# 506406450 12/16/2020 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6453206

SUBMISSION TYPE:			NEW ASSIGNMENT				
NATURE OF CONVEYANCE:			ASSIGNMENT				
CONVEYING PARTY D	ΑΤΑ						
		1	Name			Execution I	Date
KIYONO NAKAGAWA						07/09/2020	
YOSHIHIRO ITO						07/09/2020	
Υυμικό τανακά						07/09/2020	
RECEIVING PARTY DA	ТА						
Name:	YUKIG	GOSEI	KOGYO CO., LTD.				
Street Address:	10-4, N	VIHONE	BASHI-NINGYOCHO, 3-CHOI	ME, C	CHUO-KI	J	
City:	ΤΟΚΥΟ	C					
State/Country:	JAPAN	1					
Postal Code:	103-00	)13					
Postal Code:							
	Total: 1	1					
PROPERTY NUMBERS	Total: 1		Number				
PROPERTY NUMBERS Property Type	Total: 1	16962	Number 2394				
PROPERTY NUMBERS Property Type Application Number:							
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D	ATA	16962	2394				
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number:	ATA	(518)4	2394 452-5579		uccessfu	l it will he ser	
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be	ATA e sent to	16962 (518)4 o the e	2394				nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be	ATA e sent to provideo	16962 (518)4 o the e d; if tha 51845	2394 452-5579 <b>e-mail address first; if that is</b> <b>bat is unsuccessful, it will be</b> 525600				nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if µ</i> Phone: Email:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica	2394 452-5579 <b>e-mail address first; if that is</b> <b>pat is unsuccessful, it will be</b> 525600 a.carlson@hrfmlaw.com	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if µ Phone: Email: Correspondent Name:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL	2394 452-5579 <b>e-mail address first; if that is</b> <b>pat is unsuccessful, it will be</b> 525600 a.carlson@hrfmlaw.com .IN ROTHENBERG FARLEY &	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL 5 COL	452-5579 <i>e-mail address first; if that is</i> <i>pat is unsuccessful, it will be</i> 525600 a.carlson@hrfmlaw.com .IN ROTHENBERG FARLEY & LUMBIA CIRCLE	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if µ Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL 5 COL ALBAI	2394 452-5579 <b>e-mail address first; if that is</b> bat is unsuccessful, it will be 525600 a.carlson@hrfmlaw.com .IN ROTHENBERG FARLEY & LUMBIA CIRCLE .NY, NEW YORK 12203	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if µ Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL 5 COL ALBAI	452-5579 <i>at is unsuccessful, it will be</i> 525600 a.carlson@hrfmlaw.com IN ROTHENBERG FARLEY & LUMBIA CIRCLE NY, NEW YORK 12203 2352.093	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if µ Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NUMARE OF SUBMITTER:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL 5 COL ALBAI	452-5579 <i>e-mail address first; if that is</i> <i>pat is unsuccessful, it will be</i> 525600 a.carlson@hrfmlaw.com IN ROTHENBERG FARLEY & LUMBIA CIRCLE NY, NEW YORK 12203 2352.093 CHRISTINA E. BRULE	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 1: Address Line 4: ATTORNEY DOCKET NU IAME OF SUBMITTER: BIGNATURE:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL 5 COL ALBAI	452-5579 <i>at is unsuccessful, it will be</i> 525600 a.carlson@hrfmlaw.com IN ROTHENBERG FARLEY & LUMBIA CIRCLE NY, NEW YORK 12203 2352.093	sent	via US I		nt
PROPERTY NUMBERS Property Type Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if µ Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NUMARE OF SUBMITTER:	ATA e sent to provideo	(518)4 o the e d; if tha 51845 jessica HESL 5 COL ALBAI	452-5579 <i>e-mail address first; if that is</i> <i>pat is unsuccessful, it will be</i> 525600 a.carlson@hrfmlaw.com IN ROTHENBERG FARLEY & LUMBIA CIRCLE NY, NEW YORK 12203 2352.093 CHRISTINA E. BRULE	sent	via US I		nt

source=2352093 Executed Assignment and Declaration (H1794063xD16FB)#page3.tif

#### ASSIGNMENT AND DECLARATION

#### WHEREAS, We,

**Kiyono NAKAGAWA**, a citizen of Japan, whose address is c/o Tokyo Research Laboratory, Yuki Gosei Kogyo Co., Ltd., 3-37-1, Sakashita, Itabashi-ku, Tokyo 174-0043, JAPAN;

Yoshihiro ITO, a citizen of Japan, whose address is c/o Tokyo Research Laboratory, Yuki Gosei Kogyo Co., Ltd., 3-37-1, Sakashita, Itabashi-ku, Tokyo 174-0043, JAPAN;

and

**Yumiko TANAKA**, a citizen of Japan, whose address is c/o Tokyo Research Laboratory, Yuki Gosei Kogyo Co., Ltd., 3-37-1, Sakashita, Itabashi-ku, Tokyo 174-0043, JAPAN.

have invented certain new and useful improvements in

METHOD FOR PREPARING N-ALKOXYCARBONYLPIPERIDINE DERIVATIVE, AND INTERMEDIATE THEREFOR

which is the subject of United States Application Serial Number <u>16/962,394</u> filed July 15, 2020 \_\_\_\_\_, and

WHEREAS, **YUKI GOSEI KOGYO CO., LTD.**, of 10-4, Nihonbashi-Ningyocho 3-chome, Chuo-ku, Tokyo 103-0013, Japan, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application; and

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which We hereby acknowledge, We, **Kiyono NAKAGAWA**, **Yoshihiro ITO**, and **Yumiko TANAKA**, do hereby assign, transfer and set over to **YUKI GOSEI KOGYO CO.**, **LTD.**, its successors, legal representatives and assigns, the entire right title and interest in, to and under said improvements, of above-identified application - including the right to claim priority to said application - all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign

Page 1 of 3

## PATENT REEL: 054666 FRAME: 0223

to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to **YUKI GOSEI KOGYO CO., LTD.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that We will communicate to YUKI GOSEI KOGYO CO., LTD., its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid YUKI GOSEI KOGYO CO., LTD., its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries;

AND WE HEREBY grant Heslin Rothenberg Farley & Mesiti, P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

AND WE HEREBY, as the above named inventors, declare that the above-identified application was made or authorized to be made by us;

AND WE HEREBY, as the above named inventors, declare that we believe that we are original joint inventors of a claimed invention in the above-identified application;

AND WE HEREBY state that we have reviewed and understand the contents of the above-identified specification, including the claims;

AND WE HEREBY acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

AND WE HEREBY declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that

Page 2 of 3

### PATENT REEL: 054666 FRAME: 0224

these statements were made with the knowledge that any willful false statements and the like so made in this declaration are punishable by fine or imprisonment of not more than five (5) years, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this <u>9th</u> day of <u>July</u>, 2020.

Kiyono hakagawa

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this <u>9th</u> day of <u>July</u>, 2020.

Yoshihiro ITO

**Yoshihiro ITO** 

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this <u>9th</u> day of <u>July</u>, 2020.

<u> Yumiko TANAKA </u> Yumiko TANAKA

**RECORDED: 12/16/2020** 

#### PATENT REEL: 054666 FRAME: 0225