

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6457030

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
CIBC BANK USA, AS ADMINISTRATIVE AGENT	12/03/2020
RECEIVING PARTY DATA	
Name:	S&W SEED COMPANY
Street Address:	2101 KEN PRATT BLVD, SUITE 201
City:	LONGMONT
State/Country:	CALIFORNIA
Postal Code:	80501-6085
Name:	SEED HOLDING, LLC
Street Address:	2101 KEN PRATT BLVD, SUITE 201
City:	LONGMONT
State/Country:	CALIFORNIA
Postal Code:	80501-6085
Name:	STEVIA CALIFORNIA, LLC
Street Address:	2101 KEN PRATT BLVD, SUITE 201
City:	LONGMONT
State/Country:	CALIFORNIA
Postal Code:	80501-6085
PROPERTY NUMBERS Total: 15	
Property Type	Number
Patent Number:	7652195
Patent Number:	8461420
Patent Number:	8471103
Patent Number:	8466343
Patent Number:	8802930
Patent Number:	8822760
Patent Number:	9814191
Patent Number:	9877446
Patent Number:	9585350
Application Number:	14544136

PATENT

Property Type	Number
Application Number:	14544200
Application Number:	14757095
Application Number:	14999118
Patent Number:	8373025
Application Number:	62513074

CORRESPONDENCE DATA

Fax Number:
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 312.564.2088
Email: augusto.sumulong@cibc.com
Correspondent Name: AUGUSTO SUMULONG
Address Line 1: 70 W MADISON
Address Line 4: CHICAGO, ILLINOIS 60602

NAME OF SUBMITTER:	AUGUSTO SUMULONG
SIGNATURE:	/AUGUSTO.SUMULONG/
DATE SIGNED:	12/17/2020
	This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 7
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December 3, 2020

S&W Seed Company
2101 Ken Pratt Blvd, Suite 201
Longmont, CA 80501-6085

Seed Holding, LLC
2101 Ken Pratt Blvd, Suite 201
Longmont, CA 80501-6085

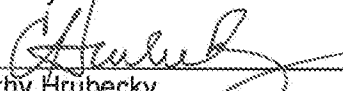
Stevia California, LLC
2101 Ken Pratt Blvd, Suite 201
Longmont, CA 80501-6085

RE: Release of Security Interest in Intellectual Property

Dear Sir/Madam:

Pursuant to the terms set forth in that certain Intellectual Property Security Agreement dated as of December 26, 2019 and recorded with the USPTO in Reel 51509 Frame 866 by S&W Seed Company, a NV corporation, Seed Holding, LLC, a NV limited liability company and Stevia California, LLC, a CA limited liability company in favor of CIBC BANK USA, as Administrative Agent ("Bank"), the Bank hereby releases all security interests in the Patents as described in the attached Schedule B.

Thank you,

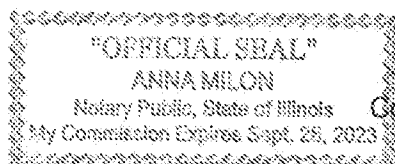

Cathy Hrubecky
Associate Managing Director
CIBC BANK USA

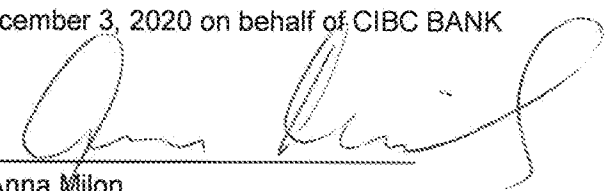
STATE OF ILLINOIS)

) ss.

COUNTY OF COOK)

This instrument was acknowledged before me this December 3, 2020 on behalf of CIBC BANK USA.



Notary Public: 
Name: Anna Milon
Commission Expires: 09/25/2023

PATENT
REEL: 054685 FRAME: 0866

SCHEDULE B

PATENTS AND PATENT REGISTRATIONS

See attached.

