

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6370663

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
NICHOLAS GULACHEK	10/10/2020
ARTHUR G. ERDMAN	10/11/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	REGENTS OF THE UNIVERSITY OF MINNESOTA
<b>Street Address:</b>	600 MCNAMARA ALUMNI CENTER
<b>Internal Address:</b>	200 OAK STREET SE
<b>City:</b>	MINNEAPOLIS
<b>State/Country:</b>	MINNESOTA
<b>Postal Code:</b>	55455
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>PCT Number:</b>	US2019026585
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	request@slwip.com
<b>Correspondent Name:</b>	SCHWEGMAN LUNDBERG & WOESSNER P.A.
<b>Address Line 1:</b>	P.O. BOX 2938
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402
<b>ATTORNEY DOCKET NUMBER:</b>	600.A51WO1
<b>NAME OF SUBMITTER:</b>	ERIKA WATKINS
<b>SIGNATURE:</b>	/ Erika Watkins /
<b>DATE SIGNED:</b>	10/27/2020
<b>Total Attachments: 7</b>	
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RECORDATION FORM COVER SHEET  
PATENTS ONLY

Atty Ref/Docket No.: 600.A51WO1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Nicholas Gulachek, Arthur G. Erdman

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

2. Name and address of receiving party(ies):

Name: Regents of the University of Minnesota

Street Address: 600 McNamara Alumni Center  
200 Oak Street SE

City: Minneapolis State: MN Zip: 55455-2020  
Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes  
☒ No

Execution Date: October 10, 2020, October 11, 2020

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. PCT/US2019/026585

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michele C. Stewart

Address:

Schwegman Lundberg & Woessner, P.A.  
P.O. Box 2938  
Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account  
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michele C. Stewart/Reg. No. 68,366  
Name of Person Signing

Michele C. Stewart  
Signature

10/27/2020  
Date

Total number of pages including cover sheet: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Mail Stop Assignment Recordation Services  
P.O. Box 1450  
Alexandria, VA 22313-1450

PATENT  
REEL: 054183 FRAME: 0299



## UNIVERSITY OF MINNESOTA

### ASSIGNMENT

WHEREAS, I, Nicholas Gulachek, have invented certain new and useful inventions in ANCHORING DEVICES FOR IMPLANTED TUBES AND RELATED METHODS, for which applications for Letters Patent of the United States have been made, said applications being filed as follows: Provisional application 62/655,112 on April 9, 2018; PCT/US2019/026585 on April 9, 2019 (the "Application(s)");

WHEREAS, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

WHEREAS, I hereby acknowledge an obligation of assignment of the inventions disclosed in the Application(s) to Assignee at the time the invention(s) was made;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including rights of priority under the Paris Convention for the Protection of Industrial Property and the European Patent Convention, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.


I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of all rights of priority created by said Application(s) under any treaty relating thereto (including the right to claim priority to said patent application, e.g., under the provisions of the Paris Convention for the Protection of Industrial Property and the European Patent Convention).

Attorney Docket Number 600.A51WO1  
UM Case No. 20180306

Upon said consideration I do hereby covenant and agree with said Assignee, its successors and assigns, that I will not execute in writing or do any act whatsoever conflicting with these presents, and that I or my executors or administrators will at any time upon request, without further or additional consideration, but at the expense of said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissues or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

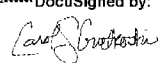
I have hereunto set my hand on October 10, 2020 | 7:27 PM CDT

DocuSigned by:  
  
-----0B0C31F7ACFB04A4-----

Nicholas Gulachek

I have hereunto set my hand on October 11, 2020 | 8:34 PM CDT, on behalf of  
Assignee Regents of the University of Minnesota accepting this assignment.

REGENTS OF THE UNIVERSITY OF MINNESOTA

DocuSigned by:  
  
OFB835187311411...

Carol Grutkoski  
Chief of Staff and Operations Manager  
per Presidential Delegation ID \_\_257866

Attorney Docket Number 600.A51WO1  
UM Case No. 20180306



## UNIVERSITY OF MINNESOTA

### ASSIGNMENT

WHEREAS, I, Arthur G. Erdman, have invented certain new and useful inventions in ANCHORING DEVICES FOR IMPLANTED TUBES AND RELATED METHODS, for which applications for Letters Patents of the United States have been made, said applications being filed as follows: PCT/US2019/026585 on April 9, 2019 (the "Application(s)");

WHEREAS, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455-2020, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

WHEREAS, I hereby acknowledge an obligation of assignment of the inventions disclosed in the Application(s) to Assignee at the time the invention(s) was made;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including rights of priority under the Paris Convention for the Protection of Industrial Property and the European Patent Convention, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of all rights of priority created by said Application(s) under any treaty relating thereto (including the right to claim priority to said patent application, e.g., under the provisions of the Paris Convention for the Protection of Industrial Property and the European Patent Convention).

Attorney Docket Number 600.A51WO1  
UM Case No. 20180306

Upon said consideration I do hereby covenant and agree with said Assignee, its successors and assigns, that I will not execute in writing or do any act whatsoever conflicting with these presents, and that I or my executors or administrators will at any time upon request, without further or additional consideration, but at the expense of said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissues or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

I have hereunto set my hand on October 11, 2020 | 5:29 PM CDT

DocuSigned by:  
Arthur G. Erdman  
509CFA5FE2384C2...

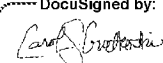
Arthur G. Erdman

Attorney Docket Number 600.A51WO1  
UM Case No. 20180306



I have hereunto set my hand on October 11, 2020 | 8:34 PM CDT, on behalf of  
Assignee Regents of the University of Minnesota accepting this assignment.

REGENTS OF THE UNIVERSITY OF MINNESOTA

DocuSigned by:  
  
.....UF835187311411.....

Carol Grutkoski  
Chief of Staff and Operations Manager  
per Presidential Delegation ID \_\_257866

Attorney Docket Number 600.A51WO1  
UM Case No. 20180306