

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT6363917

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MARLON HINNER	07/27/2020
RACHIDA SIHAM BEL AIBA	09/16/2020
CHRISTINE ROTHE	06/03/2020
SHANE OLWILL	09/28/2020
CORINNA SCHLOSSER	06/02/2020
RECEIVING PARTY DATA	
Name:	PIERIS PHARMACEUTICALS GMBH
Street Address:	ZEPPELINSTRASSE 3
City:	HALLBERGMOOS
State/Country:	GERMANY
Postal Code:	85399
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15575309
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Email:	patentdocket@choate.com
Correspondent Name:	CHOATE HALL & STEWART LLP
Address Line 1:	TWO INTERNATIONAL PLACE
Address Line 4:	BOSTON, MASSACHUSETTS 02110
ATTORNEY DOCKET NUMBER:	2013101-0051
NAME OF SUBMITTER:	DANA M. DAUKSS
SIGNATURE:	/Dana M. Daukss/
DATE SIGNED:	10/22/2020
Total Attachments: 10	
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COMBINED ASSIGNMENT & DECLARATION**A. ASSIGNMENT**

WHEREAS, I, **Marlon Hinner** of Munich, Germany; **Rachida Siham Bel Aiba** of Munich, Germany; **Christine Rothe** of Dachau, Germany; **Shane Olwill** of Freising, Germany; and **Corinna Schlosser** of Freising, Germany, am aware of the patent application entitled:

ANTI-CANCER FUSION POLYPEPTIDE; and

☐ prepared for filing in the United States Patent and Trademark Office; or

☒ identified by United States Application No. 15/575,309
filed in the United States Patent and Trademark Office on November 17, 2017; and

☐ identified by International Patent Application No. _____
filed on _____; and

☒ and is also aware of the following priority applications:

Application No.	Filed
PCT/EP2016/061071	May 18, 2016
16150508.6	January 8, 2016
15167927.1	May 18, 2015

WHEREAS, **Pieris Pharmaceuticals GmbH** (hereinafter "ASSIGNEE"), having a usual place of business at **Zeppelinstrasse 3, 85399 Hallbergmoos, GERMANY**, desires to acquire or confirm an interest therein;

NOW, THEREFORE, to all whom it may concern be it known that, in consideration of agreements previously and duly entered into between the parties, and/or for other good and valuable consideration, the receipt of which is hereby acknowledged:

1. I have sold, assigned, and transferred and/or do hereby sell, assign, and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title, and interest in and throughout the United States of America, its territories and all foreign countries, in and to any and all inventions described in the patent application, and/or any priority applications noted above, including any right of priority thereto; and hereby confirm that my sale, assignment and transfer is and was effective at least as of the filing date of the patent application and/or priority application;

2. My sale, assignment and transfer applies to the above-referenced patent application, and to any application that is based in whole or in part on the patent application,

including any divisional, continuing, substitute, renewal, reissue, reexamination and other applications, for example that claim priority to the patent application (the "Patent Filings"). Also, this sale, assignment and transfer pertains to any and all other rights arising under or pursuant to any and all international agreements, treaties, or laws relating to the protection of industrial property, including all rights of priority under the International Convention for the Protection of Industrial Property, and in and to any such patent(s) as may issue thereon including any and all original and reissued patents which have been or shall be issued in the United States and foreign countries; said inventions, applications, and patent(s) to be held and enjoyed by ASSIGNEE for its own use and for its successors, assigns and legal representatives, to the full end of the term for which said patent(s) may be granted as fully and entirely as the same would have been held by me had this sale, assignment and transfer not been made;

3. I have reviewed the Patent Filings and find that they accurately describe the relevant inventions which, to the knowledge of the undersigned, are not previously described or suggested by others. I hereby further agree to notify ASSIGNEE if I am or become aware of any information material to the patentability of any Patent Filing and/or to the validity or enforceability of any relevant patent, and to refrain from commencing or participating in any challenge to the validity, enforceability, or scope of the Patent Filings, any subsequent filings claiming priority thereto and/or sharing common priority therewith, and/or any patents issuing from any of the foregoing.

