506365826 11/20/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6412582

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ELIOT SAMUELS	11/19/2020
ANDREW WARD MOEDINGER	11/19/2020
GARETH JOSE-LEE PINTO	11/19/2020
HANS MARTIN ELIASSON	11/19/2020
HENRY GASTON WILLIAMSON JR.	11/19/2020
CHRISTINA CORAZON SMITH-LUTZ	11/19/2020

RECEIVING PARTY DATA

Name:	SOLIDUSLINK AG
Street Address:	RUETISTRASSE 16
City:	SCHLIEREN
State/Country:	SWITZERLAND
Postal Code:	8952

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16952971

CORRESPONDENCE DATA

Fax Number: (212)336-8001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 212-336-8000

Email: ptodocket@arelaw.com, vgilmore@arelaw.com AMSTER, ROTHSTEIN & EBENSTEIN LLP **Correspondent Name:**

Address Line 1: 90 PARK AVENUE, 21ST FLOOR Address Line 4: NEW YORK, NEW YORK 10016

ATTORNEY DOCKET NUMBER:	80904-0008
NAME OF SUBMITTER:	KEITH J. BARKAUS
SIGNATURE:	/Keith J. Barkaus/
DATE SIGNED:	11/20/2020

Total Attachments: 8

PATENT REEL: 054431 FRAME: 0501

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PATENT REEL: 054431 FRAME: 0502

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged,

ASSIGNORS:

(1) Full Name of Inventor: Eliot Samuels

Residence: Wiesenstrasse 12c, 8952 Schlieren, Switzerland

Citizenship: US

(2) Full Name of Inventor: Andrew Ward Moedinger

Residence: Goldschlägiplatz 5, 8952 Schlieren, Switzerland

Citizenship: US

(3) Full Name of Inventor: Gareth Jose-Lee Pinto

Residence: Dörflistrasse 7b, 8903 Birmensdorf (ZH), Switzerland

Citizenship: CA

(4) Full Name of Inventor: Hans Martin Eliasson

Residence: Grundstrasse 40, 4600 Olten, Switzerland

Citizenship: SE

(5) Full Name of Inventor: Henry Gaston Williamson Jr.

Residence: 19 Bracklin Ct., St. Simons Island, GA 31522

Citizenship: US

(6) Full Name of Inventor: Christina Corazon Smith-Lutz

Residence: Sonnhaldenstrasse 28, 4600 Olten, Switzerland

Citizenship: US, CH

hereby sell, assign and transfer to ASSIGNEE:

SOLIDUSLINK AG Rütistrasse 16 Schlieren, Switzerland 8952

and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest for the United States and its territorial possessions, and in all foreign countries and regions, including all rights to claim priority, in and to the invention entitled:

SYSTEMS, METHODS, AND PROGRAM PRODUCTS FOR OPERATING AN EXCHANGE-TRADED FUND

that was invented by ASSIGNORS, and which is being filed herewith in the U.S. Patent and Trademark Office, and any legal equivalent thereof in any foreign country or region, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application, or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or reexamination or other post issuance proceedings thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been, or will be, made or entered into which would conflict with this Assignment.

ASSIGNORS authorize ASSIGNEE to make applications for, and to receive, Letters Patent for said invention in any of said countries or regions, in ASSIGNEE'S name, or in ASSIGNOR'S name, at ASSIGNEE'S election.

ASSIGNORS covenant and agree to execute or procure any further necessary assurance of the title to said invention, and any Letters Patent which may issue therefore, and to, at any time, upon the request and at the expense of ASSIGNEE, deliver any testimony in any interference, derivation proceeding, litigation, or proceeding related thereto, and to execute all papers that may be necessary or desirable to perfect the title to said invention, or any Letters Patent which may be granted therefore in ASSIGNEE, its successors, assigns, or other legal representatives, and to, at any time, upon the request and at the expense of ASSIGNEE, execute any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and, as to Letters Patent, any reissue or reexamination or other post issuance proceedings thereof, or any other additional applications for Letters Patent for said invention or any part thereof, in any of said countries or regions, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNORS authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States of America for said invention, resulting from the aforesaid application, to SOLIDUSLINK AG as its ASSIGNEE.

WITNESS my hand and seal on:

Page 2 of 3

4848-2016-1234v.1

Elset Samuel	19 Nov 2020
Eliot Samuels	Date
Andrew Ward Moedinger	Date
Gareth Jose-Lee Pinto	Date
Hans Martin Eliasson	Date
Henry Gaston Williamson Jr.	Date
Christina Corazon Smith-Lutz	Date

Eliot Samuels	Date
Man Min	11/19/2020
Andrew Ward Moedinger	Date
Gareth Jose-Lee Pinto	Date
Hans Martin Eliasson	Date
Henry Gaston Williamson Jr.	Date
Christina Corazon Smith-Lutz	Date

countries or regions, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

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WITNESS my hand and seal on:

Eliot Samuels	Date
Andrew Ward Moedinger	Date
Gareth Jose-Lee Pinto	Nov. 19th, 2020 Date
Hans Martin Eliasson	Date
Henry Gaston Williamson Jr.	Date
Christina Corazon Smith-Lutz	Date

Page 3 of 3

countries or regions, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

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WITNESS my hand and seal on:

Eliot Samuels	Date
Andrew Ward Moedinger	Date
Gareth Jose-Lee Pinto	Date 2020 - 11 - 19
Hans Martin Eliasson	Date
Henry Gaston Williamson Jr.	Date
Christina Corazon Smith-Lutz	Date

said invention or any part thereof, in any of said countries or regions, all of which applications and any Letters Patent issuing thereon are bereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

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WITNESS my hand and scal on:

Eliot Samuels	Date
Androw Ward Moedinger	Date
Gareth Jose-Lee Pinto	Date
Hans Martin Flasson	
You Jastr Williams >	November 19,2020
j V	T
Christing Corgzon Smith-Lutz	Date

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Atty. Dk: No. 80904-0008

countries or regions, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns, or other legal representatives.

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WITNESS my hand and seal on:

Eliot Samuels	Date
Andrew Ward Moedinger	T) at a
Gareth Jose-Lee Pimo	Date
Hans Martin Fliasson	Date
Henry Gaston Williamson Ir.	Date
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