

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6349345

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
BIG HAT INVESTMENTS, LLC	09/04/2015
RECEIVING PARTY DATA	
Name:	ALAN M GERMOND
Street Address:	251 ASH ST.
City:	COLUMBIA
State/Country:	MISSOURI
Postal Code:	65203
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	10370231
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3147270101
Email:	rem@riezmanberger.com
Correspondent Name:	EMMETT MCAULIFFE
Address Line 1:	7700 BONHOMME AVE, STE. 700
Address Line 4:	SAINT LOUIS, MISSOURI 63105
ATTORNEY DOCKET NUMBER:	28968-001
NAME OF SUBMITTER:	EMMETT MCAULIFFE
SIGNATURE:	/st1006/
DATE SIGNED:	10/14/2020
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 5	
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File Number: 1509045954598

Date Filed: 9/4/2015 8:42 AM

Jason Kander
Secretary of State

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS

A. NAME & PHONE OF CONTACT AT FILER [optional]	
Tom Harrison	(573) 874-7777
B. E-MAIL CONTACT AT FILER (optional)	
amy@vanmatre.com	
C. SEND ACKNOWLEDGMENT TO: (Name and Address)	
Tom Harrison 1103 East Broadway P.O. Box 1017 Columbia, MO 65201	

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

Page 1 of 3

1. **DEBTOR'S NAME:** Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the individual Debtor's name will not fit in the line 1b, leave all of item 1 blank, check here and provide the Individual Debtor Information in item 10 of the Financing Statement Addendum (Form UCC1AD)

1a. ORGANIZATION'S NAME Big Hat Investments, LLC					
OR	1b. INDIVIDUAL'S SURNAME		FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIALS	SUFFIX
1c. MAILING ADDRESS 2510 West Ash Street		CITY Columbia	STATE MO	POSTAL CODE 65203	COUNTRY USA

2. **DEBTOR'S NAME:** Provide only one Debtor name (2a or 2b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the individual Debtor's name will not fit in the line 2b, leave all of item 2 blank, check here and provide the Individual Debtor Information in item 10 of the Financing Statement Addendum (Form UCC1AD)

2a. ORGANIZATION'S NAME					
OR	2b. INDIVIDUAL'S SURNAME		FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIALS	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

3. **SECURED PARTY'S NAME** (or NAME of ASSIGNEE of ASSIGNOR SECURED PARTY): Provide only one Secured Party name (3a or 3b)

3a. ORGANIZATION'S NAME					
OR	3b. INDIVIDUAL'S SURNAME Germond		FIRST PERSONAL NAME Alan	ADDITIONAL NAME(S)/INITIAL(S) M	SUFFIX
3c. MAILING ADDRESS 2510 Ash Street		CITY Columbia	STATE MO	POSTAL CODE 65203	COUNTRY USA

4. **COLLATERAL:** This financing statement covers the following collateral:

Any and all accounts, accounts receivable, notes receivable, contract rights, chattel paper, documents, instruments, and other obligations of any kind, now or hereafter existing, and all rights now or hereafter existing in and to all security agreements, leases, and other contracts securing or otherwise relating to any such accounts, accounts receivable, contract rights, chattel paper, documents, instruments, or other

5. Check only if applicable and only one box: Collateral is held in a Trust (see UCC1Ad, item 17 and Instructions) being administered by a Decedent's Personal Representative

6a. Check only if applicable and only one box:

Public-Finance Transaction Manufactured-Home Transaction A Debtor is a Transmitting Utility

6b. Check only if applicable and only one box:

Agricultural Lien Non-UCC Filing

7. ALTERNATIVE DESIGNATION (if applicable): Lessee/Lessor Consignee/Consignor Seller/Buyer Bailee/Bailor Licensee/Licensor

8. OPTIONAL FILER REFERENCE DATA

Big Hat

UCC FINANCING STATEMENT (FORM UCC1) (REV. 08/28/2013)

PATENT
REEL: 054079 FRAME: 0492

UCC FINANCING STATEMENT ADDENDUM**FOLLOW INSTRUCTIONS****9. NAME OF FIRST DEBTOR: Same as line 1a or 1b on financing Statement; if line 1b was left blank**because individual Debtor name did not fit, check

OR	9a. ORGANIZATION'S NAME Big Hat Investments, LLC			
	9b. INDIVIDUAL'S SURNAME			
	FIRST PERSONAL NAME			
	ADDITIONAL NAME(S)/INITIAL(S)			SUFFIX

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10. DEBTOR'S NAME -Provide (10a or 10b) only one additional Debtor name or Debtor name that did not fit in line 1b or 2b of the Financing Statement (Form UCC1) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name) and enter the mailing address in line 10c

OR	10a. ORGANIZATION'S NAME				
	10b. INDIVIDUAL'S SURNAME				
	INDIVIDUAL'S FIRST PERSONAL NAME				
	INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S)				SUFFIX
10c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

11. **ADDITIONAL SECURED PARTY'S NAME** or **ASSIGNOR SECURED PARTY'S NAME:** Provide only one name (11a or 11b)

OR	11a. ORGANIZATION'S NAME			
	11b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
	11c. MAILING ADDRESS	CITY	STATE	POSTAL CODE

12. ADDITIONAL SPACE FOR ITEM 4 (Collateral):

obligations;

Any and all General Intangibles, including without limitation, trade styles, books and records, consumer lists, vendor lists, accounting software, franchise rights, option rights, purchase contracts, and leasehold interests;

