

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6463460

|   |                               |                       |
|---|-------------------------------|-----------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                |                       |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                    |                       |
| <b>CONVEYING PARTY DATA</b>   |                               |                       |
| <b>Name</b>   |                               | <b>Execution Date</b> |
| ALERT SAFETY LITE PRODUCTS CO., INC.  |                               | 11/16/2020            |
| <b>RECEIVING PARTY DATA</b>   |                               |                       |
| <b>Name:</b>  | ALERT REEL MANUFACTURING, LLC |                       |
| <b>Street Address:</b>  | 160 ELMVIEW AVENUE            |                       |
| <b>City:</b>  | HAMBURG                       |                       |
| <b>State/Country:</b>   | NEW YORK                      |                       |
| <b>Postal Code:</b>   | 14075                         |                       |
| <b>PROPERTY NUMBERS Total: 3</b>  |                               |                       |
| <b>Property Type</b>  | <b>Number</b>                 |                       |
| <b>Patent Number:</b>   | D631178                       |                       |
| <b>Patent Number:</b>   | D584937                       |                       |
| <b>Patent Number:</b>   | 7338189                       |                       |
| <b>CORRESPONDENCE DATA</b>  |                               |                       |
| <b>Fax Number:</b>  | (716)855-1580                 |                       |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                               |                       |
| <b>Phone:</b>   | 716-849-1333 X371             |                       |
| <b>Email:</b>   | ip@lglaw.com                  |                       |
| <b>Correspondent Name:</b>  | JONATHAN W. BROWN             |                       |
| <b>Address Line 1:</b>  | 42 DELAWARE AVENUE            |                       |
| <b>Address Line 2:</b>  | SUITE 120                     |                       |
| <b>Address Line 4:</b>  | BUFFALO, NEW YORK 14202       |                       |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 67976.0001                    |                       |
| <b>NAME OF SUBMITTER:</b>   | JONATHAN W. BROWN             |                       |
| <b>SIGNATURE:</b>   | /Jonathan W. Brown/           |                       |
| <b>DATE SIGNED:</b>   | 12/22/2020                    |                       |
| <b>Total Attachments: 9</b>   |                               |                       |
| source=Assignment of Patents - Alert Safety#page1.tif   |                               |                       |
| source=Assignment of Patents - Alert Safety#page2.tif   |                               |                       |
| source=Assignment of Patents - Alert Safety#page3.tif   |                               |                       |

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source=sb0096 - 7,338,189#page1.tif

source=sb0096 - 7,338,189#page2.tif

## ASSIGNMENT OF PATENTS

WHEREAS, Alert Safety Lite Products Company dba Alert Safety Lite Products Co., Inc., an Ohio corporation, with an address at 24500 Solon Road, Bedford Heights, Ohio 44146 ("Assignor") owns all right, title and interest in and to the patents identified in Schedule A attached hereto, including the inventions described therein and the patents issued and reissued thereon (collectively, the "Patents"), the renewals therefor and all claims for past or future infringement thereof.


WHEREAS, Alert Reel Manufacturing, LLC, a New York limited liability company with an address at 160 Elmview Avenue, Hamburg, New York 14075 ("Assignee"), and Assignor have entered into an Asset Purchase Agreement (the "Agreement") dated November 4, 2020, under which Assignor agreed to sell and Assignee agreed to purchase certain assets of Assignor, including the aforesaid Patents, and the applications and renewals therefor and all claims for past or future infringement thereof.

NOW THEREFORE, for valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does hereby sell, assign, convey and transfer unto Assignee, its successors and assigns, free and clear of any and all liens, restrictions, claims and encumbrances, Assignor's entire right, title, and interest in and to the Patents and divisions, continuations or continuations-in-part thereof, together with all rights of registration, maintenance, and protection thereof in any form, all rights to income, royalties, damages and payments now due or hereafter due or payable in respect thereto, and all rights of recovery and of legal action for past or future infringements and of interference proceedings and reexaminations involving such Patents.

