

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6442742

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
EDWARD YI CHANG	12/08/2020
CHIEH-HSI CHUANG	12/08/2020
JESSIE LIN	12/08/2020
RECEIVING PARTY DATA	
Name:	NATIONAL CHIAO TUNG UNIVERSITY
Street Address:	NO. 1001 TA HSUEH RD.
City:	HSINCHU CITY
State/Country:	TAIWAN
Postal Code:	300
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17116585
CORRESPONDENCE DATA	
Fax Number:	(410)461-3067
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4104656678
Email:	rkl@rkllpatlaw.com
Correspondent Name:	ROSENBERG, KLEIN & LEE
Address Line 1:	3458 ELLICOTT CENTER DRIVE, SUITE 101
Address Line 4:	ELLICOTT CITY, MARYLAND 21043
ATTORNEY DOCKET NUMBER:	MR1035-2703/DIV2
NAME OF SUBMITTER:	MORTON ROSENBERG
SIGNATURE:	/MORTON ROSENBERG/
DATE SIGNED:	12/10/2020
Total Attachments: 3	
source=1035-2703-DIV2-ASSIGN-ASFILED-DEC10-2020#page1.tif	
source=1035-2703-DIV2-ASSIGN-ASFILED-DEC10-2020#page2.tif	
source=1035-2703-DIV2-ASSIGN-ASFILED-DEC10-2020#page3.tif	

ASSIGNMENT DEED

Attorney docket No. MR1035-2703/DIV2

This Assignment agreement is applicable to an invention entitled (Invention Title): SEMICONDUCTOR

STRUCTURE HAVING A SI SUBSTRATE HETEROINTEGRATED WITH GaN AND METHOD FOR

FABRICATING THE SAME

The PATENT RIGHTS referred to in this agreement are:

(Check one)

☐ a Patent Application for this invention, executed by the ASSIGNOR(S) concurrently with this Assignment

☒ U.S. Patent Application Serial No. 16/519,418, filed July 23, 2019

☐ U.S. Patent No. _____, issued _____

The PATENT RIGHTS assigned under this agreement are:

(Check One)

☒ U.S. Patent rights only

☐ worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above

I/WE believe that I/WE am/are the original inventor(s) of a claimed invention in the application.

The above-identified Application was made or authorized by me/us.

I/WE hereby acknowledge that any will false statements made in this Assignment is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

The ASSIGNOR(S) referred to in this agreement is (or are):

(Full name of sole or first assignor) Edward Yi CHANG

(Address) No. 12, Lane 286, Sec. 2, Shuangyuan Rd., Baoshan Township, Hsinchu County 30841, Taiwan (R.O.C.)

(Full name of second joint assignor, if any) CHIEH-HSI CHUANG

(Address) No. 1, Ln. 97, Fugun St., Xiangshan Dist., Hsinchu City 300, Taiwan (R.O.C.)

(Full name of third joint assignor, if any) Jessie LIN

(Address) 4F, Ln. 44, Minde Rd., Zhonghe Dist., New Taipei City 235, Taiwan (R.O.C.)

(Full name of fourth joint assignor, if any) _____

(Address) _____

Full name of fifth joint assignor, if any) _____

(Address) _____

☐ Additional assignors are being named on separately numbered sheets attached hereto.

The sole or first ASSIGNEE(S) referred to in this agreement is (or are):

(Name of Assignee) National Chiao Tung University

(Address of Assignee) No. 1001 Ta Hsueh Rd., Hsinchu City 300, Taiwan, R.O.C.

The sole or first ASSIGNEE is: (Check One)

☐ an individual

☐ a partnership

☒ a Corporation of _____ Taiwan, R.O.C. _____ (State or Country)

The second ASSIGNEE(S) (if any) referred to in this agreement is (or are):

(Name of Assignee) _____

(Address of Assignee) _____

The second ASSIGNEE is: (Check One)

☐ an individual

☐ a partnership

☐ a Corporation of _____ (State or Country)

The third ASSIGNEE(S) (if any) referred to in this agreement is (or are):

(Name of Assignee) _____

(Address of Assignee) _____

☐ Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

the full and exclusive right to the invention;

an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and

the right to claim priority under 35 U.S.C. § 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(S) as the ASSIGNEE(S) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(S); their successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE(S), or their representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(S), their successors and assigns, to obtain and enforced proper protection for said invention.

I hereby give our Attorney authorization to insert the Serial Number and filing date of the above-referenced Patent Application when known.

Edward Yi Chang
(Signature of sole or first assignor) Edward YI CHANG

December 8, 2020

(Date)

Chieh Hsi Chuang
(Signature of second assignor, if any) CHIEH-HSI CHUANG

December 8, 2020

(Date)

Jessie Lin
(Signature of third assignor, if any) JESSIE LIN

December 8, 2020

(Date)

(Signature of fourth assignor, if any)

(Date)

(Signature of fifth assignor, if any)

(Date)