4. This Assignment, being of my entire right, title, and interest in and to the inventions, carries with it the right in ASSIGNEE, by attorneys and agents of ASSIGNEE selection, to apply for and receive any and all patent(s) for said inventions in its own name;

5. I, or my executor(s) and/or administrator(s) will execute upon request any other lawful documents and likewise will perform any other lawful acts which may be deemed necessary to secure fully the patent(s) to ASSIGNEE, its successors, assignees, and legal representatives, but at its expense and charges, including the execution of application for patents in foreign countries, the execution of substitution, reissue, divisional or continuation applications, and the giving of testimony, preliminary statements, or other statements in any interference or other proceeding in which the inventions or any applications or patents directed to the inventions may be involved by communicating to the ASSIGNEE all facts I know relating to the inventions and their history, and generally by doing everything possible which ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for the inventions and for vesting title in the inventions and all applications for patent and all patents on the inventions in ASSIGNEE;

6. I hereby authorize ASSIGNEE or its attorneys or agents to insert the correct application number(s) and/or filing date(s) into this assignment document, if appropriate;

7. I hereby appoint ASSIGNEE as my common agent for purposes of prosecuting international patent applications and any national patent applications for which such common agency is recognized;

8. I hereby request the Commissioner for Patents of the United States Patent and Trademark Office to issue any and all patent(s) as shall be granted upon said application or applications based thereon to ASSIGNEE, its successors, assigns, and legal representatives;

9. I covenant with said ASSIGNEE that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me;

10. The laws of the State of Massachusetts shall govern any dispute arising out of or under this Agreement, notwithstanding the conflict of laws principles of the State of Massachusetts. The Parties agree that the subject matter and personal jurisdiction are proper in the courts located in Suffolk County Massachusetts, and that all disputes shall be litigated before the Courts in Suffolk County, Massachusetts.

B. DECLARATION (37 CFR 1.63)

As a below named inventor, I hereby declare that:

This declaration is directed to the patent application entitled:

ANTI-CANCER FUSION POLYPEPTIDE; and

☐ attached hereto or prepared for filing in the United States Patent and Trademark Office; or

☐ identified by United States Application No. _____
filed in the United States Patent and Trademark Office on _____; or


☒ identified by International Patent Application No. PCT/EP2016/061071
filed on May 18, 2016

The above-identified application was made or authorized to be made by me.


I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.


I have reviewed and understand the contents of the application, including the claims and am aware of the duty to disclose to the United States Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR 1.56.

Signature: 
Marlon Hinner

Date: 27.7.2020

Witness Signature: 

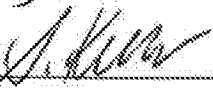
Witness Name (printed): CAROLIN DANNER

Witness Signature: 

Witness Name (printed): Sonja von Scheffeld

Signature: 
Rachida Siham Bel Aiba

Date: 14.09.2020

Witness Signature: 
Witness Name (printed): Samira Kurter

Witness Signature: 
Witness Name (printed): Renée Blasiny

Signature: Christine Rothe
Christine Rothe

Date: June 3rd, 2020

Witness Signature: Felix Flaxl

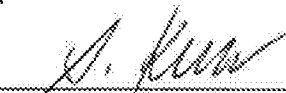
Witness Name (printed): Felix Flaxl

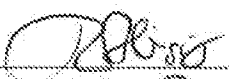
Witness Signature: Thomas Flaxl

Witness Name (printed): THOMAS FLAXL

Signature: 
Shane Olwill

Date: 28.9.2020

Witness Signature: 
Witness Name (printed): Simon Kurre

Witness Signature: 
Witness Name (printed): Renee Blasing

Signature: _____

Corinna Schlosser

Date: 20-06-02

Witness Signature: _____

Witness Name (printed): _____

Renee Masny

Witness Signature: _____

Witness Name (printed): _____

SANDRA KUTTER

The ASSIGNEE hereby acknowledges and accepts the foregoing assignment.

The undersigned (whose title is supplied below) is authorized to act on behalf of the ASSIGNEE.

Signature:  Date: 29.09.2020

Name (printed): Thomas Böhm

Title (printed): Senior IP Counsel, Authorized Signatory