PATENT

REEL: 051500 FRAME: 0880

PATENT

REEL: 054685 FRAME: 0867

December 10, 2019

S&W SEED COMPANY IP PORTFOLIO

PATENTS:

PATENT DOCUMENT TITLE COUNTRY	PRIORITY NUMBER (IF APPLICABLE) APPLICATION NUMBER (USSN) PATENT NUMBER (USPN) STATUS	PRIORITY DATE (IF APPLICABLE) FILING DATE ISSUE DATE EXPIRATION DATE NEXT ACTION
ALFALFA VARIETY 53V52 UNITED STATES	USSN 11/533,383 USPN 7,652,195 ISSUED US PATENT	FILED 09/20/2006 ISSUED 01/26/2010 EXPIRATION 03/22/2028 NEXT ACTION 11.5 YR MAINTENANCE FEE DUE ON 07/26/2021
ALFALFA VARIETY 03N16PY UNITED STATES	USSN 13/252,674 USPN 8,461,420 ISSUED US PATENT	FILED 10/04/2011 ISSUED 06/11/2013 EXPIRATION 10/04/2031 NEXT ACTION 7.5 YR MAINTENANCE FEE DUE ON 12/11/2020
ALFALFA VARIETY 06N02PX UNITED STATES	USSN 13/252,692 USPN 8,471,103 ISSUED US PATENT	FILED 10/04/2011 ISSUED 06/25/2013 EXPIRATION 10/04/2031 NEXT ACTION 7.5 YR MAINTENANCE FEE DUE ON 12/25/2020
ALFALFA VARIETY 07W01CZ UNITED STATES	USSN 13/252,706 USPN 8,466,343 ISSUED US PATENT	FILED 10/04/2011 ISSUED 06/18/2013 EXPIRATION 10/04/2031 NEXT ACTION 7.5 YR MAINTENANCE FEE DUE ON 12/18/2020
ALFALFA VARIETY 55Q27 UNITED STATES	USSN 13/413,254 USPN 8,802,930 ISSUED US PATENT	FILED 03/06/2012 ISSUED 08/12/2014 EXPIRATION 08/02/2032 NEXT ACTION 7.5 YR MAINTENANCE FEE DUE ON 12/12/2022
ALFALFA VARIETY 09N12CY UNITED STATES	USSN 13/413,267 USPN 8,822,760 ISSUED US PATENT	FILED 03/06/2012 ISSUED 09/02/2014 EXPIRATION 07/29/2032 NEXT ACTION 3.5 YR MAINTENANCE FEE DUE ON 03/02/2022
ALFALFA VARIETY 55Q28 UNITED STATES	PRIORITY NO. 62/095,258 USSN 14/971,950 USPN 9,814,191 ISSUED US PATENT	PRIORITY DATE 12/22/2014 FILED 12/16/2015 ISSUED 11/14/2017 EXPIRATION 01/29/2036 NEXT ACTION 3.5 YR MAINTENANCE FEE DUE ON 05/14/2021
ALFALFA VARIETY 34Q14 UNITED STATES	PRIORITY NO. 62/095,279 USSN 14/971,938 USPN 9,877,446 ISSUED US PATENT	PRIORITY DATE 12/22/2014 FILED 12/16/2015 ISSUED 01/30/2018 EXPIRATION 03/03/2036 NEXT ACTION 3.5 YR MAINTENANCE FEE DUE ON 07/30/2021

