## 506338343 11/04/2020

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6385096

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
LILI FENG	01/14/2008
SVEN ERIK GODTFREDSEN	01/14/2008
PIOTR KARPINSKI	01/14/2008
PAUL ALLEN SUTTON	01/14/2008
MAHAVIR PRASHAD	01/14/2008
MICHAEL J. GIRGIS	01/14/2008
BIN HU	01/14/2008
YUGANG LIU	01/14/2008
THOMAS J. BLACKLOCK	01/14/2008

### **RECEIVING PARTY DATA**

Name:	NOVARTIS AG
Street Address:	LICHTSTRASSE 35
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4056

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14311788

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6178717967

Email: katie.drury@novartis.com
Correspondent Name: KATHLEEN DRURY
Address Line 1: 700 MAIN STREET

Address Line 4: CAMBRIDGE, MASSACHUSETTS 02139

ATTORNEY DOCKET NUMBER: PAT034579-US-PCTD

NAME OF SUBMITTER: KATHLEEN DRURY

PATENT 506338343 REEL: 054273 FRAME: 0793

SIGNATURE: /Kathleen Drury/		
DATE SIGNED:	11/04/2020	
Total Attachments: 7		
source=PAT034579_Executed Assignment Inventors to NAG#page1.tif		
source=PAT034579_Executed Assignment Inventors to NAG#page2.tif		
source=PAT034579_Executed Assignment Inventors to NAG#page3.tif		
source=PAT034579_Executed Assignment Inventors to NAG#page4.tif		
source=PAT034579_Executed Assignment Inventors to NAG#page5.tif		
source=PAT034579_Executed Assignment Inventors to NAG#page6.tif		

source=PAT034579\_Executed Assignment Inventors to NAG#page7.tif

#### ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

LIII FENG	residing at	2600 Rachel Terrace Apartment 20 Pine Brook, New Jersey 07058
Sven Erik GODTFREDSEN	residing at	188 Riveredge Drive Chatham, New Jersey 07928,
Piotr KARPINSKI	residing at	25 Terrace Parkway Lincoln Park, NJ 07054
Paul Allen SUTTON	residing at	34 Irvington Road Parsippany, NJ 07054
Mahavir PRÁSHAD	residing at	27 Davenport Road Montville, NJ 07045
Michael J. GIRGIS	residing at	21 Springbrook Road West Montville, NJ 07045
Bin HU	residing at	226 West End Avenue Green Brook, NJ 08812
Yugang LIU	residing at	57 Huntley Way Bridgewater, NJ 08807
Thomas J. BLACKLOCK	residing at	493 Valley Road Clark, NJ 07066

do hereby sell, assign and transfer to **Novartis AG**, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, Basel, Switzerland 4056, and its successors, assigns and legal representatives, all of our right, title and interest for all countries of the world except Austria and to Novartis Pharma GmbH, a company organized under the laws of Austria, of Brunner Strasse 59, 1230 Vienna, Austria, and its successors, assigns and legal representatives, all of our right, title and interest for Austria (Novartis AG and Novartis Pharma GmbH hereinafter referred to collectively as the "ASSIGNEES") in and to (1) all of our inventions and discoveries described in the patent provisional or non-provisional patent application(s) titled

#### ORGANIC COMPOUNDS

and filed in the United States Patent and Trademark Office on November 8, 2006 and accorded Application Number 11/722,360\* and/or filed in the RO/US on November 8, 2006 and accorded International Patent Application Number PCT/US2006/043710\*, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on November 9, 2005 and accorded Application Number 60/735,093, on November 10, 2005 and accorded Application Number 60/735,541, on April 4, 2006 and accorded Application Number 60/822,086, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the

Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEES or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEES and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates. supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of ASSIGNEES or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in ASSIGNEES or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEES or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEES or their successors, assigns or legal representatives.

