

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6433520

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
KEITH JOHNSON	10/30/2015
ROBERT LATHROP	11/09/2015
ROLAND FRANKE	02/10/2016
MEIDONG YANG	11/05/2015
HOLLY MAULHARDT	11/18/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	DFB SORIA, LLC
<b>Street Address:</b>	3909 HULEN STREET
<b>City:</b>	FORT WORTH
<b>State/Country:</b>	TEXAS
<b>Postal Code:</b>	76107
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	17112674
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	512-474-5201
<b>Email:</b>	aoipdocket@nortonrosefulbright.com
<b>Correspondent Name:</b>	NORTON ROSE FULBRIGHT US LLP
<b>Address Line 1:</b>	98 SAN JACINTO BOULEVARD
<b>Address Line 2:</b>	SUITE 1100
<b>Address Line 4:</b>	AUSTIN, TEXAS 78701-4255
<b>ATTORNEY DOCKET NUMBER:</b>	DFBP.P0115US.C2
<b>NAME OF SUBMITTER:</b>	VIRGINIA VELAZQUEZ
<b>SIGNATURE:</b>	/Virginia Velazquez/
<b>DATE SIGNED:</b>	12/04/2020
<b>Total Attachments: 5</b>	

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source=DFBPP0115USC2\_Assignment#page5.tif

ASSIGNMENT

WHEREAS, the undersigned (individually "ASSIGNOR" and collectively "ASSIGNORS"), are named inventors of the patent(s)/application(s) identified below (collectively "Patent(s)"),

AND WHEREAS DFB Soria, LLC, having a place of business at 3909 Hulen Street, Fort Worth, Texas 76107 ("ASSIGNEE"), is desirous of acquiring all right, title and interest in and to the Patent(s);

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, each ASSIGNOR does hereby:

IRREVOCABLY SELLS, ASSIGNS, AND TRANSFERS to the ASSIGNEE ASSIGNOR's entire right, title, and interest throughout the world in U.S. Application No. 62/219,453, filed September 16, 2015, titled "DELIVERY OF DRUG NANOPARTICLES AND METHODS OF USE THEREOF", including all information disclosed therein, such right, title, and interest including but not limited to the right to sue for injunctions and/or damages for any past, ongoing, and/or future infringement. For the avoidance of doubt, this sale, assignment, and transfer extends to all ASSIGNOR's right, title, and interest in all patents and applications (throughout the world) of any kind that claim priority to or otherwise issue from any of the Patent(s), including any and all divisional, continuation, continuation-in-part, continuing, extension, foreign, international, national phase, non-provisional, provisional, reexamination, reissue, renewal or substitute patents and/or patent applications;

WARRANTS AND COVENANTS that ASSIGNOR has the authority to enter this ASSIGNMENT agreement, and that ASSIGNOR has done nothing and will not do anything to impair the rights conveyed to ASSIGNEE by this Agreement;

COVENANTS that, when requested and at the expense of the ASSIGNEE, ASSIGNOR will carry out in good faith the intent and purpose of this Agreement, including but not limited to executing any and all documents in connection with the Patent(s) and any and all divisional, continuation, continuation-in-part, continuing, extension, foreign, international, national phase, non-provisional, provisional, reexamination, reissue, renewal or substitute patents, and/or patent applications claiming priority to, or based on any information disclosed in any of the Patent(s), including all rightful oaths, declarations, assignments, powers of attorney, and other papers; communicate to the ASSIGNEE all facts known to the ASSIGNOR relating to all information disclosed in the Patent(s) and the history thereof; and generally do everything possible that the ASSIGNEE shall consider desirable for vesting title to the Patent(s) and such patents and/or patent applications in the ASSIGNEE, and for securing, maintaining, and enforcing patent protection for the Patent(s) and such patents and/or patent applications;

WAIVES any right it has to challenge the adequacy of the consideration; and

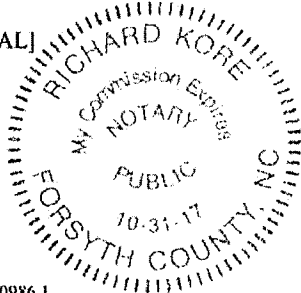
AGREES that this Agreement is binding on ASSIGNOR's heirs, assigns, representatives, and successors.

