# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6321575

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
PETER T. BAUER	09/23/2020
TIMOTHY WHEELER	09/23/2020

# **RECEIVING PARTY DATA**

Name:	VISCARDIA, INC.	
Street Address:	8770 SW NIMBUS AVENUE, SUITE D	
City:	BEAVERTON	
State/Country:	OREGON	
Postal Code:	97008	

# **PROPERTY NUMBERS Total: 4**

Property Type	Number
PCT Number:	US2052246
Application Number:	17029768
Application Number:	17029806
Application Number:	17029832

# **CORRESPONDENCE DATA**

**Fax Number:** (714)453-9824

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (714)453-9824

Email: docketing@lozaip.com
Correspondent Name: LOZA & LOZA LLP

Address Line 1:305 NORTH SECOND AVE., #127Address Line 4:UPLAND, CALIFORNIA 91786-6064

ATTORNEY DOCKET NUMBER:	VISCAR-1007U1-U2-U3	
NAME OF SUBMITTER:	DAVID S. SARISKY	
SIGNATURE:	/David S. Sarisky/	
DATE SIGNED:	09/28/2020	

**Total Attachments: 2** 

source=VISCAR-1007U1-U2-U3\_ExecutedAssignment#page1.tif

PATENT REEL: 053902 FRAME: 0948

506274828

 $source = VISCAR-1007U1-U2-U3\_Executed Assignment \#page 2.tif$ 

PATENT REEL: 053902 FRAME: 0949

# **ASSIGNMENT**

WHEREAS, WE,

- 1. **Peter T. Bauer,** a resident of Portland, Oregon; and
- 2. **Timothy Wheeler**, a resident of Portland, Oregon;

have conceived of one or more processes, methods, machines, articles of manufacture, designs, compositions of matter, inventions, discoveries or new or useful improvements relating to

# IMPLANTABLE MEDICAL SYSTEMS, DEVICES, AND METHODS FOR AFFECTING CARDIAC FUNCTION THROUGH DIAPHRAGM STIMULATION, AND FOR MONITORING DIAPHRAGMATIC HEALTH

(collectively the "INVENTIONS") for which WE have executed and or may execute one or more patent applications therefor; and

WHEREAS, **VisCardia**, **Inc.** (hereinafter "ASSIGNEE"), a Delaware corporation, having a place of business at 8770 SW Nimbus Avenue, Suite D, Beaverton, Oregon 97008, desires to acquire or otherwise obtain the entire right, title, and interest in and to said INVENTIONS, including all inventions related thereto or thereof, all patent applications therefor, and all patents that have granted or may be granted hereafter thereon, including but not limited to those identified below.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, WE do hereby acknowledge that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, the entire right, title, and interest throughout the world in and to said INVENTIONS, including all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS in the United States, including but not limited to U.S. Application Nos.: 17/029,768 and 17/029,806 and 17/029,832, each filed September 23, 2020 and relating thereto, all divisional applications, renewal applications, continuation applications, continuation-in-part applications, and design applications thereof, and all issued patents of the United States which may have granted or may be granted hereafter thereon and all reissues, renewals, reexaminations, and extensions to any of the foregoing and all patents issuing thereon in the United States;

AND WE further do acknowledge and agree that WE have sold, assigned, conveyed, and transferred, and by these presents do hereby sell, assign, convey, and transfer, unto ASSIGNEE, its successors, its legal representatives, and its assigns, all rights of priority under International Conventions, Treaties, or Agreements, and the entire right, title, and interest throughout the world in said INVENTIONS, including all inventions related thereto or thereof, and all patent applications therefor that may have been filed or may be filed hereafter for said INVENTIONS

PATENT REEL: 053902 FRAME: 0950 in any foreign country, countries, or treaty/onion organizations, including but not limited to PCT International Application No. <u>PCT/US2020/052246</u> filed <u>September 23, 2020</u> and relating thereto, all divisional applications, renewal application, continuation applications, continuation-in-part applications, patent of addition applications, confirmation applications, validation applications, utility model applications, and design applications thereof, and all issued patents which may have granted or may be granted bereafter for said INVENTIONS in any country or countries foreign to the United States, and all reissues, renewals, reexaminations, and extensions thereof;

AND WE DO HEREBY authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications or registrations, to issue all patents for said INVENTIONS to said ASSIGNEE, its successors, its legal representatives and its assigns, in accordance with the terms of this instrument;

AND WE DO HEREBY sell, assign, transfer, and convey to said ASSIGNEE, its successors, its legal representatives, and its assigns all claims for damages and all remedies arising out of or relating to any violation(s) of any of the rights assigned hereby that have or may have account prior to the date of assignment to said ASSIGNEE, or may account hereafter, including, but not limited to the right to sue for seek, obtain, collect, recover, and retain damages and any ongoing or prospective royalties to which WE may be entitled, or that WE may collect for any infrangement or from any settlement or agreement related to any of said patents before or after issuance;

AND WE HEREBY covenant and agree that WE will communicate promptly to said ASSIGNEE, its successors, its legal representatives, and its assigns, any facts known to us respecting said INVENTIONS, and will testify in any legal proceeding, sign all lawful papers, execute all applications and certificates, make all rightful declarations and/or oaths, and provide all lawful assistance to said ASSIGNEE, its successors, its legal representatives and its assigns, to obtain and enforce patent protection for said INVENTIONS in all countries;

AND WE HEREBY represent that WE have full and exclusive, unencumbered right to sell, assign, convey and transfer all subject matter herein, and hereby covenant that WE have not and will not execute any writing or do any act whatsoever conflicting with these presents.

Date DT A. Dodo

10ate <u>23 SEP 2020</u>

Peter T. Bauer

Timothy Wheeler