506386466 12/04/2020

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6433222

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
WENQI SUN	04/01/2016

### **RECEIVING PARTY DATA**

Name:	Huawei Technologies Co., Ltd.
Street Address:	Huawei Administration Building
Internal Address:	Bantian, Longgang District
City:	Shenzhen, Guangdong
State/Country:	CHINA
Postal Code:	518129

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16272527

### **CORRESPONDENCE DATA**

**Fax Number:** (312)616-5700

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (312) 616-5600

Email: assignments@leydig.com
Correspondent Name: LEYDIG, VOIT & MAYER, LTD.

Address Line 1: TWO PRUDENTIAL PLAZA, SUITE 4900

Address Line 2: 180 NORTH STETSON AVENUE Address Line 4: CHICAGO, ILLINOIS 60601-6731

ATTORNEY DOCKET NUMBER:	HW743712
NAME OF SUBMITTER:	MARALEA ACHACOSO
SIGNATURE:	/Maralea Achacoso/
DATE SIGNED:	12/04/2020

### **Total Attachments: 13**

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> PATENT REEL: 054601 FRAME: 0586

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# 委托技术开发协议

委托方(甲方): 华为技术有限公司

受托方(乙方): 上海华为技术有限公司

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华为机密

第1页,共6页



本协议由以下双方签署:

华为技术有限公司("甲方"),一家根据中国法律在深圳市龙岗区坂田华为总部办公楼注册成立的企业;和

上海华为技术有限公司("乙方"),一家根据中国法律在中国(上海)自由贸易试验区新金桥路2222号注册成立的企业。

(以上甲方、乙方独称为"一方",合称为"双方")。

根据《中华人民共和国合同法》及其他有关法律法规,甲乙双方在平等自愿的基础上,经 充分友好协商,甲方将委托乙方进行相关领域的研究开发工作("协议工作")事宜,现 双方达成如下协议("本协议")。



华为机密

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### 8. 知识产权归属

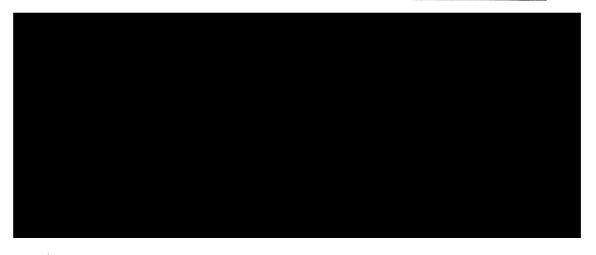
- 8.1 本协议下协议工作中产生的全部开发成果及其知识产权,包括但不限于申请专利的权利、专利申请权、专利权、版权、商业秘密,均归甲方所有。
- **8.2** 乙方不得以任何形式对开发成果或其任何部分进行使用、修改和二次开发,亦不得以任何形式向任何第三方披露开发成果或其它任何部分,包括但不限于自行申请专利或授意他人申请专利。



华为机密

第4页, 共6页





### 11. 知识产权侵权

若任何第三方主张本协议下协议工作中产生的开发成果侵犯其知识产权,乙方应当负责处理前述第三方的权利主张,承担由此招致的全部费用,包括但不限于律师费和侵权赔偿,并保证甲方不会因此而遭受任何损失。



华为机密

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华为机密

第6页,共6页



# commissioned technology development agreement

Consigner (Party A): Huawei Technologies Co., Ltd.

Consignee (Party B): Shanghai Huawei Technologies Co., Ltd.

This Agreement is signed by the following parties:

Huawei Technologies Co., Ltd. ("Party A") is an enterprise incorporated in accordance with the laws of China in the Huawei Administration Building, Bantian, Longgang District, Shenzhen, 518129, Guangdong, P.R. China. And Shanghai Huawei Technologies Co., Ltd. ("Party B") is an enterprise incorporated under Chinese laws at 2222 Xinjinqiao Road, China (Shanghai) Pilot Free Trade Zone.

(Aforesaid Party A and Party B are referred to as the "Party" and collectively referred to as the "Party".)

In accordance with the Contract Law of the People's Republic of China and other relevant laws and regulations, Party A and Party B will entrust Party B to carry out research and development ("agreement work") in the relevant field on the basis of equality and voluntariness, and after full friendly negotiation, the Parties hereby agree as follows ("agreement").

