# 506273183 09/26/2020

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6319930

SUBMISSION TYPE:		NEW ASSIGN				
IATURE OF CONVEYA	NCE:	ASSIGNMEN	Т			
CONVEYING PARTY D	ΑΤΑ					
		Name			Executio	n Date
JOHNSON YIU-NAM LA	.U				09/04/2020	)
ALISSA RAE VERONE-	BOYLE				09/15/2020	)
CHUN-HO WONG					09/15/2020	)
YAHAO BU					09/14/2020	5
MURRAY JOHN CUTLE	R				09/14/2020	5
MIN-FUN RUDOLF KWA	۹N				09/22/2020	)
RECEIVING PARTY DA	ТА					
Name:		EX HK INNOVATIV	E LIMITED			
Street Address:		08-613 IC DEVELO		Ξ		
Internal Address:		CIENCE PARK WE				
City:	HONG	KONG				
•						
State/Country: PROPERTY NUMBERS	CHINA					
PROPERTY NUMBERS Property Type		Num	nber			
PROPERTY NUMBERS		<b>Num</b> 16978037	nber			
PROPERTY NUMBERS Property Type	Total: 1		nber			
PROPERTY NUMBERS Property Type Application Number:	Total: 1		nber			
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will b</i>	Total: 1 DATA e sent to	16978037 (949)943-8358 • <b>the e-mail addres</b> :	s first; if that is a			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number:	Total: 1 DATA e sent to	16978037 (949)943-8358 • <b>the e-mail addres</b> :	s first; if that is a			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if	OATA e sent to provideo	16978037 (949)943-8358 • the e-mail address I; if that is unsucce	s first; if that is essful, it will be a			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will busing a fax number, if p</i>	OATA e sent to provideo	16978037 (949)943-8358 o the e-mail address I; if that is unsucce 9499438300	s first; if that is essful, it will be a			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone: Email:	OATA e sent to provideo	16978037 (949)943-8358 <b><i>the e-mail address</i></b> <i>tif that is unsucce</i> 9499438300 jreihl@fishiplaw.com	s first; if that is a essful, it will be a			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will bu using a fax number, if p</i> Phone: Email: Correspondent Name:	Total: 1	16978037 (949)943-8358 <b>5 the e-mail address</b> <b>7 if that is unsucce</b> 9499438300 jreihl@fishiplaw.com ROBERT D. FISH	s first; if that is a essful, it will be a			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will b using a fax number, if Phone: Email: Correspondent Name: Address Line 1:	Total: 1	16978037 (949)943-8358 <b><i>the e-mail address</i></b> <i>; if that is unsucce</i> 9499438300 jreihl@fishiplaw.com ROBERT D. FISH 2603 MAIN STREE	<b>s first; if that is</b> a <b>essful, it will be</b> a n T			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will busing a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 2:	DATA e sent to provideo	16978037 (949)943-8358 <b>9 the e-mail address</b> ( <b>9 if that is unsucce</b> (9499438300 (1999438300) (1999438300) (1999438300) (1999438300) (1999438300) (1999438300) (1999438300) (1999438300) (1999438300) (1999438300) (19994383300) (19994383300) (19994383300) (19994383300) (19994383300) (199958037) (199788037) (199958037) (199958037) (199788037)	<b>s first; if that is</b> essful, it will be n T IIA 92614			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4:	DATA e sent to provideo	16978037 (949)943-8358 <b><i>the e-mail address</i></b> <i>; if that is unsucce</i> 9499438300 jreihl@fishiplaw.com ROBERT D. FISH 2603 MAIN STREET SUITE 1000 IRVINE, CALIFORN	s first; if that is a ssful, it will be n T IIA 92614 US			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4:	DATA e sent to provideo	16978037 (949)943-8358 <b>9 the e-mail address</b> <b>9 the e-mail address</b>	s first; if that is a essful, it will be a n T IIA 92614 US FISH			sent
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 1: Address Line 2: Address Line 4: NTTORNEY DOCKET NU	DATA e sent to provideo	16978037 (949)943-8358 o the e-mail address 9499438300 jreihl@fishiplaw.com ROBERT D. FISH 2603 MAIN STREET SUITE 1000 IRVINE, CALIFORN 102772.00050 ROBERT D. F	s first; if that is a essful, it will be a n T IIA 92614 US FISH			sent