\*We hereby authorize ASSIGNEES and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

Executed this 14 day of January 20 08

LIU FENG

# **ACKNOWLEDGEMENT** State of New Jersey ) s.s.: County of Morris known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth. (Seal) Notary Public LANCIA K. ADMINS A Notary Public of New Jersey My Commission Expires March 28, 2009 Sven Erik GODTFREDSEN **ACKNOWLEDGEMENT** State of New Jersey ) s.s.: County of Morris day of Walkedy . 20 0 % , personally appeared before me Sven Erik GODTFREDSEN to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth. (Seal) Notary Public

EMINA M. ADAMS A Motory Postic of New Jersey My Commission Expires March 28, 2009

Executed this	day of Jenning 2008
Executed this	errale L.S.
Piotr KARPINSKI	
ACKNOWLEDGEMENT	
State of New Jersey	) ) s.s.:
County of Morris	)
KARPINSKI to me known:	y of www.y., 20 /// , personally appeared before me <b>Piotr</b> and known by me to be the same person described in and who executed the acknowledged that (s)he executed the same of his (her) own free will for the same own
(Seal)	July Ollanon
	Notary Public  LINDA K. ASAMS  A Notary Public of Row Servey  Ny Commission Engine Merch 28, 2009
Executed this/ 4	
Paul Allen SUTTON	LS.
ACKNOWLEDGEMENT	
State of New Jersey	)
County of Morris	) s.s.: )
SOLLOW to the known and	of \( \sqrt{\text{fixuser}} \), 20 \( \omega \text{8} \), personally appeared before me \( \text{Paul Allen} \) known by me to be the same person described in and who executed the acknowledged that (s)he executed the same of his (her) own free will for the
(Seal)	Senda & Calam
	Notary Public

LINNIA N. ASAMS A Notice Public of Nova Joseph My Commission Expires Much 28, 2003

Executed thisday	of <u>January</u> , 2008
Mahavir PRASHAD	L.S.
ACKNOWLEDGEMENT	
State of New Jersey	)
County of Morris	) s.s.; )
PHASHAD to me known and know	www.y 20 68., personally appeared before me Mahavir on by me to be the same person described in and who executed the eledged that (s)he executed the same of his (her) own free will for the
(Seal)	July alam
Executed this 1464 day	Notary Public  Linea K Anness  A Restory Public of New Across  A Restory Public  A
Michael J. GIRGIS	L.S.
ACKNOWLEDGEMENT	
State of New Jersey	) } s.s.:
and the contract of the contra	)
GIRGIS to me known and known b	**************************************
(Seal)	Notary Public

ENION K. ADMISS A Sistemy Public of Rese Jamesy My Commission Expires Morch 28, 2003

Executed this	day of January	_, 20 <u>08</u>	
and the state of t	Historia.		
Bin HU	L.	.S.	
ACKNOWLEDGEMENT			
State of New Jersey	) ) s.s.:		
County of Morris	)		
On this day of_ known and known by me to be and acknowledged that (s)he e	the same person d	escribed in and who	peared before me <b>Sin HU</b> to me executed the foregoing Assignmen will for the purpose set forth.
(Seal)			Notary Public of Nove Investor A Notary Public of New Investy y Commission Expires March 28, 2009
Executed thisd	ay of <u>lanany</u>	., 20 <u> </u>	
Yugang LIU	L.	S.	
ACKNOWLEDGEMENT			
State of New Jersey	<b>)</b>		
County of Morris	) s.s.: )		
On this /// day of day of day of Assignment and acknowledged forth.	be the same perso	n described in and w	eared before me Yugang LiU to tho executed the foregoing or) own free will for the purpose set
(Seal)		Jul.	A Colonia Notary Public
		<b>8</b>	LINDA K. ADAMS A Malasse Public of House become

A Biology Public of How Jersey My Commission Expires Mesch 28, 2009

Executed thisda	ny of <u>Jase</u> , 20 <u>08</u> .
Thomas J. BLACKLOCK	<u> 2leak Ls.</u>
ACKNOWLEDGEMENT	
State of New Jersey	}
Commence of Decision	) s.s.:
County of Morris	,
many consideration to title 1616 1615 16150	known by me to be the same person described in and who executed the bwiedged that (s)he executed the same of his (her) own free will for the
(Seal)	July alem
	Notary Public

LANSA K. ACAMS A Matary Public of Nove Borsey My Commission Expires Mesch 28, 2009

> PATENT REEL: 054273 FRAME: 0801

**RECORDED: 11/04/2020**