# 506417883 12/22/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6464640

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
MAITHILI RAIRKAR	12/16/2020
CARLOS SCHULER	12/21/2020
MAXIME DAUD	10/16/2020
MAXIME D. RAPPAPORT	10/22/2020
JOHN MARONEY	10/16/2020
MARGARET P. MCLAUGHLIN	10/16/2020
TOBIAS H. CASAB	10/16/2020
KEITH HALL	10/27/2020

## **RECEIVING PARTY DATA**

Name:	ALESSA THERAPEUTICS, INC.
Street Address:	930 BRITTAN AVENUE
City:	SAN CARLOS
State/Country:	CALIFORNIA
Postal Code:	94070

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16826022

### **CORRESPONDENCE DATA**

**Fax Number:** (650)327-3231

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6503273400

**Email:** George@bozpat.com

Correspondent Name: BOZICEVIC, FIELD & FRANCIS LLP Address Line 1: 201 REDWOOD SHORES PARKWAY

Address Line 2: SUITE 200

Address Line 4: REDWOOD CITY, CALIFORNIA 94065

ATTORNEY DOCKET NUMBER:	UCSF-610
NAME OF SUBMITTER:	EDWARD J. BABA
SIGNATURE:	/Edward J. Baba, Reg. No. 52,581/

506417883 REEL: 054728 FRAME: 0211

DATE SIGNED:	12/21/2020
Total Attachments: 8	
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# ASSIGNMENT OF APPLICATION

Atty Docket No. UCSF-610

THIS ASSIGNMENT, by Maithili Rairkar, residing in San Francisco, Carlos Schuler residing in San Francisco, Maxime Daud, residing in San Francisco, Maxime D. Rappaport, residing in San Francisco, John Maroney, residing in San Francisco, Margaret McLaughlin, residing in San Francisco, Tobias H. Casab, residing in San Francisco, and Keith Hall, residing in San Francisco, (hereinafter referred to as the assignors), respectively, witnesseth:

hereinafter referred to as the assignors), respectively, witnesseth:	
WHEREAS, the said assignors have invented certain new and useful improvements in:	
"Implantable Drug Delivery Devices for Localized Drug Delivery"	
for which an application was filed on March 20, 2020 as U.S. Application Serial No. or PCT International Application No. 16/826,022.	
for which an application for a United States Patent was executed on, and	
WHEREAS, Alessa Therapeutics, Inc., a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 930 Brittan Avenue, San Carlos, California 94070 (hereinafter referred to as the assignee) is desirous of acquiring said assignors' right, title and interest in and to said invention and said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:	1
NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, egal representatives and assigns, all of said assignors' right, title and interest in and to, as well as the right to claim priority of, the above-mentioned invention, application, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf	f
granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been	1
AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, lega	1
representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and	~
and the standard of the same in the manner herein set forth.	- 1
AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, leg-	ai [
that any proceeding in connection with said invention, or said application, or any procedure	454
representatives and assigns, shall advise that any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or the any division, continuation or continuation-in-part of any application or any reissue or extension of any Letters Patent, to be obtained by division, continuation or continuation-in-part of any application or any reissue or extension of any Letters Patent, to be obtained by the continuation of any Letters Patent, to be obtained by the continuation of any Letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any Letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent, to be obtained by the continuation of any letters Patent by	
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hereon, is lawful and destrable, sign an papers and defense of Letters Patent for said invention, without charge to said assignee, if or the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, it successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.	ıd
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AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to sa issignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, is a said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, it is a said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, it is a said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, it is a said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee.	ts
nuccessors, legal representatives and assigns.	
Date 16 Dec 2020 Name of Inventor Maithili Rairkar	
Date 16 Dec 2020 Name of Inventor	
IAEUIPHIN TOWN	
Date Name of Inventor	
Carlos Schuler	
DateName of Inventor	
Maxime Daud	

### ASSIGNMENT OF APPLICATION

Atty Docket No. UCSF-610

THIS ASSIGNMENT, by Maithili Rairkar, residing in San Francisco, Carlos Schuler residing in San Francisco, Maxime Daud, residing in San Francisco, Maxime D. Rappaport, residing in San Francisco, John Maroney, residing in San Francisco, Margaret McLaughlin, residing in San Francisco, Tobias H. Casab, residing in San Francisco, and Keith Hall, residing in San Francisco, (hereinafter referred to as the assignors), respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in:

"Implantable Drug Delivery Devices for Localized Drug Delivery"
for which an application was filed on March 20, 2020 as U.S. Application Serial No. or PCT International Application No. 16/826,022.  for which an application for a United States Patent was executed on, and

WHEREAS, **Alessa Therapeutics, Inc.**, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at **930 Brittan Avenue**, **San Carlos**, **California 94070** (hereinafter referred to as the assignee) is desirous of acquiring said assignors' right, title and interest in and to said invention and said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of said assignors' right, title and interest in and to, as well as the right to claim priority of, the above-mentioned invention, application, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date	Name of Inventor _	Maithili Rairkar	
Date <u>21 DEC 2020</u>	Name of Inventor _	Carlos Schuler	
Date	Name of Inventor _	Maxime Daud	

