

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6321498

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
THOMAS P. KLUN	01/17/2020
ZEBA PARKAR	01/22/2020
JOHN M. RIEDESEL	01/21/2010
RICHARD J. POKORNY	01/16/2020
CHAD M. AMB	01/22/2020
BENJAMIN R. COONCE	01/16/2020
ROBERT S. CLOUGH	02/10/2020
TIANYU WU	06/30/2020
SASWATA CHAKRABORTY	01/15/2020
YONGSHANG LU	01/16/2020
BENJAMIN C. MAC MURRAY	01/20/2020
IAN DAILEY	02/05/2020
DAVID B. OLSON	01/21/2020

RECEIVING PARTY DATA

Name:	3M INNOVATIVE PROPERTIES COMPANY
Street Address:	P.O. BOX 33427
City:	ST. PAUL
State/Country:	MINNESOTA
Postal Code:	55133-3427

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17042384

CORRESPONDENCE DATA

Fax Number: (651)736-3833

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: cmwagner01@mmm.com

Correspondent Name: 3M INNOVATIVE PROPERTIES COMPANY

Address Line 1: P.O. BOX 33427

Address Line 4: ST. PAUL, MINNESOTA 55133-3427

PATENT

ATTORNEY DOCKET NUMBER:	81014US009
NAME OF SUBMITTER:	COLLEEN M. WAGNER
SIGNATURE:	/Colleen M. Wagner/
DATE SIGNED:	09/28/2020
	This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 13

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DECLARATION AND ASSIGNMENT, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)	Case No:	81014US
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As a below named inventor, I believe I am an original inventor or an original joint inventor of a claimed invention in the application and for which a patent is sought on the invention entitled:

ORTHODONTIC ARTICLES PREPARED USING A POLYCARBONATE DIOL, AND METHODS OF MAKING SAME

The specification of which:

- is attached hereto;
- was filed on _____
as United States Application No. _____
- is identified as PCT International Application No. _____
filed on **May 21, 2019** _____ **PCT/US2019/033252**


The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than 5 years, or both.

In addition, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made;

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Legal Name of Inventor: Thomas P. Klun	
Inventor's Signature: 	Date: 01-17-2020

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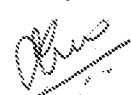
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Legal Name of Inventor: Zeba Parkar	
Inventor's Signature: 	Date: <u>1/22/2020</u>

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I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Legal Name of Inventor: John M. Riedesel	
Inventor's Signature: 	Date: 1/21/20

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Legal Name of Inventor: Richard J. Pokorny	
Inventor's Signature: 	Date: 11/16/20

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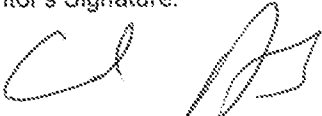
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Legal Name of Inventor: Chad M. Amb	
Inventor's Signature: 	Date: 1-22-20

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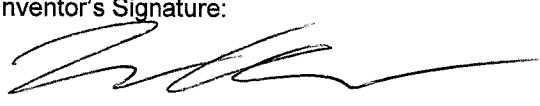
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Legal Name of Inventor: Benjamin R. Coonce	
Inventor's Signature: 	Date: 1/16/2020

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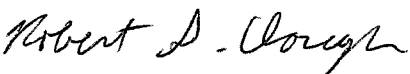
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Legal Name of Inventor: Robert S. Clough	
Inventor's Signature: 	Date: 2-10-2020

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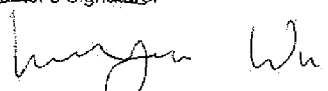
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Legal Name of Inventor: Tianyu Wu	
Inventor's Signature: 	Date: 06/30/2020

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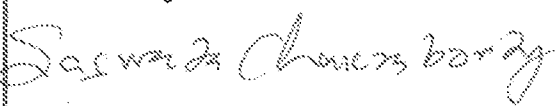
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Legal Name of Inventor: Saswata Chakraborty	
Inventor's Signature: 	Date: 01/15/2020

DECLARATION AND ASSIGNMENT, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)	Case No:	81014US
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As a below named inventor, I believe I am an original inventor or an original joint inventor of a claimed invention in the application and for which a patent is sought on the invention entitled:

**ORTHODONTIC ARTICLES PREPARED USING A POLYCARBONATE DIOL, AND
METHODS OF MAKING SAME**

The specification of which:

- is attached hereto;
- was filed on _____
as United States Application No. _____
- is identified as PCT International Application No. _____
filed on May 21, 2019 _____ PCT/US2019/033252

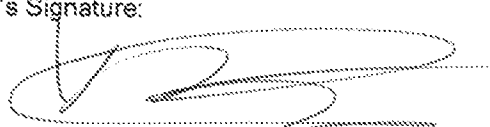
The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than 5 years, or both.

In addition, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made;

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Legal Name of Inventor: Yongshang Lu	
Inventor's Signature: 	Date: 6/16/2020

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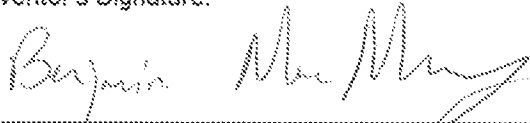
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Legal Name of Inventor: Benjamin C. Mac Murray	
Inventor's Signature: 	Date: 1/20/20

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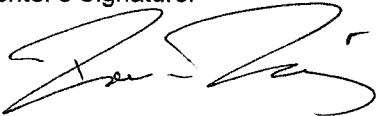
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Legal Name of Inventor: Ian Dailey	
Inventor's Signature: 	Date: 2-5-2020

DECLARATION AND ASSIGNMENT, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)	Case No:	81014US
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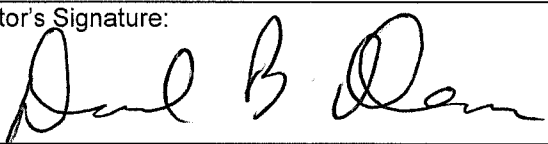
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Legal Name of Inventor: David B. Olson	
Inventor's Signature: 	Date: 1/21/20