506305567 10/15/2020

EPAS ID: PAT6352316

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
THOMAS H. KING	05/09/2018
ZHIMIN GUO	05/09/2018
COURTNEY FLEENOR	05/09/2018

RECEIVING PARTY DATA

Name:	GLOBEIMMUNE, INC.
Street Address:	1450 INFINITE DRIVE
City:	LOUISVILLE
State/Country:	COLORADO
Postal Code:	80027

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17047134

CORRESPONDENCE DATA

Fax Number: (303)863-0223

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email:scei@sheridanross.comCorrespondent Name:SHERIDAN ROSS P.C.Address Line 1:1560 BROADWAY

Address Line 2: SUITE 1200

Address Line 4: DENVER, COLORADO 80202

ATTORNEY DOCKET NUMBER: 3923-59-PUS

NAME OF SUBMITTER: ANGELA M. DOMITROVICH

SIGNATURE: /Angela M. Domitrovich/

DATE SIGNED: 10/15/2020

Total Attachments: 4

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PATENT 506305567 REEL: 054069 FRAME: 0513

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PATENT REEL: 054069 FRAME: 0514

ASSIGNMENT

WHEREAS, I/we, Thomas H. King of 4105 Perry Street, Denver, CO 80212, Zhimin Guo of 3130 Huron Court Ave., Superior, CO 80027 and Courtney Fleenor of 6027 Hoyt Court, Arvada, CO 80004, have invented a certain new and useful invention entitled "Lysates of Recombinant Yeast for Inducing Cellular Immune Responses" (hereinafter "Invention") for which a provisional application for Letters Patent of the United States has been prepared and filed on May 15, 2018 , receiving Serial No. 62/671,587 , and further identified as Attorney File No. 3923-59-PROV (hereinafter "Application").

NOW THEREFORE, be it known that I/we, the said inventor(s), for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of Globelmmune, Inc., (hereinafter "Assignee") having a place of business at 1450 Infinite Drive, Louisville, CO 80027, do sell, assign and transfer unto Assignee, its successors, legal representatives and assigns, the Invention for all territories in the world and any improvements and modifications thereto, the Application, all applications from which the Application claims the benefit of priority, all applications claiming the benefit of priority from the Application, including, but not limited to, all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the Invention in the United States or any other country and the right to sue for past infringement of rights resulting from the Invention, the Application or any patent issuing therefrom; I/we also assign any right, title or interest in and to the Invention which has not already been transferred to Assignee, I/we warrant that I/we have made no assignment of the Invention, Application or patent therefor to a party other than Assignee, and I/we are under no obligation to make any assignment of the Invention, Application, or patent therefor to any other party; and I/we further agree to cooperate with Assignee in the obtaining and sustaining of any and all such Letters Patent and in confirming Assignee's exclusive ownership of the Invention, but at the expense of Assignee.

For and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, I/we **Thomas H. King, Zhimin Guo and Courtney Fleenor** do sell, assign and transfer to Assignee, the right to claim priority to the Application for all applications pursuant to the Patent Cooperation Treaty and national and regional applications filed therefrom, all applications for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications thereof, and all patent applications in foreign countries and the right to be granted a patent for any of the foregoing applications.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Assignee, its successors, legal representatives and assigns, as an assignee of an equal, undivided right, title and interest therein.

I/We further hereby authorize Assignee, or its attorneys or agents, to insert and/or update the correct, Title, Application Number and filing Date into this Assignment, if none is indicated on that date of my/our execution of this agreement.

PATENT REEL: 054069 FRAME: 0515

May 9 ⁷⁶ , 20/ 8 Date	Thomas H. King
STATE OF	
COUNTY OF) ss.
***************************************	······································
Before me, a Notary Public in and known to me to be the person who	for said County and State, personally appeared Thomas H. King , se name is subscribed to the foregoing instrument, and acknowledged a for the purposes and considerations therein expressed.
Before me, a Notary Public in and known to me to be the person who to me that she/he executed the sam	for said County and State, personally appeared Thomas H. King , se name is subscribed to the foregoing instrument, and acknowledged.

IN WITNESS WHEREOF, each party hereto has executed this Assignment as of the date indicated hereunder.

May 9th, 2018	Afterney Docket No. 3923-59-PROS
Date	Zhimin Guo
STATE OF)
COUNTY OF) ss.
Before me, a Notary Public in and for said Cou o me to be the person whose name is subscribe hat she/he executed the same for the purposes	unty and State, personally appeared Zhimin Guo , known sed to the foregoing instrument, and acknowledged to me and considerations therein expressed.
Given under my hand and seal of office	ce this, 20,
	Notary Public
My Commission Expires:	
May 9,2018	
¹ Date	Courtney Fleenor
STATE OF)
COUNTY OF) ss.)
	unty and State, personally appeared Courtney Fleenor , ubscribed to the foregoing instrument, and acknowledged rposes and considerations therein expressed.
Given under my hand and seal of office	e this, 20
	Notary Public
My Commission Expires:	

Globelmmune, Inc.		
<u>05/03/2017</u> Date	Signature	
	<u>A Jell Delder</u> Printed Name	
	Title	
STATE OF)) ss.	
COUNTY OF		
	and for said County and State, personally appea nown to me to be the person whose name is sul	
instrument, and acknowledged therein expressed.	to me that she/he executed the same for the pur	poses and consideration
Given under my hand a	nd seal of office this day of	20
	Notary P	ublic
My Commission Expire	:\$: 	

RECORDED: 10/15/2020

PATENT REEL: 054069 FRAME: 0518