**ASSIGNORS**

Keith Johnson Oct. 30, 2015  
Name: Keith JOHNSON Date

BEFORE ME, the undersigned authority, on this 30 day of 10, 2015 personally appeared Keith JOHNSON, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

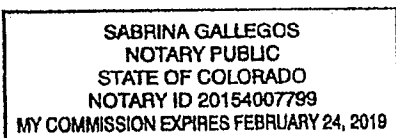


Richard K. Ore  
Notary or Consular Officer

Robert Lathrop 09 Nov 2015  
Name: Robert LATHROP Date

BEFORE ME, the undersigned authority, on this 9<sup>th</sup> day of November, 2015 personally appeared Robert LATHROP, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]



Silva  
Notary or Consular Officer

Name: Meidong YANG Date

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 2015 personally appeared Meidong YANG, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

\_\_\_\_\_  
Notary or Consular Officer

Name: Holly MAULHARDT Date

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 2015 personally appeared Holly MAULHARDT, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

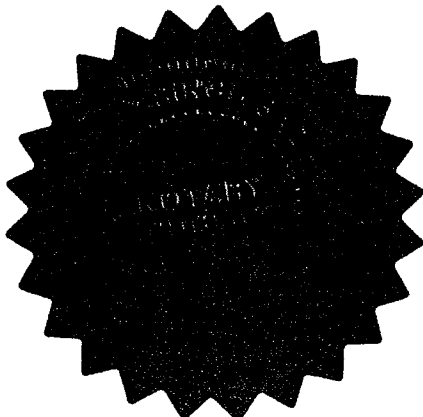
\_\_\_\_\_  
Notary or Consular Officer

Roland Franke Feb 10 / 2016  
Name: Roland FRANKE Date

BEFORE ME, the undersigned authority, on this 10 day of February, 2016 personally appeared Roland FRANKE, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

35630986.1



R. Maulhardt  
Notary or Consular Officer

Witnessed signature only. Romandip Singh Sadhra  
No advice sought or given. Notary Public  
For Roland Franke only. 210-7270 Market Crossing Way  
Burnaby, BC V5J 0A2  
604-558-0678

2 of 2

A Notary Public in and for the  
Province of British Columbia

My Commission is Permanent

PATENT

REEL: 054552 FRAME: 0473

Name: **Robert LATHROP**

Date

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 2015 personally appeared **Robert LATHROP**, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

Notary or Consular Officer

  
Name: **Meidong YANG**

Date

05 NOV 2015

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 2015 personally appeared **Meidong YANG**, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

Notary or Consular Officer

**PLEASE SEE ATTACHED  
CALIFORNIA ALL-PURPOSE  
ACKNOWLEDGEMENT FORM**

  
Name: **Holly MAULHARDT**

Date

11/18/2015

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 2015 personally appeared **Holly MAULHARDT**, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

**SEE ATTACHED FORM FOR  
NOTARY CERTIFICATE**

Notary or Consular Officer

Name: **Roland FRANKE**

Date

BEFORE ME, the undersigned authority, on this \_\_\_\_ day of \_\_\_\_\_, 2015 personally appeared **Roland FRANKE**, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

Notary or Consular Officer

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

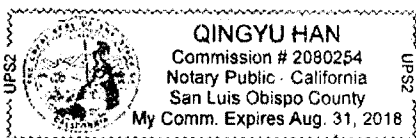
A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of San Luis Obispo

On Nov 18, 2015 before me, Qingyu Han, Notary Public,  
Date Here Insert Name of Officer

Personally appeared Holly Maulhardt  
Name(s) of Signer(s)



Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Qingyu Han  
Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Patent Assignment

Document Date: Nov 18, 2015 Number of Pages: 2

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

RIGHT THUMBPRINT

Signer's name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

RIGHT THUMBPRINT

PATENT

REEL: 054552 FRAME: 0475

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )

County of SONOMA )

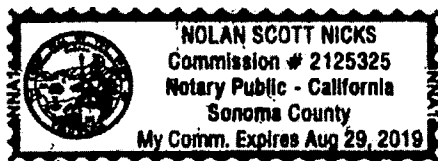
On NOV 05 2015 before me, NOLAN SCOTT NICKS, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared NEIDONG YANG  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: \_\_\_\_\_ Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- ☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Individual ☐ Attorney in Fact  
☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

- ☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Individual ☐ Attorney in Fact  
☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_