Any and all inventory in any form, wherever located, now or hereafter existing, including, without limitation, (i) all products sold in the ordinary course of business of the Debtor and raw materials and work-in-process therefore,

finished goods thereof, and materials used or consumed in the manufacture or production thereof; (ii) goods in which the Debtor has any interest in mass or a joint or other interest or right of any kind (including, without limitation, goods in which the Debtor has an interest or right as consignee); and (iii) goods which are returned to

or repossessed by the Debtor, and all accessions thereto and products thereof and documents therefor;

All furniture, furnishings, equipment and other items of tangible personal property which are now or hereafter

13. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS (if applicable)14. This FINANCING STATEMENT: covers timber to be cut covers as-extracted collateral is filed as a fixture filing.15. Name and address of a RECORD OWNER of real estate described in item 16
(if Debtor does not have a record interest):**16. Description of real estate:****17. MISCELLANEOUS:**

UCC FINANCING STATEMENT ADDENDUM**FOLLOW INSTRUCTIONS****9. NAME OF FIRST DEBTOR:** Same as line 1a or 1b on financing Statement; if line 1b was left blankbecause individual Debtor name did not fit, check 9a. ORGANIZATION'S NAME
Big Hat Investments, LLC

OR

9b. INDIVIDUAL'S SURNAME

FIRST PERSONAL NAME

ADDITIONAL NAME(S)/INITIAL(S)

SUFFIX

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY**10. DEBTOR'S NAME** -Provide (10a or 10b) only one additional Debtor name or Debtor name that did not fit in line 1b or 2b of the Financing Statement (Form UCC1) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name) and enter the mailing address in line 10c

10a. ORGANIZATION'S NAME

OR

10b. INDIVIDUAL'S SURNAME

INDIVIDUAL'S FIRST PERSONAL NAME

INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S)

SUFFIX

10c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

11. **ADDITIONAL SECURED PARTY'S NAME** or **ASSIGNOR SECURED PARTY'S NAME:** Provide only one name (11a or 11b)

11a. ORGANIZATION'S NAME

OR

11b. INDIVIDUAL'S SURNAME

FIRST PERSONAL NAME

ADDITIONAL NAME(S)/INITIAL(S)

SUFFIX

11c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

12. ADDITIONAL SPACE FOR ITEM 4 (Collateral):

owned by Debtor.

All licenses, permits, authorizations, or approvals of any type or nature whatsoever, now owned or held or hereafter acquired which relate to the use, development or occupancy of the Property;

All rents from, all issues, uses, profits, proceeds (including insurance proceeds) and condemnation awards, all products of, all replacements and substitutions for, and other rights and interests now and hereafter belonging to,

any of the foregoing;

All patents, trademarks, copyrights and applications for any patents, trademarks any copyrights as well as all trade secrets and other intellectual property owned by the debtor now or hereafter.

All other assets of the Debtor not described above;

Any and all proceeds, cash and noncash, and products of the foregoing in whatever form and all accessions thereto and replacements therefor.

13. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS (if applicable)

14. This FINANCING STATEMENT:

 covers timber to be cut covers as-extracted collateral is filed as a fixture filing.15. Name and address of a RECORD OWNER of real estate described in item 16
(if Debtor does not have a record interest):

16. Description of real estate:

17. MISCELLANEOUS:

UCC FINANCING STATEMENT ADDENDUM (FORM UCC1Ad) (REV. 08/28/2013)

PATENT
REEL: 054079 FRAME: 0494

UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS

File Number	202009010000856299
Initial File Number	1509045954598
Date Filed	09/01/2020
John R. Ashcroft	
Secretary of State	

A. NAME & PHONE OF CONTACT AT FILER (optional) Thomas M. Harrison 573-874-7777
B. E-MAIL CONTACT AT FILER (optional) amy@vanmatre.com
C. SEND ACKNOWLEDGMENT TO: (Name and Address) Van Matre Law Firm, P.C. 1103 E Broadway Columbia Missouri United States 65201

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE NUMBER
1509045954598

1b. This FINANCING STATEMENT AMENDMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS

2. **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to the security interest(s) of Secured Party authorizing this Termination Statement
3. **ASSIGNMENT (full or partial):** Provide name of Assignee in item 7a or 7b, and address of Assignee in item 7c and name of Assignor in item 9 For partial assignment, complete items 7 and 9 and also indicate affected collateral in item 8
4. **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to the security interest(s) of Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law

5. **PARTY INFORMATION CHANGE**

Check one of these two boxes: AND Check one of these three boxes to:

This Change affects	Debtor or	Secured Party of record	CHANGE name and/or address: Complete item 6a or 6b; and item 7a or 7b and item 7c	ADD name: Complete item 7a or 7b, and item 7c	DELETE name: Give record name to be deleted in item 6a or 6b
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6. **CURRENT RECORD INFORMATION:** Complete for Party Information Change

Current Name

7. **CHANGED OR ADDED INFORMATION:** Complete for Assignment or Party Information Change - provide only one name (7a or 7b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

OR	7a. ORGANIZATION'S NAME
	7b. INDIVIDUAL'S SURNAME
	INDIVIDUAL'S FIRST PERSONAL NAME
	INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S) Suffix

7c. MAILING ADDRESS CITY STATE POSTAL CODE COUNTRY

8.	COLLATERAL CHANGE: Also check one of these four boxes:	ADD Collateral	DELETE collateral	RESTATE covered collateral	Assign Collateral
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Indicate collateral:

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT:

Authorizing Party Name

10. OPTIONAL FILER REFERENCE DATA

Big Hat