## **Total Attachments: 8**

source=Assignment - all-signed#page1.tif source=Assignment - all-signed#page2.tif source=Assignment - all-signed#page3.tif source=Assignment - all-signed#page4.tif source=Assignment - all-signed#page5.tif source=Assignment - all-signed#page6.tif source=Assignment - all-signed#page7.tif

#### ASSIGNMENT

WHEREAS, the undersigned, Johnson Yiu-Nam LAU an individual residing in Houston, Texas, and Alissa Rae Verone-Boyle, an individual residing in Kenmore, New York, and Chun-Ho Wong, an individual residing in Shatin, Hong Kong, and Yahao Bu, an individual residing in Williamsville, New York, and Murray John Cutler, an individual residing in Fort Erie, Canada, and Min-Fun Rudolf Kwan, an individual residing in Summit, New Jersey (referred to hereinafter as "ASSIGNORS") has invented a certain invention entitled "Compositions and Methods for Treating Hyperproliferative Skin Disorders", for which United States National Phase Application Number 16/978,037, filed on September 3, 2020; which claims priority to PCT Application Serial Number PCT/US19/21037, filed on March 6, 2019; which claims priority to United States Provisional Application Number 62/639,742, filed on March 18, 2018; which together with related experimental data, trade secret, and other know-how is referred to hereinafter as the INVENTION;

WHEREAS, Athenex HK Innovative Limited, a company having its principal place of business at Unit 608-613 IC Development Centre, No. 6 Science Park West Ave, HK Science Park, Hong Kong (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said INVENTION, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto;

**NOW, THEREFORE**, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and set over unto the ASSIGNEE, its successors and assigns the entire title, right and interest in and to the INVENTION, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said INVENTION by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Convention.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said INVENTION, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to ASSIGNOR, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof. ASSIGNOR and ASSIGNEE each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This assignment embodies the entire understanding of ASSIGNOR and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNOR and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNOR or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNOR and ASSIGNEE by their respective duly authorized representative.

ASSIGNOR acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

EXEC	UTED at:				
		<u></u>	>		
ву≤	and the second sec				
,	Johnson Yiu-Nam L.	AŬ			
~	Howala IX	, this	♀ dav of	Month	
	City, State	and a second second second	aninganananan ang sa sa sana	Month	Year
By:					
¢	Alissa Rae Verone-Bo	yle			
	City. State	, this	day of		, 20
	City, State			Month	Year
By:					
· …	Chun-Ho Wong				
		, this	day of	Month	, 20 Year
	City, State			Month	Year
			2		_
			an	PATEN	T

REEL: 053894 FRAME: 0600

ASSIGNOR and ASSIGNEE each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This assignment embodies the entire understanding of ASSIGNOR and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNOR and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNOR or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNOR and ASSIGNEE by their respective duly authorized representative.

ASSIGNOR acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

**EXECUTED** at:

By: Johnson Yiu-Nam LAU					
	, this		day of		, 20
City, State				Month	Year
By: AURAMALE Alissa Rae Verone-Boyle	<del></del>				
PHOENIXVILLE, PA	thic	15	ನೆಯ ೧೯	CHPT	20 72
City, State	, and		(any 01	Month	Year
By:Chun-Ho Wong					
	, this		dav of		, 20
City, State			annan (, , A, , , , , , , , , , , , , , , , ,	Month	Year
		2	<i></i>		

102772.0005US 16/978037

ASSIGNOR and ASSIGNEE each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This assignment embodies the entire understanding of ASSIGNOR and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNOR and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNOR or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNOR and ASSIGNEE by their respective duly authorized representative.