PATENT DOCUMENT TITLE COUNTRY	PRIORITY NUMBER (IF APPLICABLE) APPLICATION NUMBER (USSN) PATENT NUMBER (USPN) STATUS	PRIORITY DATE (IF APPLICABLE) FILING DATE ISSUE DATE EXPIRATION DATE NEXT ACTION
ALFALFA VARIETY SW5213 UNITED STATES	PRIORITY NO. 62/095,286 USSN 14/971,970 USPN 9,585,330 ISSUED US PATENT	PRIORITY DATE 12/22/2014 FILED 12/16/2015 ISSUED 03/07/2017 EXPIRATION 12/16/2035 NEXT ACTION 3.5 YR MAINTENANCE FEE DUE ON 09/07/2020
STEVIA PLANT NAMED 'SW 107' UNITED STATES	USSN 14/544,136 PP27,937 P3 ISSUED US PATENT	FILED 11/30/2014 ISSUED 04/25/2017 EXPIRATION 04/29/2035
STEVIA PLANT NAMED 'SW 201' UNITED STATES	USSN 14/544,200 PP27,815 P3 ISSUED US PATENT	FILED 12/08/2014 ISSUED 03/28/2017 EXPIRATION 04/29/2035
STEVIA PLANT NAMED 'SW 129' UNITED STATES	USSN 14/757,095 PP28,873 P3 ISSUED US PATENT	FILED 11/17/2015 ISSUED 09/12/2017 EXPIRATION 11/30/2035
STEVIA PLANT NAMED 'SW 227' UNITED STATES	USSN 14/999,118 PP28,977 P3 ISSUED US PATENT	FILED 03/31/2016 ISSUED 02/20/2018 EXPIRATION 04/07/2036
HERBICIDE RESISTANT SORGHUM UNITED STATES	USSN 12/700,276 USPN 8,373,025 ISSUED US PATENT	FILED 02/04/2010 ISSUED 02/12/2013 EXPIRATION 11/09/2030 NEXT ACTION 7.5 YR MF DUE 08-12-2020
MUTATIONS CONFERRING ACETYL- COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM UNITED STATES	PRIORITY: US 62/513,074 USSN 15/993,081 PENDING US PATENT APPLICATION	PRIORITY DATE 05-31-2017 FILED 05/30/2018 NEXT ACTION FIRST OFFICE ACTION EXPECTED AROUND 03-30-2020
MUTATIONS CONFERRING ACETYL- COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM ARGENTINA	PRIORITY: US 62/513,074 AR P180101423 PENDING AR PATENT APPLICATION	PRIORITY DATE 05-31-2017 FILED 05/30/2018 NEXT ACTION AWAITING 1 ST OFFICE ACTION
MUTATIONS CONFERRING ACETYL- COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM URUGUAY	PRIORITY: US 62/513,074 UY 37,750 PENDING UY PATENT APPLICATION	PRIORITY DATE 05-31-2017 FILED 05/30/2018 NEXT ACTION AWAITING 1 ST OFFICE ACTION
MUTATIONS CONFERRING ACETYL- COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM PATENT COOPERATION TREAT (PCT)	PRIORITY: US 62/513,074 PCT/US2018/035131 PENDING PCT APPLICATION	PRIORITY DATE 05-31-2017 FILED 05/30/2018 NEXT ACTION NATIONAL PHASE ENTRY UNDERWAY (the PCT has been filed in ARIPO, AU, BR, MX, ZA; still awaiting the EPO & UA filings)
MUTATIONS CONFERRING ACETYL- COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM ARIPO (African Regional Intellectual Property Organization)	PRIORITY: US 62/513,074 & PCT/US2018/035131 APPLICATION NUMBER NOT YET ISSUED PENDING ARIPO PATENT APPLICATION	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE 12-09-2019

PATENT DOCUMENT TITLE COUNTRY	PRIORITY NUMBER (IF APPLICABLE) APPLICATION NUMBER (USSN) PATENT NUMBER (USPN) STATUS	PRIORITY DATE (IF APPLICABLE) FILING DATE ISSUE DATE EXPIRATION DATE NEXT ACTION
MUTATIONS CONFERRING ACETYL-COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM AUSTRALIA	PRIORITY: US 62/513,074 & PCT/US2018/035131 AU 2018277822 PENDING AU PATENT APPLICATION	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE 11-25-2019
MUTATIONS CONFERRING ACETYL-COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM BRAZIL	PRIORITY: US 62/513,074 & PCT/US2018/035131 BR 112019024729-7 PENDING BR PATENT APPLICATION	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE 11-25-2019
MUTATIONS CONFERRING ACETYL-COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM MEXICO	PRIORITY: US 62/513,074 & PCT/US2018/035131 MX/a/2019/014452 PENDING MX PATENT APPLICATION	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE 12-09-2019
MUTATIONS CONFERRING ACETYL-COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM SOUTH AFRICA	PRIORITY: US 62/513,074 & PCT/US2018/035131 ZA 2019/08169 PENDING ZA PATENT APPLICATION	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE 12-09-2019
MUTATIONS CONFERRING ACETYL-COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM EPO (European Patent Office)	PRIORITY: US 62/513,074 & PCT/US2018/035131 EPO 18810228.9 (the EPO assigns all PCT Applications an EPO number) APPLICATION NOT YET FILED	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE (Before 12-30-2019)
MUTATIONS CONFERRING ACETYL-COA CARBOXYLASE INHIBITING HERBICIDE TOLERANCE IN SORCGHUM UKRAINE	PRIORITY: US 62/513,074 & PCT/US2018/035131 APPLICATION NOT YET FILED	PRIORITY DATE 05-31-2017 EFFECTIVE FILING DATE 05-30-2018 LOCAL FILING DATE (Before 12-30-2019)