### 8. Ownership of intellectual property rights

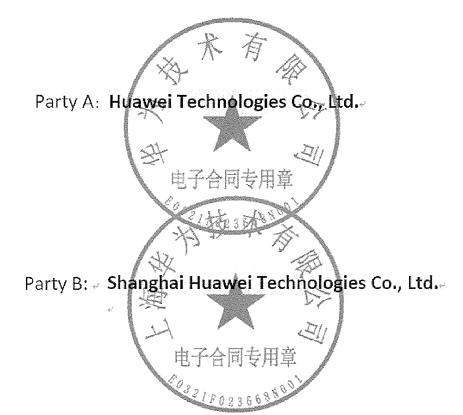
8.1 All development achievements and intellectual property rights arising from the work under this Agreement, including but not limited to the right to apply for patents, the copyright, and the trade secrets, shall be owned by Party A.

8.2 Party B shall not use, modify, or perform secondary development on the development achievements or any part thereof in any form, nor shall Party B disclose the development achievements or any part thereof to any third party in any form, including but not limited to applying for patents by itself or authorizing others to apply for patents.

### 11. Intellectual Property Infringement

If any third party claims that the development achievements arising from the work under this Agreement infringe upon its intellectual property rights, Party B shall be responsible for handling the claims of the third party, bear all expenses incurred therefrom, including but not limited to lawyers' fees and infringement compensation,

and guarantee that Party A will not suffer any loss.



### VERIFICATION OF TRANSLATION

I, Hong Xiang, hereby solemnly affirm that I have a fluent knowledge of English and

Chinese langu	uages, and th	nat the docu	ıment titled	l "Partial T	ranslation of	Shanghai
Huawei Techr	nologies Co.	., Ltd. Emp	loyment A	greement "i	s the true and	accurate
translation of	a relevant p	art of the E	mploymen	t Agreemer	nt between Sh	anghai Huawei
Technologies	Co., Ltd. an	d employe	e Wenqi Su	ın.		
***************************************		***************************************	***************************************		***************************************	***************************************
Dated this	26th	day of	Novembe	er, 2020	~ * ~ * ~ * ~ * ~ * ~ * ~ * * * * * * *	***********
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Signature of 7	Fransla <u>tor</u>	<u></u>	4 X E	~Y		
			~	1		



聘用方(甲方)Compan	y; 上海华为技术有限公司
Shangh	ai Huawei Technologies Co., Ltd.
住所 Location:	上海浦东新区新金桥路2222号
法定代表人 Legal Repres	entative: <u>奶菜芳</u> Sun Yafang
受聘方(乙方) Employee:	<u> </u>
工号 Employee ID: to36	<u> </u>
	'ermanent Residence(For Chinese): VI∕A
<u> </u>	

**PATENT** 

REEL: 054601 FRAME: 0597



### 10 知识产权 Intellectual Property Rights

### 10.1 联务承疑

#### Service Achievements

10.1.1 双方确认, 乙方在甲方工作期间(包括离职之日起一年内), 由于履行本人职务或甲方安排的本人职务之外的工作任务, 或者主要利用甲方的物质条件和业务信息等,自行政与他人共同构思。开发、创造或研制出的发明创造、实用新型。外观设计、技术决考、产品、计算机软件、丰导体芯片、作品或其他形式的智力成果,其中所包含的或与之有关的全部知识产权权利或其他财产权利(以下致费"知识产权")均均平方所有。

Both Company and the Employee agree that Company owns all the intellectual property rights and/or other property rights (hereinafter referred to as intellectual property rights) included in or related to the inventions, practical innovations, appearance designs, technical know-hows, products, computer software, semiconductor chips, works, and/or intellectual achievements in any other form that are solely or jointly made, researched, developed, or created by the Employee in performing the Employee's duties or fulfilling other tasks appointed by Company, or using physical conditions and business information of Company, during the employment period (including within one year after the Employee leaves the employment).