ASSIGNOR acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNOR further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNOR and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNOR and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

### **EXECUTED** at:

Johnson Yiu-Nam LAU					
	, this		_day of		, 20
City, State			•	Month	Year
4 P - D - X7 - D - K					
Ahssa Rae Verone-Boyle					
	_, this _		day_of		, 20
City, State				Month	Year
Chun-Ho Worg					
Hong Kong, China City, State	, this	15th	day of	September Month	, 20 <u>20</u> Year
	Johnson Yiu-Nam LAU City, State Alissa Rae Verone-Boyle City, State City, State Chun-Ho Wong	Johnson Yiu-Nam LAU , this	Johnson Yiu-Nam LAU , this City, State , this City, State , this Chun-Ho Wong Hong Kong, China, this15th	Johnson Yiu-Nam LAU, this day of City, State, this day of City, State, this day of Chun-Ho Wong Hong Kong, China, this15th day of	Johnson Yiu-Nam LAU

PATENT REEL: 053894 FRAME: 0602

By: <u>Jefenku</u> Yahao Bu					
Buffalo_NY City, State	, this	<u>/4</u> day	of_ <u></u> 6	<u>zpiember</u> Month	, 20 <u>_20</u> Year
By: Murray John Cutler					
City, State	, this	day	of		, 20
By: Min-Fun Rudolf Kwan					
City, State					
EXECUTED on behalf of ASSIGNI		* * * * * * * * * * * * *	8 8 8 9 9 9 9		*************
City, State	, this	day	of	Month	, 20 
By:					
Name: Teresa Bair					
Title: Director					

By:				
Yahao Bu				
	_, this	day of		, 20
By:			Month	Year
Murray John Cutler				
Buffalo, NY City, State	_, this 14 <sup>t</sup>	<sup>h</sup> day of September, 20	020	
By: Min-Fun Rudolf Kwan				
	, this	day of		. 20
City, State		,	Month	Year
EXECUTED on behalf of ASSIGNE	E at:			
	_, this	day of		, 20
City, State			Month	Year
By:				
Name: <u>Teresa Bair</u>				
Title: Director				

Bv:					
	Yahao Bu				
	City, State	, this	day of	Month	, 20 Year
By:	Murray John Cutler				
		, this	day of		, 20
	City, State				
Bv:	Rudolf Kwan Min Eur Budolf Kwa				
J	Min-Fun Rudolf Kwa	n			
Sum	nmit, New Jersey	, this _ <b>22nd</b>	day of	September	, 20 <u>20</u>
	City, State			Month	Year
EXECU	TED on behalf of ASSIG				
		, this	day of		_, 20
	City, State			Month	Year
Ву:					
Name: <u>1</u>	Teresa Bair				
Title: <u>I</u>	Director				

			day of		
	City, State			Month	Yes
Ву:					le su Le sel
	Yahao Bu				
			day of		
	City, State			Month	Yea
By:					
	Murray John (	Cutler			
		, this	day of		, 20
	City, State				
By:	Min-Fun Rudolf	î Kwan			
23 y					
	Min-Fun Rudoll		day of		
	Min-Fun Rudoll		day of	Month	, 20 Yea
	Min-Fun Rudolf City, State			Month	
*****	Min-Fun Rudolf City, State			Month	
******	Min-Fun Rudolf City, State			Month	
******** EXECUII	Min-Fun Rudoli City, State ***********************************	, this ********************************	****	Month **********	¥23
******** EXECUII	Min-Fun Rudoli City, State ***********************************	, this ********************************		Month **********	
 EXECUTI <u>Snyd</u>	Min-Fun Rudoli City, State ED on behalf of A <u>er, N4</u> City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea
******** EXECUII	Min-Fun Rudoli City, State ED on behalf of A <u>er, N4</u> City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea
EXECUTI 5nyd By: Jau	Min-Fun Rudoll City, State ED on behalf of Al <u>er, N4</u> City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea
 EXECUTI <u>Snyd</u>	Min-Fun Rudoll City, State ED on behalf of Al <u>er, N4</u> City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea
EXECUTI Snyd By: Jau Name: Ten	Min-Fun Rudoll City, State ED on behalf of Al <u>er, N4</u> City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea
EXECUTI Snyd By: Jau Name: Ten	Min-Fun Rudoli City, State ED on behalf of Al er, N4 City, State City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea
EXECUTI Snyd By: Jau Name: Ten	Min-Fun Rudoli City, State ED on behalf of Al er, N4 City, State City, State	, this ********************************	****	Month *********** <u>He<i>m</i>, ber</u>	Yea

PATENT REEL: 053894 FRAME: 0606

RECORDED: 09/26/2020