PVP CERTIFICATES:

COUNTRY & PVP NAME	PVP APPLICATION NUMBER PVP CERTIFICATE NUMBER	PVP APPLICATION DATE PVP REGISTRATION DATE PVP EXPIRATION DATE NEXT ACTION
EUROPE (CVPO) ALFALFA PR59N59	CVPO APPLICATION NO. 2008/2160 CVPO CERTIFICATE NO. 33444	APPLICATION DATE 10/02/2008 REGISTRATIO DATE 12/17/2012 EXPIRATION DATE 12/31/2037 NEXT ACTION 9 th YEAR ANNUITY DUE DATE 07/31/2020
US (PVPO) ALFALFA 'SW 8421S'	PVPO APPLICATION NO. 201000082 PVPO CERTIFICATE NO. 201000082	APPLICATION DATE 12/24/2009 REGISTRATION DATE 09/29/2015 EXPIRATION DATE 09/29/2035

TRADEMARKS:

TRADEMARK (COUNTRY) & DESCRIPTION	APPLICATION NUMBER REGISTRATION NUMBER STATUS	FILING DATE REGISTRATION DATE NEXT ACTION
KANDI LEAF (WORD & DESIGN) (US) U.S. Trademark Application for natural stevia plants and flowers; fresh or dried stevia leaves; stevia seeds; stevia extracts; stevia derivatives, in International Class 031.	APPLICATION NO. 86818862 REGISTRATION NO. 5062383 REGISTERED US MARK	FILED 11/13/2015 REGISTERED 10/18/2016 6-YR STATEMENT OF USE DUE ON 10-18-2022
KANDILEAF (WORD MARK) (MEXICO) Mexican Trademark Application for natural stevia plants and flowers; fresh or dried stevia leaves; stevia seeds; stevia extracts; stevia derivatives, in International Class 031.	SERIAL NO. 86454145 REGISTERED US MARK	FILED 11/13/2014
CALIFORNIA QUALITY ALFALFA SEED (WORD & DESIGN) (US) Agricultural seeds sold in bags or pouches; alfalfa plant seeds sold in bags or pouches, in International Class 31.	APPLICATION NO. 87070030 REGISTRATION NO. 5221338 REGISTERED US MARK	FILED 06/13/2016 REGISTERED 06/13/2017 6-YR STATEMENT OF USE DUE ON 06-13-2023
ALFALFA SEED ORIGIN CALIFORNIA (WORD & DESIGN) (US) Agricultural seeds sold in bags or pouches; alfalfa plant seeds sold in bags or pouches, in International Class 31.	APPLICATION NO. 87070050 REGISTRATION NO. 5221339 REGISTERED US MARK	FILED 06/13/2016 REGISTERED 06/13/2017 6-YR STATEMENT OF USE DUE ON 06-13-2023
RUGGED RANGER ALFALFA SEED (WORD & DESIGN) (US) Agricultural seeds sold in bags or pouches; alfalfa plant seeds sold in bags or pouches, in International Class 31.	APPLICATION NO. 87407286 REGISTRATION NO. 5489487 REGISTERED US MARK	FILED 04/11/2017 REGISTERED 06-12-2018 6-YR STATEMENT OF USE DUE ON 06-12-2024
SORGHUM PARTNERS (WORD MARK) (US) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 76157622 REGISTRATION NO. 2653396 REGISTERED US MARK	FILED 12-02-2000 REGISTERED 11-26-2002 20-YR RENEWAL DUE ON 11-26-2022
SORGHUM PARTNERS (WORD & DESIGN) (US) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 78625743 REGISTRATION NO. 3082785 REGISTERED US MARK	FILED 05-09-2005 REGISTERED 04-18-2006 20-YR RENEWAL DUE ON 04-18-2026
SORGHUM GRAIN LOGO (DESIGN) (US) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 77917870 REGISTRATION NO. 3837689 REGISTERED US MARK	FILED 01-22-2010 REGISTERED 08-24-2010 10-YR RENEWAL DUE ON 08-24-2020
SORGHUM PARTNERS (WORD MARK) (TEXAS STATE MARK) Agricultural seed products, namely, sorghum, in International Class 31.	TRADEMARK NO. 6027017 REGISTERED TEXAS STATE MARK	TRADEMARK DATE 04-18-2001 20-YR RENEWAL DUE ON 04-18-2021
SORGHUM PARTNERS (WORD MARK) (AUSTRALIA) Agricultural seeds; agricultural seeds for growing crops for use for feedstock and biofuels, namely, sorghum and pearl millet; and crop seeds, in International Class 31.	APPLICATION NO. 1771667 REGISTRATION NO. 1771667 REGISTERED AU MARK	FILED 05-19-2016 REGISTERED 02-10-2017 10-YR RENEWAL DUE ON 05-19-2026
SORGHUM PARTNERS (WORD & DESIGN) (AUSTRALIA) Agricultural seeds; agricultural seeds for growing crops for use for feedstock and biofuels, namely, sorghum and pearl millet; and crop seeds, in International Class 31.	APPLICATION NO. 1771670 REGISTRATION NO. 1771670 REGISTERED AU MARK	FILED 05-19-2016 REGISTERED 02-10-2017 10-YR RENEWAL DUE ON 05-19-2026
SORGHUM PARTNERS (WORD MARK) (MEXICO) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 0119851569109 PENDING MX MARK	FILED 01-30-2015

