ASSIGNEE** each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

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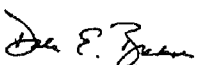
ASSIGNOR acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

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This ASSIGNMENT shall be governed by and construed in accordance with the law of the state of California and ASSIGNOR and ASSIGNEE agree to submit to the exclusive jurisdiction of the courts of the state of California.

EXECUTED at:

Novato, CA _____, this 9th day of September, 2020
City, State Month

By: 
Dale BREDESEN

ACCEPTANCE OF ASSIGNMENT BY ASSIGNEE(S)**NANTNEURO, LLC**


Signature

Mark Kokes CFO
Name Title

ASSIGNMENT

This patent assignment is made effective as of **August 27, 2015** by and between **Patricia SPILMAN**, an individual, and **NANTNEURO, LLC**, a limited liability company.

WHEREAS, the undersigned, **Patricia SPILMAN**, an individual, (referred to hereinafter as "ASSIGNOR") have invented a certain and useful invention for which we filed an application for United States Letters Patent entitled "**TRIAZOLOPYRIDINES AND TRIAZOLOPYRIMIDINES THAT LOWER STRESS-INDUCED P-TAU**", with a Serial Number: **62/210,947**, and a filing date: **August 27, 2015**; and for which an International (PCT) Application was filed with WIPO with a Serial Number: **PCT/US2016/049270**, and a filing date: **August 29, 2016**; for which we filed an application for United States Letters Patent, with a Serial Number: **15/755,484**, and a filing date: **February 26, 2018**; which together with related ideas, concepts, experimental data, trade secret, and other know-how is referred to hereinafter as the **INVENTION**.

WHEREAS, **NANTNEURO, LLC**, a limited liability company, having its principal place of business at 9920 Jefferson Boulevard, Culver City, California 90232, (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said **INVENTION**, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

WHEREAS, **ASSIGNOR** hereby authorize and request **ASSIGNEE**'s attorneys of record to insert or correct the serial number(s), filing date(s), or other identification of the **INVENTION** when known and as needed.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNOR** hereby sell, assign, transfer and set over unto the **ASSIGNEE**, its successors, and assign the entire title, right and interest in and to the **INVENTION**, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said **INVENTION** by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Conventions.

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ASSIGNOR further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said **INVENTION**, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to **ASSIGNOR**, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof. **ASSIGNOR** hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said Invention to **ASSIGNEE**.

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This ASSIGNMENT shall be governed by and construed in accordance with the law of the state of California and ASSIGNOR and ASSIGNEE agree to submit to the exclusive jurisdiction of the courts of the state of California.

EXECUTED at:

Mill Valley, CA, this 8 day of September, 2018.
City, State Month

By: Patricia R Spilman
Patricia SPILMAN

ACCEPTANCE OF ASSIGNMENT BY ASSIGNEE(S)**NANTNEURO, LLC**

Mark Kokes
Signature

Mark Kokes CFO
Name Title

ASSIGNMENT

This patent assignment is made effective as of **August 27, 2015** by and between **Varghese JOHN**, an individual, and **NANTNEURO, LLC.**, a limited liability company.

WHEREAS, the undersigned, **Varghese JOHN**, an individual, (referred to hereinafter as "ASSIGNOR") have invented a certain and useful invention for which we filed an application for United States Letters Patent entitled "**TRIAZOLOPYRIDINES AND TRIAZOLOPYRIMIDINES THAT LOWER STRESS-INDUCED P-TAU**", with a Serial Number: **62/210,947**, and a filing date: **August 27, 2015**; and for which an International (PCT) Application was filed with WIPO with a Serial Number: **PCT/US2016/049270**, and a filing date: **August 29, 2016**; for which we filed an application for United States Letters Patent, with a Serial Number: **15/755,484**, and a filing date: **February 26, 2018**; which together with related ideas, concepts, experimental data, trade secret, and other know-how is referred to hereinafter as the **INVENTION**. .

WHEREAS, **NANTNEURO, LLC**, a limited liability company, having its principal place of business at 9920 Jefferson Boulevard, Culver City, California 90232, (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said **INVENTION**, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

WHEREAS, **ASSIGNOR** hereby authorize and request **ASSIGNEE's** attorneys of record to insert or correct the serial number(s), filing date(s), or other identification of the **INVENTION** when known and as needed.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNOR** hereby sell, assign, transfer and set over unto the **ASSIGNEE**, its successors, and assign the entire title, right and interest in and to the **INVENTION**, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said **INVENTION** by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Conventions.

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This ASSIGNMENT shall be governed by and construed in accordance with the law of the state of California and ASSIGNOR and ASSIGNEE agree to submit to the exclusive jurisdiction of the courts of the state of California.

EXECUTED at:

Los Angeles _____, this 10 day of September, 2018
City, State Month

Varghese John

By: _____
Varghese JOHN

ACCEPTANCE OF ASSIGNMENT BY ASSIGNEE(S)**NANTNEURO, LLC**

Mark Kokes

Signature

Mark Kokes *CEO*

Name Title