The Employee agrees to assist Company or a third Companyppointed by Company to acquire the rights pertaining to the aforesaid intellectual property rights in any country or region or the whole world for Company in all proper ways through proper channels (including but not limited to patent application, trademark registration and software registration; the related expanses shall be undertaken by Company). The foregoing proper ways include but are not limited to disclosure of all related information and data to Company and signing related applications, technical descriptions, and other writings and documents deemed necessary by Company in applying for these rights or transferring the exclusive rights, ownership and benefits of the intellectual property rights to Company (or Company's successor, assignee or appointed entity). The Employee agrees that the

Employee's obligation to sign these writings and documents or assist in getting these writings and



documents signed be valid after the termination of the employment.

10.1.3 甲方有权使用、转让或授权使入使用上述知识产权而无须获得乙方的同意。

Company has the right to use, transfer, or authorize a third party to use the foregoing intellectual property rights without requesting the permission of the Employee.

10.1.4 上述知识产权的署名权(依法律规定而应由甲方署名的除外)。由作为发明人、制作人或设计人 的乙方享有,并且乙方有权按甲方有关规定获得相应的物质奖励和精种数质。

The Employee, as the inventor, producer, or designer, owns the right of authorship of the foregoing intellectual property rights (except in cases in which Company owns the right of authorship by law). and the Employee shall be entitled to physical and opinitual rewards according to related regulations of Company.

10.1.5 老乙方作为发明人或设计人的职务发期创造经甲方申请并被授予专利权的、双方理解并同意甲 方应曲根据甲方曲时正在生效的內部相关機能制度规定的奖励支付方式準數額向乙方支付相应奖金。

If the Employee's service invention-creation invented or designed by the Employee is granted a patent right after Company's filing in Company's own name, both Parties understand and agree that Company shall award the Employee a money prize according to the payment method and amount stipulated in the Company's then-current effective internal related rules and regulations.

10.1.6 君甲方因实施前还发明创造专利权并获得了利润。或甲方许可其他第三方实施前途专利权并收 取使用费的,成甲方转让前途专利权井获得偿济利益的、双方理解并刑意甲方应当根据甲方当时正在 生效的內部相关规章制度规定的提爾支付方式和數額向乙方支付相应报酬。

If Company exploits the foresaid patent for invention-creation to make a profit, or Company grants the license to the third party to exploit the foresaid patent to receive the royalty fees, or Company transfer or assign the foreseld patent to the third party to make profits, both Parties understand and agree that Company shall pay the Employes remunerations according to the payment method and amount stipulated in the Company's then-current effective internal related rules and regulations.

10.1.7 年乙双为同意,甲方向乙方支付的薪酬待遇中。已考虑了因乙方职务发明创造被数予专利权及 甲方宪施、约司或转让输建专利权在所有适用法及本协议下乙方应当获得的全部奖励。报酬及其他利 26. a

Both Parties agree that, Company has taken all the reward, remunerations and other interests which the Employee deserves in all applicable jurisdictions and under this Agreement into consideration in the salary and banefits paid to the Employee under this Agreement due to: i) the Employee's service invention-creation which has been granted a patent right; or ii) Company's exploitation, granting the license or transfer/assignment to the third party of the foresaid patent.

10.1.8 甲乙、双方制源、若前还专利权皴无效。或甲方合理的认为前述专利权存在被无效的可能。甲方 有权不发放或酷情减少前述奖励和/或报酬。

Both Parties agree that, if the foresaid patent is invalid or unenforceable or Company has reasonable doubt that the foresaid petent has the possibility to be invalid or unenforceable, Company has the right to withdraw or decrease the foresaid money prize and/or remunerations herein.

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REEL: 054601 FRAME: 0599



## 签字页

## Signatures

本协议一式两份,其中一份本人已收到并保存。

This Agreement shall be in duplicate, one of which has been received and held by myself.

本协议为甲方、乙方双方真实意思表示。在此签字确认。

This Agreement describes true intentions of both Parties and the Parties hereto execute the Agreement.

甲方,上海华为技术有限公司

**RECORDED: 12/04/2020** 

Company: Shanghal Huawei Technologies Co., Ltd.

		ogies Co.,	;
nae A			
277.: Seal:			
wxxxx.			
HW: Nata	F	ii mm dd	

乙方: 受聘方

Employee:

報字。 大大文章 Signature: Wenqi sun

日期:	2014-47-11	
Date:	(MM DD, Y	<b>///</b> /

PATENT

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