TRADEMARK (COUNTRY) & DESCRIPTION	APPLICATION NUMBER REGISTRATION NUMBER STATUS	FILING DATE REGISTRATION DATE NEXT ACTION
SORGHUM PARTNERS (WORD & DESIGN) (MEXICO) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 0119851726042 REGISTRATION NO. 1648064 REGISTERED MX MARK	FILED 03-15-2016 REGISTERED 06-20-2016 10-YR RENEWAL DUE ON 03-15-2026
SORGHUM PARTNERS (WORD MARK) (PAKISTAN) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 398750 PENDING PK MARK	FILED 10-05-2015
SORGHUM PARTNERS (WORD & DESIGN) (PAKISTAN) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 398751 PENDING PK MARK	FILED 10-05-2015
SORGHUM PARTNERS (WORD & DESIGN) (ARGENTINA) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 3598227 REGISTRATION NO. 2955464 REGISTERED AR MARK	FILED 04-25-2017 REGISTERED 09-13-2018 10-YR RENEWAL DUE ON 04-25-2027
SORGHUM PARTNERS (WORD & DESIGN) (URUGUAY) Agricultural seed products, namely, sorghum, in International Class 31.	APPLICATION NO. 483522 PENDING UY MARK	FILED 05-03-2017
SORGHUM PARTNERS (WORD MARK) (BRAZIL) Agricultural seed products, namely, sorghum, in International Class 31.	ORDERED (Not yet filed).	
SORGHUM PARTNERS (WORD & DESIGN) (BRAZIL) Agricultural seed products, namely, sorghum, in International Class 31.	ORDERED (Not yet filed).	
ALFALFA PARTNERS (WORD MARK) (US) Seeds for agricultural purposes; Seeds for horticultural purposes; Seeds for planting; Seeds for sowing; Agricultural seeds; Crop seeds; Plant seeds; Sowing seeds; Unprocessed seeds for agricultural use, in International Class 31.	APPLICATION NO. 88624203 PENDING US MARK	FILED 09-19-2019
ALFALFA PARTNERS (WORD & DESIGN) (US) Seeds for agricultural purposes; Seeds for horticultural purposes; Seeds for planting; Seeds for sowing; Agricultural seeds; Crop seeds; Plant seeds; Sowing seeds; Unprocessed seeds for agricultural use, in International Class 31.	APPLICATION NO. 88624204 PENDING US MARK	FILED 09-19-2019