PATENT

REEL: 054728 FRAME: 0214

ASSIGNMENT OF APT	

Atty Docket No. UCSF-610

THIS ASSIGNMENT, by Maithili Rairkar, residing in San Francisco, Carlos Schuler residing in San Francisco, Maxime D. Rappaport, residing in San Francisco, John Maroney, residing in San Francisco, Margaret McLaughlin, residing in San Francisco, Tobios H. Casab, residing in San Francisco, and Kelth Hall, residing in San Francisco. (hereinafter referred to as the assignors), respectively, winessesh:

WHEREAS, the said assignate have invented certain new and asoful improvements in:

"Implantable Drug Delivery I	devices for	incalitacó	Dove Delivery"
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for which an application was filed on March 20, 2020 as U.S. Application Serial No. or PCT International Application
No. <u>16316.(12)</u>
for which an application for a United States Patent was executed on and

WHEREAS, Aleasa Therapeuties, Inc., a corporation duly organized under and pursuant to the laws of California, and having its principal place of husiness at \$30 British Avenue, San Carlos, California \$4076 (hereinafter referred to us the excignor) is designed of acquiring said assignors' right, title and interest in and to said invention and said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said antigrans have sold, assigned transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assigned, its successors, legal representatives and assigns, all of said assignors' right, title and interest in and to, as well as the right to claim priority of the above-mentioned invention, application, and any and all Letters Patent or Patents in the United States of America and all foreign construes which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations in-part of said application, or releases or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be hold and enjoyed by said assigned, for its own and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely so the same would have been held and enjoyed by the assignment, had this sale and assignment not been made.

AN() for the same consideration, said assigners hereby coverant and agree to and with said assigner, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no usalgoment, said, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignors have good and full right and lawfor authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby coverant and agree to and with said assignor, its successors, legal representatives and assigns, that said assignors will, wherever counsel of said assigner, or the counsel of its successors, legal representatives and assigns, shall advise that any prescreding in connection with said invention, or said application, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lewful and desirable, or that any division, continuation or continuation-in-part of any application or any related or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful onths, and do all not necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assigner, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to Issue said Letters Patent of the United States to said assigner as the assigner of said invention and the Letters Patent to be issued thereon for the one and hehalf of said assigner, its successors, legal representatives and assigns.

Dute	Nume of Inventor
Asse	Name of InventurCarlos Schuler
1945 NO (B	Name of Inventor

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	ASSIGNM	TENT OF APPLICATION	
			Atty Docket No. UCSF-61
Date 10/22/20	Name of Inventor		Andrew Control of the
		Maxime D. Rappaport	9e"
Date	Name of become	······	
comp.	range on mountain	John Maroney	
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Date	Name of Inventor	Margaret McLaughlin	
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Date	Name of Inventor.		
		Tobias H. Casab	
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Date	•	Name (print)	

Title and Company

	ASSIGNMENT OF APPLICATION	
		Atty Docket No. UCSF-61
Date	Name of Inventor	
Date 16/6/20/20	Name of Inventor John Maroney	
There	Wanter of the section	<u> </u>
	Margaret McLaughlin	
Date	Name of Inventor	
	Tobias H. Casab	
Date	Name of Inventor	
	Keith Hall	
	ASSIGNMENT OF APPLICATION	
		Aity Docket No. UCSF-610
Hereby accepted on behal	if of the assignee	
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Signature	• ( )	
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Title and Company

	ASSIGNME	NT OF APPLICATION	
			Atty Docket No. UCSF-610
Date	Name of Inventor	Maxime D. Rappaport	
Date		ioha Maroney	
Date <u>  14 Oct 2</u> 0		HASCAEPMCLA Vargaret McLaughlin	uzhin
Date	*****	Fobias H. Casab	
Date		Keith Hall	
		T OF APPLICATION	
			Atty Docket No. UCSF-610
Hereby accepted on beli			

Name (print)

Signature

Date

Title and Company

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	ASSIGNM	ENT OF APPLICATION	
			Atty Docket No. UCSF-610
Date	Name of Inventor		
		Maxime D. Rappaport	
Date	Name of Inventor	*	**************************************
		John Maroney	
Date	Name of Inventor		
		Margaret McLaughlin	
Date 10 16 2010	Name of Inventor	DH -	
	_	Tobias H. Casab	
Date	Name of Inventor		
		Keith Hall	***************************************
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	***************************************		
	ASSIGNME	ENT OF APPLICATION	
	***************************************		Atty Docket No. UCSF-610
Hereby accepted on behalf of th	e assignee		
Signature			

Name (print)

Date

Title and Company

	ASSIGNMENT OF APPLICATION	
		Atty Docket No. UCSF-610
Date	Name of Inventor	
	Maxime D. Rappaport	
Date	Name of Inventor	.cu.uu
	John Maroney	
Date	Name of Inventor	
	Margaret McLaughlin	
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Date	Name of Inventor Tobias H. Casab	iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
	The second of th	
Date 270CT 2020	Name of Inventor  Keith Hall	
	Menn han	
	ASSIGNMENT OF APPLICATION	
		Atty Docket No. UCSF-610
Hereby accepted on behalf of th	ae assignee	

ASSIGNMENT OF APPLICATION

Atty Docket No. UCSF-610

Hereby accepted on behalf of the assignee

Signature

Date Name (print)

Title and Company

PATENT REEL: 054728 FRAME: 0220

**RECORDED: 12/22/2020**