This Agreement shall be governed by and construed in accordance with the laws of the State of Ohio, without reference to principles of conflicts of laws. Further, Buyer and Seller agree that the situs of this Agreement is Bedford Heights, Ohio and, should any litigation arise out of this Agreement, such will be sited in Cuyahoga County, Ohio and that any arguments counter to such, including by not limited to, *forum non conveniens*, shall be waived.

IN WITNESS WHEREOF, Assignor has duly executed this Assignment on this 16<sup>th</sup> day of November, 2020.

ALERT SAFETY LITE PRODUCTS  
COMPANY DBA ALERT SAFETY  
LITE PRODUCTS CO., INC.

By:   
Name: James D. Kovacik  
Title: President

State of Ohio )  
County of Cuyahoga )

ss.:

On this 11 day of November, 2020, before me, James D. Kovacic personally appeared James D. Kovacic, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal.

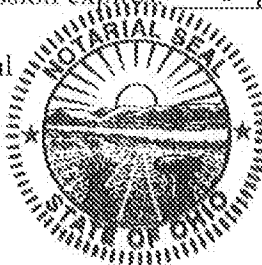
Notary Public

William R. Simon

My Commission expires:

5/6/2022

Notarial Seal



WILLIAM SIMON  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 5/6/2022

## **SCHEDULE A**

### **PATENTS**

| <b>Patent No.</b> | <b>Application No.</b> | <b>Filing Date</b>  | <b>Issue Date</b> | <b>Title of Invention</b>                  |
|-------------------|------------------------|---------------------|-------------------|--|
| D631,178          | D/362,661              | May 28, 2010        | January 18, 2011  | LED lamp                                   |
| D584,937          | D/276,624              | January 31,<br>2007 | January 20, 2009  | Cord reel                                  |
| 7,338,189         | 11/200,934             | August 10,<br>2005  | March 4, 2008     | LED utility light with<br>removable magnet |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Alert Stamping & Manufacturing Co., Inc.

Application No./Patent No.: D631,178

Filed/Issue Date: January 18, 2001

Titled: LED lamp

Alert Reel Manufacturing, LLC

, a New York limited liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James D. Kovacik and Paul S. Blanch To: Alert Safety Lite Products Co., Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 024760, Frame 0975, or a copy\* is attached.

2. From: Alert Safety Lite Products Co., Inc. To: Alert Stamping & Manufacturing Co., Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 032256, Frame 0430, or a copy\* is attached.

3. From: Alert Stamping & Manufacturing Co., Inc. To: Alert Reel Manufacturing, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ \*As required by 37 CFR 3.73(b)(1)(i), if a copy/copies is/are attached, the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Joseph Pinker, Jr./

Signature

December 8, 2020

Date

Joseph Pinker, Jr.

Printed or Typed Name

President

Title or Registration Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Alert Safety Lite Products Co., Inc.

Application No./Patent No.: D584,937

Filed/Issue Date: January 20, 2009

Titled:  
**CORD REEL**

Alert Reel Manufacturing, LLC

, a New York limited liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James D. Kovacik, Paul S. Blanch, Joseph J. Smith To: Alert Safety Lite Products Co., Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 019437, Frame 0514, or a copy\* is attached.

2. From: Alert Safety Lite Products Co., Inc. To: Alert Reel Manufacturing, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Joseph Pinker, Jr./

Signature

December 8, 2020

Date

Joseph Pinker, Jr.

Printed or Typed Name

President

Title or Registration Number

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Alert Safety Lite Products Co., Inc.Application No./Patent No.: 7,338,189 Filed/Issue Date: March 4, 2008Titled: **LED UTILITY LIGHT WITH REMOVABLE MAGNET**Alert Reel Manufacturing, LLC, a New York limited liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James D. Kovacik, Paul S. Blanch, Joseph J. Smith To: Alert Safety Lite Products Co., Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 016493, Frame 0457, or a copy\* is attached.

2. From: Alert Safety Lite Products Co., Inc. To: Alert Reel Manufacturing, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy\* is attached.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Joseph Pinker, Jr./

Signature

December 8, 2020

Date

Joseph Pinker, Jr.

Printed or Typed Name

President

Title or Registration Number

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**PATENT****REEL: 054718 FRAME: 0539**

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