

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DENISE S.B. CHAN	09/16/2016
MING TSUNG LEE	09/16/2016
WENG LI YOON	09/16/2016
JOHNSON YIU-NAM LAU	09/16/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ATHENEX HK INNOVATIVE LIMITED
<b>Street Address:</b>	UNIT 811-813, IC DEV. CENTRE NO. 6 SCIENCE PARK WEST AVENUE
<b>Internal Address:</b>	HONG KONG SCIENCE PARK
<b>City:</b>	SHA TIN
<b>State/Country:</b>	HONG KONG
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	17069557
<b>CORRESPONDENCE DATA</b>	
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<b>Correspondent Name:</b>	ROBERT D. FISH
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<b>ATTORNEY DOCKET NUMBER:</b>	102772.0001US2
<b>NAME OF SUBMITTER:</b>	ROBERT D. FISH
<b>SIGNATURE:</b>	/Robert D. Fish/
<b>DATE SIGNED:</b>	10/13/2020
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 3</b>	

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## ASSIGNMENT

**WHEREAS**, the undersigned, **Denise S.B. CHAN**, an individual residing in Hong Kong, China; **Ming Tsung LEE**, an individual residing in Hong Kong, China; **Weng Li YOON**, an individual residing in Hong Kong, China; and **Johnson Yiu-Nam LAU**, an individual residing in Newport Beach, CA (referred to hereinafter as "ASSIGNORS") have invented a certain invention entitled "**Oral Taxane Compositions and Methods**", for which a United States application for Letters Patent in the United States of America is filed herewith, which claims priority to US provisional application 62/234868 filed on September 30, 2015, entitled **Oral Taxane Compositions and Methods**; which together with related experimental data, trade secret, and other know-how is referred to hereinafter as the INVENTION;

**WHEREAS**, **Athenex HK Innovative Limited**, a company having its principal place of business at Unit 811-813, IC Development Centre, No. 6 Science Park West Avenue, Hong Kong Science Park, Sha Tin, Hong Kong, China (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said INVENTION, and in, to and under Letters Patent or similar legal protection to be, or having been, obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto;

**NOW, THEREFORE**, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sells, assigns, transfers and set over unto the ASSIGNEE, its successors and assigns the entire title, right and interest in and to the INVENTION, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said INVENTION by said application or any continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Convention.

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said INVENTION, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to ASSIGNORS, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

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ASSIGNORS and ASSIGNEE each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This assignment embodies the entire understanding of ASSIGNORS and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNORS and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNORS or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNORS and ASSIGNEE by their respective duly authorized representative.

ASSIGNORS acknowledge that ASSIGNEE may appoint any attorney or practitioner of ASSIGNEE'S choice to prosecute any application or other legal proceeding involving said invention and ASSIGNORS further acknowledge that any attorney or practitioner so appointed by ASSIGNEE does not represent ASSIGNORS and that such appointment by ASSIGNEE does not create any attorney-client relationship between ASSIGNORS and any attorney or practitioner appointed by ASSIGNEE, in this or in any PCT or other family applications.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

EXECUTED at:

Hong Kong, China, this 16th day of September 2016  
City, State

By: Denise S.B. CHAN  
Denise S.B. CHAN (Chinese Name: 陳素碧)

EXECUTED at:

HONG KONG, CHINA, this 16th day of September 2016  
City, State

By: Ming Tsung LEE  
Ming Tsung LEE (Chinese Name: 李銘聰)

EXECUTED at:

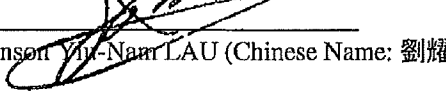
HONG KONG, CHINA, this 16th day of September 2016  
City, State

By: Weng Li YOON  
Weng Li YOON (Chinese Name: 袁永麗)

EXECUTED at:

HONG KONG, CHINA, this 16th day of September 2016  
City, State

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By:   
Johnson Yip-Nam LAU (Chinese Name: 劉耀南)